

## ON THE TATE-SHAFAREVICH GROUP OF CERTAIN ELLIPTIC CURVES

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Let  $K \subset \mathbb{C}$  be an imaginary quadratic field with prime discriminant  $-p < -3$ , ring of integers  $\mathcal{O}_K = \mathcal{O}$  and class group  $\text{Cl}_K$  of (odd) order  $h_K = h$ . The  $j$ -invariant  $j(\mathcal{O})$  generates a field  $F/\mathbb{Q}$  of degree  $h$  such that  $H = FK$  is the Hilbert class field of  $K$ . Suppose  $A/F$  is a  $\mathbb{Q}$ -curve with  $j$ -invariant  $j(\mathcal{O})$ ; thus,  $A$  is an elliptic curve which, over  $H$ , is isogenous to each of its Galois conjugates, and has complex multiplication by  $\mathcal{O}$ . We let  $B = \text{Res}_{F/\mathbb{Q}} A$  be the  $h$ -dimensional abelian variety over  $\mathbb{Q}$  obtained from  $A$  by restriction of scalars. Any two such  $A$  (and any two such  $B$ ) are quadratic twists of one another: letting  $A(p)$  denote the canonical curve of discriminant ideal  $(-p^3)$ , with restriction  $B(p)$ , we have  $A = A(p)^D$  (and  $B = B(p)^D$ ) for some quadratic discriminant  $D$ . We refer the reader to Gross [Gr1] for general facts about CM  $\mathbb{Q}$ -curves. Much progress has been made recently on proving the conjecture of Birch and Swinnerton-Dyer for these curves. For instance, if  $L(1, A/F) \neq 0$ , and  $h = 1$ , Rubin [Ru2] has proved that the Birch-Swinnerton-Dyer conjecture for  $A/F$  holds up to a power of 2. Note that the ring  $\mathcal{R}^+$  of  $\mathbb{Q}$ -endomorphisms of  $B$  (or  $B(p)$ ) is an order in  $T^+ = \mathcal{R}^+ \otimes \mathbb{Q}$ , a totally real field of degree  $h$  over  $\mathbb{Q}$ . The Tate-Shafarevich group  $\coprod_{B/\mathbb{Q}}$  is a finite module over  $\mathcal{R}^+$ ; our main goal in this paper is to gain insight into the structure of this module via  $L$ -series in some special cases.

Namely, suppose  $p \equiv 3 \pmod{8}$  and  $A = A(p)^{-3}$ . Also, assume that  $\mathcal{R}^+$  is integrally closed. Let  $\psi$  be a Hecke character of  $K$  such that  $\psi \circ \mathbb{N}_{H/K}$  is the Hecke character attached to  $A/H$ . This choice of  $\psi$  gives rise to an embedding of  $T^+$  in  $\mathbb{R}$  (see section 2). We define an algebraic integer  $s \neq 0$  in  $FT^+$  as a sum of certain modified elliptic units first introduced by Gross [Gr3] and show that there is a (unique) integral ideal  $\mathfrak{f}$  of  $\mathcal{R}^+$  whose lift to  $FT^+$  is generated by  $s$ . Our starting point is a formula (Theorem 2) expressing  $L(1, \psi)$  as a period times  $s^2$ , showing, in particular, that this central critical value does not vanish. Writing  $L(s, A/F)$  as a product of Hecke  $L$ -series, calculating the local factors in the Birch-Swinnerton-Dyer conjecture, and applying our formula together with results of Coates, Wiles, Arthaud, and Rubin [CW], [Ar], [Ru1], we obtain

**Main Theorem** (Theorem 5) *With the above assumptions and notation,  $A(F) = B(\mathbb{Q})$  is finite. If the Birch-Swinnerton-Dyer conjecture holds for  $A/F$  (or for*

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$B/\mathbb{Q}$ ), then the order of its Tate-Shafarevich group is  $\mathbb{N}_{T^+/\mathbb{Q}}(\mathfrak{f})^2$ , where  $\mathfrak{f}$  is the integral  $\mathcal{R}^+$ -ideal defined in section 2.

Following Buhler-Gross [BG], we conjecture that the order ideal  $\mathfrak{s}_B$  of the  $\mathcal{R}^+$ -module  $\coprod_{B/\mathbb{Q}}$  is in fact  $\mathfrak{f}^2$ ; note that, by our theorem, this is compatible with the Birch-Swinnerton-Dyer conjecture, and is a refinement of it. Our results shore up the Buhler-Gross conjecture in several ways: namely, our predicted order ideal  $\mathfrak{f}^2$  is known to be the *square* of an *integral* ideal of  $\mathcal{R}^+$ . Previously, Buhler and Gross verified these properties numerically in hundreds of cases.

Our formula gives an effective means of computing the (predicted) order ideal of the Tate-Shafarevich group for these curves, and is similar to the formula one of us [RV] found for the cardinality of  $\coprod_{A(p)/F}$  for  $p \equiv 7 \pmod{8}$ ; see also [H]. We implemented this procedure on a computer (some tables of our data appear at the end of the paper). This allowed us to make a conjectural numerical study of the  $\mathcal{R}^+$ -module  $\coprod_{B/\mathbb{Q}}$ . We were particularly interested in the question of whether this module can be “non-trivial,” in the sense that its order ideal  $\mathfrak{s}_B$  is not trivial in the class group of  $\mathcal{R}^+$ . We succeeded in finding three examples where the (predicted) order ideal  $\mathfrak{f}^2$  is not principal, not an entirely trivial task. Using some results from [H], this answers, at the same time, a question of Gross concerning the triviality of a certain 1-cocycle induced by the units used to define  $\mathfrak{f}$ . We should remark that there are order ideals attached to the torsion of  $B/\mathbb{Q}$  and to its local factors at infinity and at the places of bad reduction (see Gross [Gr2]), and that a suitable product of all these ideals with the order ideal of the Tate-Shafarevich group is expected to be principal (generated by the algebraic part of the critical L-value), but that these ideals need not be principal in  $\mathcal{R}^+$  individually, as demonstrated here.

We should explain that the restriction to the rather special case of twisting by  $-3$  is made in order to keep the technicalities in the calculation of  $L(1, \psi)$  (in section 5) to a minimum. The general factorization formula we prove in that section (Proposition 8) should yield a similar formula for  $L(1, \psi)$  for more general twists, but in the case of twisting by  $-3$ , the various Jacobi theta functions with characteristics reduce down to the relatively simple eta function; moreover, in the calculation of the local factors in the Birch-Swinnerton-Dyer conjecture, various dyadic primes just cancel in the case of twist by  $-3$ , giving the clean formula for the predicted order ideal presented here. Also, see the recent paper by Rodriguez Villegas and Yang [RVY].

The organization of the sections is as follows. We set up the notation and make various definitions in section 1. In section 2, we define the algebraic quantities  $s$  and  $\mathfrak{f}$ ; the action of Galois on them is determined easily using the results of [HRV]. In section 3, we introduce the elliptic curves  $A$  of interest, and obtain a formula for  $L(1, A/F)$ , assuming the formula for  $L(1, \psi)$  (whose proof, being somewhat technical, we defer to section 5). A calculation of the various factors in the Birch-Swinnerton-Dyer conjecture then yields the main theorem. In section 4, we recall the question of Gross, first posed in [Gr3] and further investigated in [H], and present calculations carried out using the package GP-

PARI, illustrating three examples where the class of the (predicted) order ideal of the Tate-Shafarevich group is non-trivial, answering Questions 2.9, 2.10 and 2.13 of [H]. As in [RV], the two main ingredients for expressing  $L(1, \psi)$  as a period times  $s^2$  are, first a formula, following Hecke, expressing  $L(1, \psi)$  in terms of binary theta series (section 5.1), and a “factorization formula” expressing values of these theta series at CM points as a product of values of two half-integral-weight theta series at related CM points (section 5.2). We conclude with a table of predicted orders of the Tate-Shafarevich group of  $A(p)^{-3}$ , as well as a table of the ideals  $\mathfrak{f}$  for small  $p$ .

## 1. Preliminaries

Suppose  $K \subset \mathbb{C}$  is an imaginary quadratic field with discriminant  $-d$  relatively prime to 6 and class number  $h$ . With the exception of sections 1 and 5, we will in fact assume  $d = p$  is a prime  $\equiv 3 \pmod{8}$ . (At the top of each section, we indicate our assumptions regarding  $d$ ). We write  $\mathcal{O} = \mathcal{O}_K$  for the ring of integers of  $K$ . Let  $\epsilon$  be the Dirichlet character associated to  $K$ , extended to  $K$  via the natural isomorphism  $\mathcal{O}/(\sqrt{-d}) \cong \mathbb{Z}/d\mathbb{Z}$ ; concretely,

$$\epsilon(\alpha) = \left( \frac{2(\alpha + \bar{\alpha})}{d} \right) \quad (\alpha \in \mathcal{O}_K).$$

Here and throughout the paper, we use the notation  $(\frac{\cdot}{\cdot})$  for the Kronecker symbol. We write  $(\frac{2}{d}) = (-1)^\delta$  with  $\delta = 0, 1$ . Also, define

$$(1) \quad \kappa = \frac{3 - \epsilon(3)}{2} = \begin{cases} 1 & d \equiv 2 \pmod{3}, \\ 2 & d \equiv 1 \pmod{3}. \end{cases}$$

Consider the set  $\Phi$  of Hecke characters  $\phi$  of  $K$  such that

$$\phi((\alpha)) = \epsilon(\alpha)\alpha, \quad \alpha \in \mathcal{O}_K, \quad (\alpha, d) = 1.$$

There are exactly  $h$  such characters, they all have conductor  $(\sqrt{-d})$ , the ratio of any two being a character of  $\text{Cl}_K$ . Let  $\chi$  be the quadratic Dirichlet character associated to  $\mathbb{Q}(\sqrt{-3})$ , i.e.  $\chi(a) = (\frac{-3}{a}) = (\frac{a}{3})$  for integers  $a$  prime to 3 and  $\chi(a) = 0$  otherwise. Consider the set  $\Psi$  of twists  $\psi = \phi \cdot \chi \circ \mathbb{N}_{K/\mathbb{Q}}$  with  $\phi \in \Phi$ . If  $\mathfrak{a}$  is not relatively prime to  $3d$ ,  $\psi(\mathfrak{a}) = 0$ , and, for all  $\mathfrak{a}$ ,  $\psi(\bar{\mathfrak{a}}) = \overline{\psi(\mathfrak{a})}$ . Also,

$$(2) \quad \overline{\psi}(\mathfrak{a})\psi(\mathfrak{a}) = \mathbb{N}\mathfrak{a}.$$

For the remainder of the paper, fix a base point  $\phi_0 \in \Phi$  and its  $\chi$ -twist  $\psi_0 \in \Psi$ ; their unramified twists  $\phi_0\varphi$ ,  $\psi_0\varphi$  – with  $\varphi \in \widehat{\text{Cl}_K} = \text{span } \Phi, \Psi$ , respectively.

We will need two kinds of CM-points attached to an ideal  $\mathfrak{a}$  of  $\mathcal{O}$ : one, denoted  $\tau_{\mathfrak{a}}$ , at which we will evaluate integral-weight modular forms (of level  $d$ ), and another, denoted  $z_{\mathfrak{a}}$ , for half-integral-weight modular forms (of level a power of 2). For a primitive  $\mathcal{O}$ -ideal  $\mathfrak{a}$  of norm  $a$  prime to  $6d$ , we may always choose a basis

$\mathfrak{a} = a\mathbb{Z} + \frac{b+\sqrt{-d}}{2}\mathbb{Z}$  with  $b \in \mathbb{Z}$  determined modulo  $2a$  satisfying  $b^2 \equiv -d \pmod{4a}$ . In particular, we may choose  $b \in 3d\mathbb{Z}$ , and put

$$\tau_{\mathfrak{a}} = \frac{b + \sqrt{-d}}{2ad} \in \mathcal{H} \quad \left( \mathfrak{a} = \left[ a, \frac{b + \sqrt{-d}}{2} \right], \quad b \equiv 0 \pmod{3d} \right),$$

which is well-defined modulo  $3\mathbb{Z}$  and whose image in  $\mathcal{H}/\Gamma_0(d)$  depends only the class  $[\mathfrak{a}]$ . Similarly, we may always choose  $b \equiv 1 \pmod{16}$  and then set

$$z_{\mathfrak{a}} = \frac{b + \sqrt{-d}}{2a} \in \mathcal{H} \quad \left( \mathfrak{a} = \left[ a, \frac{b + \sqrt{-d}}{2} \right], \quad b \equiv 1 \pmod{16} \right),$$

well-defined modulo  $8\mathbb{Z}$ .

## 2. The units $u_C$ and the ideal $\mathfrak{f}$

In this section, we assume that  $d = p > 3$  is a prime satisfying  $p \equiv 3 \pmod{8}$ , so  $\delta = 1$  and  $h$  is odd. Let  $j = j(\mathcal{O}) \in \mathbb{R}$  where  $j(L)$  is the classical modular invariant of a lattice  $L \subset \mathbb{C}$ . Let  $F = H^+ = \mathbb{Q}(j) \subset \mathbb{R}$ ; this is a number field of degree  $h$  whose remaining embeddings are complex. Recall that  $H = H^+K$  is the Hilbert class field of  $K$ .

Let  $T = T_{\phi_0}$  be the subfield of  $\mathbb{C}$  generated by the values of  $\phi_0$ , and put  $T^+ = T \cap \mathbb{R}$ . Then  $T^+$  is totally real of degree  $h$  over  $\mathbb{Q}$  and  $T/T^+$  is a CM extension. Let  $M^+ = T^+H^+$ ,  $M = M^+K$ . Then  $T \cap H = K$ , and we may identify  $\widehat{\text{Cl}}_K$  with the embeddings of  $M/H$  in  $\mathbb{C}$ , the trivial character corresponding to our fixed embedding  $T_{\phi_0}$ . For instance, for  $x \in H$ ,  $\varphi \in \widehat{\text{Cl}}_K$ , and  $\mathfrak{a} \subseteq \mathcal{O}$ , we have  $(\phi_0(\mathfrak{a})x)^\varphi = (\varphi\phi_0)(\mathfrak{a})x$ . Also, we may identify  $\text{Cl}_K$  with  $\text{Gal}(M/T)$  via the Artin map  $C \mapsto \sigma_C$ . For more detailed explanations of these facts, we refer the reader to Gross [Gr1].

Recall that the Dedekind eta function defined for  $z \in \mathcal{H}$  by

$$\eta(z) = e^{\pi iz/12} \prod_{n \geq 1} (1 - e^{2\pi izn})$$

has the series expansion

$$(3) \quad \eta(z) = \sum_{m \geq 1} \left( \frac{12}{m} \right) e^{\pi i m^2 z/12},$$

which converges quickly, when  $\Im(z)$  is bounded below by a positive constant.

**Definition 1.** For each ideal class  $C$ , choose a primitive ideal  $\mathfrak{a}$  prime to  $6d$  such that  $[\mathfrak{a}^2] = C^{-1}$  and put

$$u_C = \left( \frac{-4}{\mathbb{N}\mathfrak{a}} \right) \overline{\phi_0}(\mathfrak{a})^{-1} \eta(z_{\mathfrak{a}^2}) / \eta(z_{\mathcal{O}}).$$

**Remark.** That  $u_C$  is well-defined may be checked directly; it also follows easily from Corollary 10 which we will establish in section 5. For  $d \equiv 7 \pmod 8$ , the units  $u_C$  were introduced already in [RV]; the definition in the two cases differs only by the factor  $(\frac{-4}{Na})^\delta$ . In the proof of [H, Theorem 3.1], the presence of this symbol should have been mentioned.

To see the algebraic properties of  $u_C$ , we note that, in the notation of [HRV],

$$\frac{\eta(z_{\mathfrak{a}^2})}{\eta(z_{\mathcal{O}})} = \frac{\eta(\bar{\mathfrak{a}}^2)}{\eta(\mathcal{O})},$$

hence by [HRV, Prop. 10]  $\eta(z_{\mathfrak{a}^2})/\eta(z_{\mathcal{O}}) \in \mathcal{O}_H$  and generates  $\bar{\mathfrak{a}}\mathcal{O}_H$ . Since  $\overline{\phi_0}(\mathfrak{a}) \in \mathcal{O}_T$  and generates  $\bar{\mathfrak{a}}\mathcal{O}_T$ ,  $u_C$  is a unit in  $M$ . Moreover, by [HRV, Prop. 10],

$$\bar{u}_C = u_{C^{-1}}, \quad u_C^{\sigma_{C'}} = u_{CC'}/u_{C'} \quad (C, C' \in \text{Cl}_K).$$

We see that these units give rise to a 1-cocycle with values in  $E_M = \mathcal{O}_M^\times$ . In order to maintain consistency with the notation in [H], let us write for  $\sigma = \sigma_C \in \text{Gal}(M/T)$ ,  $w_\sigma = u_C$ . The assignment

$$\sigma_- \mapsto 1, \sigma \mapsto w_\sigma,$$

(where  $\sigma_-$  is a generator of  $\text{Gal}(M/M^+)$  and  $\sigma \in \text{Gal}(M/T)$ ) defines a 1-cocycle  $w$  on  $\text{Gal}(M/T^+)$  with values in  $E_M$ .

We let  $s = \sum_{C \in \text{Cl}_K} u_C \in \mathcal{O}_M$ . It is easily verified that  $\text{Tr}_{M/H}(s) = h$ , and that  $\overline{s^\varphi} = s^\varphi$  for each  $\varphi \in \widehat{\text{Cl}_K}$ ; hence,  $s^\varphi$  is a non-zero real number. Furthermore,

$$s^{\sigma_C} = s u_C^{-1}, \quad (C \in \text{Cl}_K).$$

In particular, the integral ideal  $s\mathcal{O}_M$  is fixed by  $\text{Gal}(M/T)$ . Since  $M/T$  is unramified, and since  $\bar{s} = s$ , there is a unique ideal  $\mathfrak{f}$  of  $\mathcal{O}_{T^+}$  such that  $\mathfrak{f}\mathcal{O}_M = s\mathcal{O}_M$ . Note that  $\mathfrak{f}^h$  is principal, generated by  $s \prod_C u_C \in \mathcal{O}_{T^+}$ ; we will be interested in whether  $\mathfrak{f}$  itself is principal in  $\mathcal{O}_{T^+}$ . We note that in [H],  $s$  and  $\mathfrak{f}$  were called  $s_w$  and  $\mathfrak{f}_w$ , respectively.

Section 5 will be devoted to the proof of

**Theorem 2.** *For any unramified character  $\varphi \in \widehat{\text{Cl}_K}$ ,*

$$L(1, \psi_0 \varphi) = \frac{4\pi\kappa|\eta(z_{\mathcal{O}})|^2}{3^{1/2}p^{1/4}}(s^\varphi)^2.$$

### 3. The $\mathbb{Q}$ -curve $A(p)^{-3}$

In this section, we continue to assume that  $d = p > 3$  is a prime satisfying  $p \equiv 3 \pmod 8$ . There is an elliptic curve  $A(p)$  of invariant  $j = j(\mathcal{O})$  with global minimal equation of discriminant  $(-p^3)$  over  $H^+$

$$y^2 = x^3 + \frac{mp}{2^4 3}x - \frac{np^2}{2^5 3^3},$$

where  $m, n$  are the unique real numbers defined by

$$m^3 = j, \quad -n^2 p = j - 1728, \quad n < 0.$$

Using the classical theory of complex multiplication, one shows that  $m, n$  are actually elements of  $H^+$ ; see, for example, [HRV, Theorem 17]. Over  $H$ ,  $A(p)$  acquires complex multiplication by  $\mathcal{O}_K$ , and is isogenous to all of its Galois conjugates.

Consider the elliptic curve  $A = A(p)^\chi = A(p)^{-3}$ , the twist of  $A(p)$  by  $\mathbb{Q}(\sqrt{-3})$ . The CM elliptic curve  $A$  has associated Hecke character  $\psi_0 \circ \mathbb{N}_{H/K}$ . By Shimura [Sh], we have the factorization

$$(4) \quad L(s, A/H^+) = \prod_{\varphi \in \widehat{\text{Cl}_K}} L(s, \psi_0 \varphi).$$

Recall that  $B = \text{Res}_{H^+/\mathbb{Q}} A$  is the abelian variety over  $\mathbb{Q}$  obtained from  $A$  via restriction of scalars. It is an  $h$ -dimensional quotient of the Jacobian  $J_0(9p^2)$  of the modular curve  $X_0(9p^2)$ . The  $L$ -series of  $A/H^+$  and  $B/\mathbb{Q}$  coincide; the Birch-Swinnerton-Dyer conjecture holds for one if and only if it holds for the other, and  $\coprod_{A/H^+}$  and  $\coprod_{B/\mathbb{Q}}$  are isomorphic, see Milne [Mi, Theorem 1]. The  $\mathbb{Q}$ -endomorphism ring  $\mathcal{R}^+ = \text{End}_{\mathbb{Q}} B$  is an order in  $T^+ = \mathcal{R}^+ \otimes \mathbb{Q}$  with a simple description: it is the ring generated over  $\mathbb{Z}$  by the values  $\phi_0(\mathfrak{a}) + \phi_0(\bar{\mathfrak{a}})$  as  $\mathfrak{a}$  runs over integral ideals of  $\mathcal{O}_K$ . For simplicity, let us assume  $\mathcal{R}^+ = \mathcal{O}_{T^+}$ ; our computations indicate that this is often the case, and, in general,  $\mathcal{R}^+$  is maximal locally at primes away from  $h$ . (We are not aware, however, of a general criterion guaranteeing the maximality of  $\mathcal{R}^+$ .) This ring acts on  $\coprod_{B/\mathbb{Q}}$ , and we are interested in the order ideal, or characteristic ideal,  $\mathfrak{s}_B$ , of this  $\mathcal{R}^+$ -module. Following Buhler-Gross [BG], we have the following conjecture.

**Conjecture 3 (Refined Birch-Swinnerton-Dyer Conjecture).** *For a prime  $p > 3$  satisfying  $p \equiv 3 \pmod{8}$ , and  $B = B(p)^{-3}$ ,  $\mathfrak{s}_B = \mathfrak{f}^2$ .*

Since the norm of the order ideal is the cardinality of the module, in order to check that this conjecture is consistent with that of Birch and Swinnerton-Dyer, we must verify that the latter predicts the order of  $\coprod_{B/\mathbb{Q}}$  to be  $\mathbb{N}_{T^+/\mathbb{Q}}(\mathfrak{f})^2$ . This is our main result (Theorem 5), and is proved below.

We follow Manin's notation and setup for the Birch-Swinnerton-Dyer conjecture [Ma]. For instance, for a place  $v$  of  $H^+$ , we denote the local factor  $|A(H_v^+)/A(H_v^+)^0|$  by  $m_v$ . The primes of bad reduction for  $A/H^+$  are the primes above  $p$  and those above 3; for all other finite places  $v$ ,  $m_v = 1$ .

**Lemma 4.** *With  $\kappa$  as defined in (1), the local factors  $m_v$  (for  $v$  a place of  $H^+$ ) satisfy*

- i)  $\prod_{v|p} m_v = 2^h$ ;
- ii)  $\prod_{v|3} m_v = \kappa^h$ ;
- iii)  $\prod_{v|\infty} m_v = 2^{-(h-1)/2} (2\pi|\eta(z_{\mathcal{O}})|^2 3^{-1/2} p^{-1/4})^h \prod_{C \in \text{Cl}_K} u_C^2$ .

*Proof.* For *i*) and *iii*), we refer to the calculation of the same quantities for  $A(p)$  in [RV, pp. 568–570], as they require little or no modification for  $A(p)^{-3}$ . For *ii*), we note that  $(\prod_{v|3} m_v)^2 = \prod_{w|3} |B(K_w)/B(K_w)^0|$ , where  $w$  runs over the primes of  $K$  dividing 3 and  $B = \text{Res}_{H^+/\mathbb{Q}} A$ , then use the formula on p. 232 of Gross [Gr2].  $\square$

**Theorem 5.** *The group of rational points  $A(H^+)$  is trivial. If the conjecture of Birch and Swinnerton-Dyer on the value of  $L(1, A/F)$  holds, the cardinality of  $\coprod_{A/H^+}$  is  $\mathbb{N}_{T^+/\mathbb{Q}}(\mathfrak{f})^2$ , where  $\mathfrak{f}$  is the integral  $\mathcal{R}^+$ -ideal defined in section 2.*

*Proof.* By Theorem 2, and the factorization (4),  $L(1, A/H^+) \neq 0$  since  $s^\varphi \neq 0$  for all  $\varphi \in \widehat{\text{Cl}_K}$ . A theorem of Arthaud [Ar] and Rubin [Ru2], extending the Coates-Wiles theorem [CW], implies that  $A/H^+$  has rank 0. By Gross [Gr1],  $A(H^+)$  is torsion-free, hence trivial. Our base field  $H^+$  has  $r_2(H^+) = (h-1)/2$  many pairs of complex embeddings and discriminant  $\text{disc}(H^+/\mathbb{Q}) = p^{(h-1)/2}$ . When we combine Theorem 2 with Lemma 4 and compare with the Birch-Swinnerton-Dyer conjecture

$$L(1, A/F) \stackrel{?}{=} \frac{|\coprod_{A/F}|}{|A(F)_{\text{tor}}|^2} \frac{2^{r_2(F)}}{|\text{disc}(F/\mathbb{Q})|^{1/2}} \prod_v m_v,$$

we find that the predicted order of  $\coprod_{A/H^+}$  is  $S^2$  where

$$(5) \quad S = \prod_{\varphi \in \widehat{\text{Cl}_K}} s^\varphi \prod_{C \in \text{Cl}_K} u_C^{-1}.$$

Using  $\mathbb{N}_{T^+/\mathbb{Q}}(\mathfrak{f})^h = \pm \mathbb{N}_{M^+/\mathbb{Q}}(s)$ , it is easily shown ([H, Lemma 2.7.v]) that  $S$  is a generator of the  $\mathbb{Z}$ -ideal  $\prod_{\sigma \in \text{Cl}_K} \mathfrak{f}^\sigma$ , i.e.  $S = \pm \mathbb{N}_{T^+/\mathbb{Q}}(\mathfrak{f}) \in \mathbb{Z}$ , completing the proof.  $\square$

#### 4. The class of the order ideal, and a question of Gross

We continue to assume that  $d = p > 3$  is a prime satisfying  $p \equiv 3 \pmod{8}$ . Let us return to the cocycle  $w$  defined in section 3. It is easily seen that the cohomology class  $[w]^h$  is trivial, split by  $\prod_\sigma w_\sigma^{-1}$ , and it is natural to ask whether  $[w]$  itself is trivial in  $H^1(\text{Gal}(M/T^+), E_M)$ . Indeed, Gross [Gr3] constructed units (called  $u_\sigma$  in [H]) which are essentially the squares of  $w_\sigma$  and asked the same question about their cohomology class  $[u]$ . The classes  $[u]$  and  $[w]$  in fact are either both trivial or both non-trivial; for more details, see [H], especially Theorem 3.4 and Questions 2.9, 2.10, 2.13.

Question 2.10 was answered in the negative in [H] by calculating some examples; here we will do the same for Questions 2.9 and 2.13 of [H]. Before doing so, we recall ([H, Theorem 2.12]) that the cohomology class of  $w$  is trivial if and only if the ideal class of  $\mathfrak{f}$  in  $\text{Cl}_{T^+}$  is trivial. Hence, assuming the above refined Birch-Swinnerton-Dyer conjecture, Gross' original question boils down to whether the ideal class of the Tate-Shafarevich order ideal of  $B/\mathbb{Q}$  is trivial in

the class group of  $\mathcal{R}^+$ . The difficulty in finding non-trivial examples is that the class number of  $T^+$  is seldom greater than 1 and very seldom has a non-trivial factor in common with  $h$ , which is what we need since  $[\mathfrak{f}]$  is killed by  $h$ . In one case previously investigated where  $h_{T^+}$  and  $h$  have a factor in common, namely  $p = 4027$ , it turned out that  $\mathfrak{f}$  was principal [H]. Here we present three examples where the predicted order ideal of  $\coprod_{B/\mathbb{Q}}$ , i.e.  $\mathfrak{f}^2$ , is *not* principal in  $\mathcal{R}^+$ , though of course it capitulates in  $M^+$ . Let us write  $|\coprod|_?$  for the order of  $\coprod_{B/\mathbb{Q}}$  as predicted by Birch–Swinnerton-Dyer, namely  $S^2$  where  $S$  is given by (5).

**Example 1.**  $p = 571$ ,  $h = 5$ ,  $|\coprod|_? = 4^2$ ,  $\mathfrak{f} = \mathfrak{p}_2^2$ , where  $\mathfrak{p}_2$  is the unique prime of degree 1 above 2. Here,  $\mathfrak{p}_2$  generates the ideal class group of  $\mathcal{R}^+ = \mathcal{O}_{T^+}$  (it has order 5), hence  $\mathfrak{f}$  and  $\mathfrak{f}^2$  are not principal. A defining polynomial for  $T^+$  is  $x^5 - 24x^3 + 125x - 58$ .

**Example 2.**  $p = 1523$ ,  $h = 7$ ,  $|\coprod|_? = 2485^2$ ,  $\mathfrak{f} = \mathfrak{p}_5\mathfrak{p}_7\mathfrak{p}_{71}$ ; as before,  $\mathfrak{p}_r$  is the unique prime of degree 1 above  $r$ . The class group of  $\mathcal{R}^+ = \mathcal{O}_{T^+}$  has order 7 and  $\mathfrak{f}$  generates it. We have  $\mathcal{R}^+ \cong \mathbb{Z}[\theta]$  where  $\theta$  is a root of  $x^7 - 21x^5 + 126x^3 - 189x + 85$ .

**Example 3.**  $p = 3019$ ,  $h = 7$ ,  $|\coprod|_? = 15373^2$ . The prime 15373 splits into 7 prime ideals in  $\mathcal{R}^+ = \mathcal{O}_{T^+}$ , one of which is  $\mathfrak{f}$ . All seven of these primes give rise to the same non-trivial class in the class group of  $\mathcal{R}^+$ , which has order 7. If the predicted order is in fact correct, then  $\coprod \cong (\mathcal{R}^+/\mathfrak{f})^2$  as  $\mathcal{R}^+$ -modules. A defining polynomial for  $T^+$  is  $x^7 - 35x^5 + 350x^3 - 875x + 514$ .

**Remarks.** 1) These calculations were carried out in GP/Pari [B] on a Power Computing Power 100. We double-checked the calculation of  $L(1, A/H^+)$  by using the standard algorithm [BG] as well.

2) In examples 1 and 3, the class of the different in  $\mathcal{R}^+$  is not principal, so there is no power basis for  $\mathcal{R}^+/\mathbb{Z}$ . According to de Smit [dS], the non-triviality of the class of the different implies that, as  $\mathbb{Z}$ -algebra,  $\mathcal{R}^+$  is not a complete intersection. Note that  $\mathcal{R}^+$  is a *quotient* of the Hecke algebra  $\mathbb{T} \subset \text{End}(J_0(9p^2))$ .

## 5. Calculating $L(1, \psi)$

We now give a proof of Theorem 2. We divide this section into three parts. In the first two subsections, we relax the condition on the discriminant  $-d$ , requiring only that it be prime to 6. In the third subsection, we return to the case where  $d = p > 3$  is a prime  $\equiv 3 \pmod{8}$ , and complete the proof of Theorem 2.

**5.1. Eisenstein series of weight 1.** For an ideal  $\mathfrak{a}$  of  $\mathcal{O}_K$  prime to  $6d$ , define a partial Hecke series

$$Z(s, \mathfrak{a}) = \frac{1}{2} \sum'_{\lambda \in \mathfrak{a}} \frac{\epsilon(\lambda) \chi(|\lambda|^2) \bar{\lambda}}{|\lambda|^{2s}}, \quad (\Re(s) > 3/2).$$

As usual, the prime indicates that the sum is over the non-zero elements of  $\mathfrak{a}$ . Via a standard argument, one expresses the Hecke  $L$ -series  $L(s, \psi) =$



$\sum_{\mathfrak{b} \subseteq \mathcal{O}_K} \psi(\mathfrak{b}) \mathbb{N}\mathfrak{b}^{-s}$  in terms of the  $Z(s, \mathfrak{a})$ :

$$(6) \quad L(s, \psi) = \sum_{[\mathfrak{a}] \in \text{Cl}_K} \frac{\psi(\mathfrak{a})}{\mathbb{N}\mathfrak{a}^{1-s}} Z(s, \mathfrak{a}).$$

Recall the Eisenstein series (of weight 1 and character  $\epsilon$  on  $\Gamma_0(d)$ )

$$G_{1,\epsilon}(z) = \frac{1}{2} \sum'_{m,n \in \mathbb{Z}} \frac{\epsilon(n)}{mdz + n} \quad (z \in \mathcal{H}),$$

which is not an absolutely convergent series, but is summed by the Hecke trick

$$(7) \quad G_{1,\epsilon}(z) = \frac{1}{2} \sum'_{m,n} \frac{\epsilon(n)}{mdz + n} \frac{1}{|mdz + n|^{2s}} \bigg|_{s=0}.$$

Its Fourier expansion is given by Hecke [He, *Werke* p. 454]

$$(8) \quad G_{1,\epsilon}(z) = L(1, \epsilon) + \frac{2\pi}{\sqrt{d}} \sum_{n \geq 1} r_n e^{2\pi i n z},$$

where  $r_n = \sum_{m|n} \epsilon(m)$  is the number of ideals of norm  $n$  in  $\mathcal{O}_K$ . For an ideal  $\mathfrak{a}$  of  $\mathcal{O}_K$ , the associated binary theta series

$$\Theta_{\mathfrak{a}}(z) = \frac{1}{2} \sum_{\mathfrak{b} \subseteq \mathcal{O}_K, [\mathfrak{b}] = [\mathfrak{a}]} e^{2\pi i z \mathbb{N}\mathfrak{b}} \quad (z \in \mathcal{H}),$$

is a weight-one modular form (on  $\Gamma_0(d)$  with character  $\epsilon$ ) which depends only on the class  $[\mathfrak{a}] \in \text{Cl}_K$ . By (8) and Dirichlet's class number formula ( $L(1, \epsilon) = \pi h / \sqrt{d}$ ), the  $h$  binary series of discriminant  $-d$  add up to a constant times  $G_{1,\epsilon}$ :

$$(9) \quad G_{1,\epsilon}(z) = \frac{2\pi}{\sqrt{d}} \sum_{[\mathfrak{a}] \in \text{Cl}_K} \Theta_{\mathfrak{a}}(z).$$

In [RVZ],  $L(1, \phi)$  was expressed as a sum of values of  $G_{1,\epsilon}$  at CM-points. Here we do the same for  $L(1, \psi)$ , but the expression is, at least initially, more complicated as we must pass through an intermediary twisted Eisenstein series

$$G_{1,\epsilon,\chi}(z) = \frac{1}{2} \sum'_{m,n} \frac{\epsilon(n) \chi(m^2 d + n^2)}{mdz + n},$$

again summed via Hecke's trick. One way to calculate the Fourier expansion of  $G_{1,\epsilon,\chi}$  is to relate it to  $G_{1,\epsilon}$ .

**Lemma 6.** *For all  $z \in \mathcal{H}$ ,  $G_{1,\epsilon,\chi}(z) = \kappa(G_{1,\epsilon}(3z) - \frac{1}{3}G_{1,\epsilon}(z/3))$ .*

*Proof.* We can break up the sum (7) according to congruence classes modulo 3, and verify:

$$\begin{aligned}
& \frac{1}{2} \sum_{\substack{m \in 3\mathbb{Z} \\ n \notin 3\mathbb{Z}}} \frac{\epsilon(n)}{mdz+n} \frac{1}{|mdz+n|^{2s}} \Big|_{s=0} = G_{1,\epsilon}(3z) - \frac{\epsilon(3)}{3} G_{1,\epsilon}(z), \\
& \chi(d) \frac{1}{2} \sum_{\substack{m \notin 3\mathbb{Z} \\ n \in 3\mathbb{Z}}} \frac{\epsilon(n)}{mdz+n} \frac{1}{|mdz+n|^{2s}} \Big|_{s=0} = \\
& \chi(d) \frac{\epsilon(3)}{3} (G_{1,\epsilon}(\frac{z}{3}) - G_{1,\epsilon}(z)), \\
& \chi(d+1) \frac{1}{2} \sum_{\substack{m \notin 3\mathbb{Z} \\ n \notin 3\mathbb{Z}}} \frac{\epsilon(n)}{mdz+n} \frac{1}{|mdz+n|^{2s}} \Big|_{s=0} = \\
& \chi(d+1) \left[ \left( 1 + \frac{\epsilon(3)}{3} \right) G_{1,\epsilon}(z) - G_{1,\epsilon}(3z) - \frac{\epsilon(3)}{3} G_{1,\epsilon}(\frac{z}{3}) \right].
\end{aligned}$$

Adding these together, and taking note of the identities  $\chi(d) = -\epsilon(3)$ ,  $\chi(d+1) = (\epsilon(3) - 1)/2$ , we get the desired formula.  $\square$

We are now ready to express the critical value  $L(1, \psi)$  in terms of binary theta series evaluated at CM points.

**Lemma 7.** *With  $\kappa$  as in (1), we have*

$$L(1, \psi) = \frac{2\pi\kappa}{\sqrt{d}} \sum_{[\mathfrak{a}], [\mathfrak{a}_1] \in \text{Cl}_K} \overline{\psi}(\mathfrak{a})^{-1} \left( \Theta_{\mathfrak{a}\mathfrak{a}_1}(3\tau_{\mathfrak{a}}) - \frac{1}{3} \Theta_{\mathfrak{a}\mathfrak{a}_1} \left( \frac{\tau_{\mathfrak{a}}}{3} \right) \right).$$

*Proof.* Suppose  $\mathfrak{a}$  is primitive and has norm  $a$  prime to  $6d$ . Elements  $\lambda \in \mathfrak{a}$  correspond to integer pairs  $m, n$  via  $\lambda = a(md\tau_{\mathfrak{a}} + n)$ ; for this  $\lambda$ , one easily checks that  $\epsilon(\lambda) = \epsilon(n)$ ,  $\chi(|\lambda|^2) = \chi(m^2d + n^2)$ . Hence

$$Z(s, \mathfrak{a}) = \frac{a^{1-2s}}{2} \sum'_{m,n} \frac{\epsilon(n) \chi(m^2d + n^2) \overline{(md\tau_{\mathfrak{a}} + n)}}{|md\tau_{\mathfrak{a}} + n|^{2s}}, \quad (\Re(s) > 3/2).$$

In particular,

$$(10) \quad Z(1, \mathfrak{a}) = a^{-1} G_{1,\epsilon,\chi}(\tau_{\mathfrak{a}}).$$

Combining (10) with (2) and (6), and using Lemma 6 together with (9), we arrive at the desired formula.  $\square$

**5.2. A factorization formula.** Our goal in this subsection is to express each term in the sum appearing in Lemma 7 as a product of two  $\eta$ -values times simple constants. We derive this from a suitable generalization of the factorization formula of Rodriguez Villegas and Zagier [RVZ], which reads

$$(11) \quad \sum_{m,n \in \mathbb{Z}} e^{2\pi i(m\nu + n\mu)} e^{\pi(imn - Q_z(m,n))/a} = \sqrt{2ay} \theta \left[ \begin{smallmatrix} a\mu \\ \nu \end{smallmatrix} \right] (z/a) \theta \left[ \begin{smallmatrix} \mu \\ -a\nu \end{smallmatrix} \right] (-a\bar{z}),$$

where  $a$  is a positive integer,  $z = x + iy \in \mathcal{H}$ ,  $Q_z(m, n) = |mz - n|^2/2y$  is a quadratic form of discriminant  $-1$ , and the theta function  $\theta \left[ \begin{smallmatrix} \mu \\ \nu \end{smallmatrix} \right]$  with arbitrary characteristics  $\mu, \nu \in \mathbb{Q}$  is defined by

$$\theta \left[ \begin{smallmatrix} \mu \\ \nu \end{smallmatrix} \right] (z) = \sum_{n \in \mathbb{Z}} e^{\pi i(n+\mu)^2 z + 2\pi i\nu(n+\mu)}.$$

More generally, for any function  $f$  on  $\mathbb{Z}$ , we put

$$\theta_f \left[ \begin{smallmatrix} \mu \\ \nu \end{smallmatrix} \right] (z) = \sum_{n \in \mathbb{Z}} f(n) e^{\pi i(n+\mu)^2 z + 2\pi i\nu(n+\mu)}.$$

For later reference, we note the identities

$$(12) \quad \theta \left[ \begin{smallmatrix} \mu + r \\ \nu \end{smallmatrix} \right] (z) = \theta \left[ \begin{smallmatrix} \mu \\ \nu \end{smallmatrix} \right] (z), \quad \theta \left[ \begin{smallmatrix} \mu \\ \nu + r \end{smallmatrix} \right] (z) = e^{2\pi i\mu r} \theta \left[ \begin{smallmatrix} \mu \\ \nu \end{smallmatrix} \right] (z), \quad (r \in \mathbb{Z}).$$

For a positive integer  $N$ , and a function  $g$  modulo  $N$ , recall that the (finite) *Fourier Transform*  $\hat{g}$  of  $g$  is defined by

$$\hat{g}(s) = \sum_{r \bmod N} g(r) e_N(-rs),$$

where  $e_N(x) = e^{2\pi i x/N}$ . For an integer  $a$  relatively prime to  $N$ , and functions  $f, g$  modulo  $N$ , we introduce the (finite) *Wigner Transform*  $W_{f,g}^{(a)}$  defined (as a function of pairs of integers modulo  $N$ ) by

$$W_{f,g}^{(a)}(m, n) = \sum_{r, s \bmod N} f(r) \hat{g}(s) e_N(ars) e_N(ms + nr).$$

(For the classical Wigner transform, see Folland [Fo]). A simple change of variables yields the expression

$$(13) \quad W_{f,g}^{(a)}(m, n) = N \sum_{r \bmod N} f(r) g(ar + m) e_N(rn).$$

We are now ready to state the promised factorization formula.

**Proposition 8.** *Suppose  $a, N$  are relatively prime positive odd integers, and  $\mu, \nu$  are rational numbers; assume that the denominator of  $\mu$  is relatively prime to  $N$ . For functions  $f, g$  modulo  $N$ , and  $z = x + iy$  in the upper half-plane, we have*

$$\sum_{m,n \in \mathbb{Z}} e^{2\pi i(m\nu + n\mu)} W_{f,g}^{(a)}(m, n) e^{\pi(imn - Q_z(m,n))/aN} =$$

$$N\sqrt{2yaN} \theta_g \left[ \begin{smallmatrix} aN\mu \\ \nu \end{smallmatrix} \right] (z/aN) \theta_f \left[ \begin{smallmatrix} N\mu \\ -a\nu \end{smallmatrix} \right] (-a\bar{z}/N).$$

*Proof.* Suppose  $r, s$  are integers and that  $s\mu \in \mathbb{Z}$ . We consider the factorization formula (11) with new parameters  $\mu + r/N, \nu + s/N, aN$  in place of  $\mu, \nu, a$ , and multiply both sides by  $f(r)\hat{g}(s)e_N(ars)$ , then add over  $r, s$  modulo  $N$  to get

$$\sum_{m,n \in \mathbb{Z}} e^{2\pi i(m\nu + n\mu)} W_{f,g}^{(a)}(m, n) e^{\pi(imn - Q_z(m,n))/aN} =$$

$$\sqrt{2yaN} \sum_{s \bmod N} \hat{g}(s) \theta \left[ \begin{smallmatrix} aN\mu \\ \nu + s/N \end{smallmatrix} \right] (z/aN) \sum_{r \bmod N} f(r) \theta \left[ \begin{smallmatrix} \mu + r/N \\ -aN\nu \end{smallmatrix} \right] (-aN\bar{z}).$$

In the first sum on the right hand side of the above equation, we may choose representatives  $s \bmod N$  with  $s\mu \in \mathbb{Z}$  since  $N$  is prime to the denominator of  $\mu$ . It remains to simplify the two sums of theta series. First, the sum over  $s$ :

$$\sum_{s \bmod N} \hat{g}(s) \theta \left[ \begin{smallmatrix} aN\mu \\ \nu + s/N \end{smallmatrix} \right] (z/aN) = \sum_{m \in \mathbb{Z} + aN\mu} \sum_{s \bmod N} \hat{g}(s) e_N(sm) e^{2\pi i\nu m} e^{\frac{2\pi i m^2 z}{aN}},$$

$$= \sum_{n \in \mathbb{Z}} N g(n) e^{2\pi i\nu(n + aN\mu)} e^{\frac{\pi i(n + aN\mu)^2 z}{aN}},$$

$$= N \theta_g \left[ \begin{smallmatrix} aN\mu \\ \nu \end{smallmatrix} \right] (z/aN).$$

For the sum over  $r$ , we write:

$$\sum_{r \bmod N} f(r) \theta \left[ \begin{smallmatrix} \mu + r/N \\ -aN\nu \end{smallmatrix} \right] (-aN\bar{z}) = \sum_{m \in \mathbb{Z} + \mu + r/N} f(r) e^{-2\pi i m a N \nu} e^{-\pi i m^2 a N \bar{z}},$$

then make a change of variables

$$m = \mu + n/N, \quad n \in \mathbb{Z}, n \equiv r \bmod N, \quad m^2 = \frac{(n + N\mu)^2}{N^2},$$

to get

$$= \sum_{r \bmod N} \sum_{n \equiv r \bmod N} f(n) e^{2\pi i(-a\nu)(n + N\mu)} e^{\pi i(n + N\mu)^2(-a\bar{z}/N)}$$

$$= \theta_f \left[ \begin{smallmatrix} N\mu \\ -a\nu \end{smallmatrix} \right] (-a\bar{z}/N).$$

This completes the proof.  $\square$

**Proposition 9.** *For ideals  $\mathfrak{a}, \bar{\mathfrak{a}}_1$  of  $\mathcal{O}_K$  relatively prime to  $6d$  and to each other,*

$$\Theta_{\mathfrak{a}\bar{\mathfrak{a}}_1}(3\tau_{\mathfrak{a}}) - \frac{1}{3}\Theta_{\mathfrak{a}\bar{\mathfrak{a}}_1}(\tau_{\mathfrak{a}}/3) = \frac{2\delta d^{1/4}}{\sqrt{3a_1}} \left(\frac{3}{a}\right) \eta(z_{\mathfrak{a}^2\bar{\mathfrak{a}}_1}) \overline{\eta(z_{\bar{\mathfrak{a}}_1})}.$$

*Proof.* We first plug in  $\mu = 1/2, \nu = \delta/2, f = g = \chi$  into the factorization formula and use the identity

$$\theta_{\chi} \left[ \begin{smallmatrix} 3r/2 \\ s/2 \end{smallmatrix} \right] (z/3) = 2\delta e^{3\pi i r s/4} \left(\frac{-4}{r}\right) \eta(z), \quad (r \equiv 1 \pmod{2}, s \equiv \delta \pmod{2}, z \in \mathcal{H}),$$

which is easily verified using (3), to obtain

$$(16) \quad \sum_{m,n \in \mathbb{Z}} (-1)^{m\delta+n} W_{\chi,\chi}^{(a)}(m,n) e^{\pi(imn - Q_z(m,n))/3a} = 12\delta\sqrt{6ya} \left(\frac{-4}{a}\right) \eta(z/a) \overline{\eta(az)}.$$

Recall that for  $z = x + iy$ ,  $Q_z(m,n) = |mz - n|^2/2y$ . We may choose a basis

$$\mathfrak{a}^2\bar{\mathfrak{a}}_1 = [a^2a_1, (b + \sqrt{-d})/2], \quad b \in 3d\mathbb{Z}, \quad b \equiv 1 \pmod{16},$$

and put

$$z = \frac{b + \sqrt{-d}}{2aa_1},$$

so that  $a_1z/d = \tau_{\mathfrak{a}}$ ,  $z/a = z_{\mathfrak{a}^2\bar{\mathfrak{a}}_1}$ , and  $az = z_{\bar{\mathfrak{a}}_1}$ . The integer  $c = (b^2 + d)/4aa_1$  is odd and divisible by  $a$ . To simplify the notation, let

$$Q(m,n) = Q_z(m,n)/\sqrt{d} = cm^2 - bmn + aa_1n^2$$

be a quadratic form associated to  $\mathfrak{a}\bar{\mathfrak{a}}_1 = aa_1[1, z]$ . Substituting the congruence

$$\pi(imn - Q_z(m,n))/3a \equiv 2\pi i(Q(m,n)\tau_{\mathfrak{a}}/3 + \frac{m\delta + n}{2} - amn/3) \pmod{2\pi i\mathbb{Z}}$$

into (16), we find

$$\sum_{m,n \in \mathbb{Z}} W_{\chi,\chi}^{(a)}(m,n) e_3(-amn) e^{2\pi i Q(m,n)\tau_{\mathfrak{a}}/3} = 12\delta d^{1/4} \sqrt{\frac{3}{a_1}} \left(\frac{-4}{a}\right) \eta(z_{\mathfrak{a}^2\bar{\mathfrak{a}}_1}) \overline{\eta(z_{\bar{\mathfrak{a}}_1})}.$$

It is easy (using (13), say) to verify that

$$\frac{1}{3} W_{\chi,\chi}^{(a)}(m,n) e_3(-amn) = \begin{cases} 2\chi(a) & \text{if } m \equiv n \equiv 0 \pmod{3}, \\ -\chi(a) & \text{otherwise.} \end{cases}$$

Plugging this into the previous equation yields the result since

$$\Theta_{\mathfrak{a}\mathfrak{a}_1}(3\tau_{\mathfrak{a}}) = \frac{1}{2} \sum_{m,n \in 3\mathbb{Z}} e^{2\pi i Q(m,n)\tau_{\mathfrak{a}}/3}.$$

□

From the transformation properties of the modular form  $\Theta_{\mathfrak{a}}(z)$  under homotheties of  $\mathfrak{a}$  and under the  $\Gamma_0(d)$ -action on  $z$ , we deduce the following:

**Corollary 10.** *Suppose  $\mathfrak{a}, \bar{\mathfrak{a}}_1$  are as in the Proposition, and that  $d \equiv 3 \pmod{8}$ . Then,*

$$\left(\frac{-4}{\mathbb{N}\mathfrak{a}}\right) \bar{\phi}(\mathfrak{a})^{-1} \eta(z_{\mathfrak{a}^2\mathfrak{a}_1})$$

*depends only the Hecke character  $\phi$ , the ideal  $\mathfrak{a}_1$  and the ideal class  $[\mathfrak{a}]$  of  $\mathfrak{a}$ .*

When  $d \equiv 7 \pmod{8}$ , i.e.  $\delta = 0$ , we see from Proposition 9, together with (10) and (9), that each  $Z(1, \mathfrak{a})$  vanishes, in particular  $L(1, \psi) = 0$ . Of course, the latter also follows easily from the calculation of the sign in the functional equation of  $L(s, \psi)$  [Gr1, Theorem 19.1.1].

**5.3. Proof of Theorem 2.** Suppose  $d = p > 3$  is  $\equiv 3 \pmod{8}$ . We are finally ready to write  $L(1, \psi)$  as a period times the square of a non-zero algebraic integer in  $M^+$ .

*Proof of Theorem 2.* Combining Proposition 9 and Lemma 7, we have

$$L(1, \psi_0\varphi) = \frac{4\pi\kappa}{3^{1/2}p^{1/4}} \sum_{[\mathfrak{a}], [\mathfrak{a}_1]} \frac{(\overline{\varphi\psi_0})(\mathfrak{a})^{-1}}{\sqrt{a_1}} \left(\frac{3}{a}\right) \eta(z_{\mathfrak{a}^2\mathfrak{a}_1}) \overline{\eta(z_{\mathfrak{a}_1})}.$$

Since  $h$  is odd, every ideal class is representable by a square ideal. Now we change variables twice, first replacing  $\mathfrak{a}_1$  by  $\mathfrak{a}_1^2$ , then replacing  $\mathfrak{a}$  by  $\mathfrak{a}\mathfrak{a}_1^{-1}$  to get

$$\begin{aligned} L(1, \psi_0\varphi) &= \frac{4\pi\kappa}{3^{1/2}p^{1/4}} \sum_{[\mathfrak{a}], [\mathfrak{a}_1]} (\overline{\varphi\psi_0})(\mathfrak{a})^{-1} \left(\frac{3}{a}\right) \eta(z_{\mathfrak{a}^2}) \frac{(\overline{\varphi\psi_0})(\mathfrak{a}_1)}{a_1} \left(\frac{3}{a_1}\right) \overline{\eta(z_{\mathfrak{a}_1^2})} \\ &= \frac{4\pi\kappa}{3^{1/2}p^{1/4}} \left| \sum_{[\mathfrak{a}]} (\overline{\varphi\psi_0})(\mathfrak{a})^{-1} \left(\frac{-4}{\mathbb{N}\mathfrak{a}}\right) \eta(z_{\mathfrak{a}^2}) \right|^2 \\ &= \frac{4\pi\kappa |\eta(z_{\mathcal{O}})|^2}{3^{1/2}p^{1/4}} \left| \sum_{C \in \text{Cl}_K} u_C^\varphi \right|^2. \end{aligned}$$

This completes the proof, since  $s^\varphi$  is real. □

**Corollary 11.** *For  $\psi \in \Psi$ ,  $L(1, \psi) > 0$ .*

□

## 6. Tables

In this section, we present the results of numerical calculations in the form of a few tables. In the first table, for primes  $3 < p < 3000$ , congruent to 3 modulo 8, we list  $p$ ,  $h$ , and  $S = \pm N_{T^+/\mathbb{Q}}(\mathfrak{f})$  (computed via (5)), the square root of the predicted order of  $\coprod_{B/\mathbb{Q}}$ . Since  $u_C$  is a unit, it is immediate from our formula that  $A(p)^{-3}$  has trivial predicted Tate-Shafarevich group for the class number one discriminants  $-p = -11, -19, -43, -67, -163$ . Of the 108 cases listed in table 1, 44 have even  $S$ , 39 have  $S$  divisible by 3, and 20 have  $S$  divisible by 5. None has  $S$  divisible by  $p$ .

In the second, third and fourth tables, for primes  $p \equiv 3 \pmod{8}$  such that  $\mathbb{Q}(\sqrt{-p})$  has class number  $h = 3, 5$ , or 7, and such that  $\mathcal{R}^+$  is the maximal order  $\mathcal{O}_{T^+}$ , we list  $p$  and  $\mathfrak{f}$ , the ideal whose square is conjecturally the order ideal of the Tate-Shafarevich group of  $B/\mathbb{Q}$ . In all cases other than the three detailed in section 4, the  $\mathcal{R}^+$ -ideal  $\mathfrak{f}$  is principal. The notation for these tables is as follows:  $\mathfrak{p}_r$  denotes a prime of degree one over the rational prime  $r$ , and  $\mathfrak{q}_{r,f}$  denotes a prime of degree  $f > 1$  over  $r$ . When there is more than one prime of degree 1, respectively of degree  $f > 1$ , in  $\mathcal{R}^+$ , we write instead  $\mathfrak{p}'_r, \mathfrak{p}''_r, \dots$ , respectively  $\mathfrak{q}'_{r,f}, \mathfrak{q}''_{r,f}, \dots$ . In the latter cases, we have not specified exactly which ideals occur in  $\mathfrak{f}$ , in order to keep the notation from becoming even more cumbersome. Note that primes of degree one are much more prevalent.

Finally, we take this opportunity to make a few remarks on a table (for the curve  $A(p)$ ,  $p \equiv 7 \pmod{8}$ ), which appears in [RV]; it was noted in that paper that within the range of calculations ( $p < 3000$ ) the number  $\mathcal{S}(p)$  (whose square is the predicted order of  $\coprod_{A(p)/F}$ ) was rarely even, and never divisible exactly by 2. The latter part of this observation is easily explained: it was shown by Gross [Gr1] that  $\text{Gal}(H/K)$ , a group of odd order, acts non-trivially (indeed without fixed points) on the 2-part of  $\coprod_{A(p)/F}$ , and this action commutes with the Cassels-Tate pairing. It follows (e.g. from Iwasawa [Iw]) that the 2-rank of  $\coprod_{A(p)/F}$ , if non-zero, is at least  $2f$  where  $f$  is the minimum, over prime divisors  $q$  of  $h$ , of the order of 2 in  $(\mathbb{Z}/q\mathbb{Z})^*$ . As for the rarity of  $\coprod_{A(p)/F}$  of even order, Gross has pointed out that there is heuristic evidence (and we have verified numerically) that the 2-part of this group is trivial if and only if the 2-part of the class group of  $F$  is trivial. The Cohen-Lenstra heuristic suggests that the latter should often be the case (again sustained by numerical data [Ha]) since the class group of  $F$  is non-cyclic whenever it is not trivial.

$p$	$h$	$S$	$ S $	$p$	$h$	$S$	$ S $	$p$	$h$	$S$	$ S $
11	1	1	1	131	5	-6	$2 \cdot 3$	283	3	-11	11
19	1	1	1	139	3	-1	1	307	3	-8	$2^3$
43	1	1	1	163	1	1	1	331	3	-7	7
59	3	-3	3	179	5	20	$2^2 \cdot 5$	347	5	-36	$2^2 \cdot 3^2$
67	1	1	1	211	3	3	3	379	3	-13	13
83	3	-5	5	227	5	8	$2^3$	419	9	2143	2143
107	3	-1	1	251	7	358	$2 \cdot 179$	443	5	100	$2^2 \cdot 5^2$

$p$	$h$	$S$	$ S $
467	7	44	$2^2 \cdot 11$
491	9	-105	$3 \cdot 5 \cdot 7$
499	3	-9	$3^2$
523	5	11	11
547	3	12	$2^2 \cdot 3$
563	9	381	$3 \cdot 127$
571	5	-4	$2^2$
587	7	90	$2 \cdot 3^2 \cdot 5$
619	5	15	$3 \cdot 5$
643	3	13	13
659	11	473	$11 \cdot 43$
683	5	-31	31
691	5	19	19
739	5	4	$2^2$
787	5	-15	$3 \cdot 5$
811	7	246	$2 \cdot 3 \cdot 41$
827	7	-152	$2^3 \cdot 19$
859	7	289	$17^2$
883	3	7	7
907	3	25	$5^2$
947	5	-15	$3 \cdot 5$
971	15	702121	$7^3 \cdot 23 \cdot 89$
1019	13	-176	$2^4 \cdot 11$
1051	5	89	89
1091	17	311264513	$7^2 \cdot 67 \cdot 94811$
1123	5	144	$2^4 \cdot 3^2$
1163	7	166	$2 \cdot 83$
1171	7	-23	23
1187	9	-9354	$2 \cdot 3 \cdot 1559$
1259	15	34101824	$2^6 \cdot 23 \cdot 23167$
1283	11	-207248	$2^4 \cdot 12953$
1291	9	-187	$11 \cdot 17$
1307	11	1739184	$2^4 \cdot 3 \cdot 19 \cdot 1907$
1427	15	-26904385	$5 \cdot 71 \cdot 75787$
1451	13	761379	$3 \cdot 17 \cdot 14929$
1459	11	-74928	$2^4 \cdot 3 \cdot 7 \cdot 223$
1483	7	8	$2^3$
1499	13	45498	$2 \cdot 3 \cdot 7583$
1523	7	2485	$5 \cdot 7 \cdot 71$
1531	11	-22720	$2^6 \cdot 5 \cdot 71$
1571	17	242697813	$3 \cdot 199 \cdot 223 \cdot 1823$
1579	9	7611	$3 \cdot 43 \cdot 59$
1619	15	-88275739	$29 \cdot 401 \cdot 7591$



$p$	$h$	$S$	$ S $
1627	7	747	$3^2 \cdot 83$
1667	13	9626039	9626039
1699	11	-33342	$2 \cdot 3 \cdot 5557$
1723	5	-175	$5^2 \cdot 7$
1747	5	-190	$2 \cdot 5 \cdot 19$
1787	7	-1942	$2 \cdot 971$
1811	23	-27172020350	$2 \cdot 5^2 \cdot 43 \cdot 12638149$
1867	5	-609	$3 \cdot 7 \cdot 29$
1907	13	303885	$3^3 \cdot 5 \cdot 2251$
1931	21	-119115284992	$2^9 \cdot 11 \cdot 21149731$
1979	23	640380659636	$2^2 \cdot 19 \cdot 491 \cdot 17161021$
1987	7	2307	$3 \cdot 769$
2003	9	-229719	$3 \cdot 7 \cdot 10939$
2011	7	54	$2 \cdot 3^3$
2027	11	144642	$2 \cdot 3 \cdot 24107$
2083	7	-13409	$11 \cdot 23 \cdot 53$
2099	19	39574291187	$83 \cdot 476798689$
2131	13	-4031	$29 \cdot 139$
2179	7	-108	$2^2 \cdot 3^3$
2203	5	-286	$2 \cdot 11 \cdot 13$
2243	15	-12460096	$2^6 \cdot 11^2 \cdot 1609$
2251	7	1008	$2^4 \cdot 3^2 \cdot 7$
2267	11	1598897	1598897
2339	19	-27980957102	$2 \cdot 7 \cdot 523 \cdot 3821491$
2347	5	635	$5 \cdot 127$
2371	13	90441	$3^2 \cdot 13 \cdot 773$
2411	23	-237374573222	$2 \cdot 37 \cdot 1163 \cdot 2758181$
2459	19	96436584	$2^3 \cdot 3^2 \cdot 67 \cdot 19991$
2467	7	72713	$19 \cdot 43 \cdot 89$
2531	17	20814832370	$2 \cdot 5 \cdot 73 \cdot 547 \cdot 52127$
2539	11	24685	$5 \cdot 4937$
2579	21	-6427649341	6427649341
2659	13	371447	371447
2683	5	15	$3 \cdot 5$
2699	15	1378519456	$2^5 \cdot 43 \cdot 1001831$
2707	7	-4901	$13^2 \cdot 29$
2731	11	-1092	$2^2 \cdot 3 \cdot 7 \cdot 13$
2803	9	29181	$3 \cdot 71 \cdot 137$
2819	21	-5427534418429	$23 \cdot 37 \cdot 6377831279$
2843	15	4551355173	$3 \cdot 1517118391$
2851	11	-25563	$3 \cdot 8521$
2939	29	1677457225439091	$3^4 \cdot 31 \cdot 151 \cdot 33937 \cdot 130363$
2963	13	-96843276	$2^2 \cdot 3^6 \cdot 33211$
2971	11	95873	95873

$p$	$f$	$p$	$f$	$p$	$f$
59	$p_3$	131	$p_2 \cdot p_3$	251	$p_2 \cdot p_{179}$
83	$p'_5$	179	$p_2^2 \cdot p_5$	467	$p_2^2 \cdot p_{11}$
139	(1)	227	$p_2^3$	587	$p_2 \cdot p_3^2 \cdot p_5$
211	$p_3$	523	$p'_{11}$	811	$p_2 \cdot p_3 \cdot p_{41}$
283	$p_{11}$	571	$p_2^2$	827	$p_2^3 \cdot p_{19}$
307	$p_2'^2 \cdot p_2''$	619	$p_3 \cdot p_5$	859	$p_{17}^2$
379	$p_{13}$	683	$p_{31}$	1171	$p_{23}$
499	$p_3^2$	691	$p'_{19}$	1483	$p_2^3$
547	$p_2' \cdot p_2'' \cdot p_3$	787	$p_3 \cdot p_5$	1523	$p_5 \cdot p_7 \cdot p_{71}$
883	$p_7$	947	$p_3 \cdot p_5$	1627	$p_3^2 \cdot p_{83}$
907	$p_5'^2$	1747	$p_2 \cdot p_5 \cdot p_{19}$	1787	$p_2 \cdot p_{971}$
	$h = 3$	1867	$p_3 \cdot p_7 \cdot p_{29}'$	1987	$p_3 \cdot p_{769}$
		2203	$p_2 \cdot p_{11} \cdot p_{13}$	2011	$p_2 \cdot q_{3,3}'$
		2347	$p_5 \cdot p_{127}$	2083	$p_{11} \cdot p_{23} \cdot p_{53}$
		2683	$p_3 \cdot p_5$	2179	$p_2^2 \cdot p_3^3$
			$h = 5$	2251	$p_2^4 \cdot p_3^2 \cdot p_7$
				2467	$p_{19} \cdot p_{43} \cdot p_{89}$
				3019	$p_{15373}$
				3067	$p_2^4 \cdot p_{5683}$
				3187	$p_2 \cdot p_3$
				3907	$p_2^8 \cdot p_3 \cdot p_5^2$
				4603	$p_3^2 \cdot p_{83}'$
				5107	$p_2 \cdot p_7^2 \cdot p_{673}$
				5923	$p_{11} \cdot p_{14879}$
					$h = 7$

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