

THE ALGEBRAIC FUNCTIONAL EQUATION OF AN ELLIPTIC CURVE AT SUPERSINGULAR PRIMES

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ABSTRACT. Since the analytic functional equation holds for the $\pm p$ -adic L -functions constructed in [7], the algebraic functional equation for the \pm -Selmer groups is expected to hold as well. In this paper, we show it following the ideas of [1] and [4].

1. Introduction

We let E be an elliptic curve defined over \mathbb{Q} and let $p > 3$ be a prime at which E has good supersingular reduction. We let K be an abelian extension of \mathbb{Q} such that $[K : \mathbb{Q}]$ is prime to p and p is unramified over K/\mathbb{Q} .

Let K_∞ be the cyclotomic \mathbb{Z}_p -extension of K . We define $\text{Sel}_p^-(E/K_\infty)$ following [5], [2], and [4]. We will explain this construction in the following sections.

Throughout this paper we use the following notation: Let $g = [K : \mathbb{Q}]$, $O = \mathbb{Z}_p[\mu_g]$, and $F = \mathbb{Q}_p(\mu_g)$. Let $\Gamma = \text{Gal}(K_\infty/K)$, $\Lambda = \mathbb{Z}_p[[\Gamma]]$, and $\Lambda_O = \Lambda \otimes O$ (the reason for tensoring with O will be explained later in this introduction). We identify Λ_O with the integral power series ring $O[[X]]$ by identifying a topological generator γ of Γ with $1 + X$. When M is a O -module, we let M^\vee denote the O -Pontryagin dual $\text{Hom}_O(M, F/O)$ where Hom_O is the set of continuous O -homomorphisms.

Using Kato's and Rohrlich's work we will show $\text{Sel}_p^-(E/K_\infty)$ is Λ -cotorsion, and following Greenberg's idea we will show

$$(1) \quad (\text{Sel}_p^-(E/K_\infty) \otimes O)^\vee \sim (\text{Sel}_p^-(E/K_\infty)^\iota \otimes O)^\vee$$

where \sim is a $O[[\text{Gal}(K_\infty/\mathbb{Q})]]$ -pseudo-isomorphism (a homomorphism with finite kernel and cokernel) and ι is the standard involution given by $g \rightarrow g^{-1}$ for any $g \in \text{Gal}(K_\infty/\mathbb{Q})$.

This implies that the characteristic ideal $(a) \subset \Lambda$ of the Pontryagin dual of $\text{Sel}_p^-(E/K_\infty)$ is nonzero and satisfies the algebraic functional equation $(a) = (a^\iota)$. Pollack showed the analytic counterpart of this result, the analytic functional equation of minus- p -adic L -functions. (See [7] Theorem 5.13. He also proved that of plus- p -adic L -functions.) The main conjecture of Iwasawa theory of \pm -Selmer groups (see [5]) implies the analytic functional equation is equivalent to the algebraic functional equation.

Furthermore it is possible to formulate and prove one divisibility of the main conjecture of Iwasawa theory for $\text{Sel}_p^-(E/K_\infty)$ similar to [5], in which one divisibility of that conjecture for $\text{Sel}_p^\pm(E/\mathbb{Q}(\mu_{p^\infty}))$ was proven. (The proof is very similar to [5], and we will omit it.)

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Applying our technique to the plus Selmer groups might be a little difficult. The construction of plus norm subgroups in [4] does not seem to always work unlike minus norm subgroups. However, when $K = \mathbb{Q}(\mu_p)$ or p splits completely over K/\mathbb{Q} , we have the plus norm subgroups as constructed in [5] and [2], and we can prove the algebraic functional equation for the plus Selmer groups without modifying our technique.

This paper uses the idea of [1] which we now recall.

We let $\Delta = \text{Gal}(K/\mathbb{Q})$ and $\mathbb{Q}_\infty = K_\infty^\Delta$. We let \mathbb{Q}_n denote the subfield of \mathbb{Q}_∞ with $\text{Gal}(\mathbb{Q}_n/\mathbb{Q}) \cong \mathbb{Z}/p^n\mathbb{Z}$ (similarly K_n denotes the subfield of K_∞ with $\text{Gal}(K_n/K) \cong \mathbb{Z}/p^n\mathbb{Z}$), and Γ_n denote $\text{Gal}(K_\infty/K_n)$.

We let A denote $E[p^\infty] \otimes O$. For a character η of Δ we let $\epsilon_\eta = \sum_{\sigma \in \Delta} \eta(\sigma^{-1})\sigma$ and A_η be A with action twisted by η . (As you might have noticed, to twist the action of Δ by η , we need to tensor $E[p^\infty]$ with O .)

The group Δ acts naturally on $\text{Sel}_p^-(E/K_\infty)$. Since $[K : \mathbb{Q}]$ is prime to p , we have the decomposition $\text{Sel}_p^-(E/K_\infty) \otimes O \cong \bigoplus_\eta \epsilon_\eta (\text{Sel}_p^-(E/K_\infty) \otimes O)$ where η runs over all characters of Δ . Thus to show

$$\text{Sel}_p^-(E/K_\infty) \otimes O \sim \text{Sel}_p^-(E/K_\infty)^\iota \otimes O$$

as $O[[\text{Gal}(K_\infty/\mathbb{Q})]]$ -modules, it is enough to show

$$\epsilon_\eta (\text{Sel}_p^-(E/K_\infty) \otimes O) \sim \epsilon_{\bar{\eta}} (\text{Sel}_p^-(E/K_\infty)^\iota \otimes O)$$

as Λ_O -modules for each character η of Δ .

To do so, we will define a local condition $H_{\mathcal{F}}^1(\mathbb{Q}_{\infty,v}, A_\eta)$ for every place v of \mathbb{Q}_∞ such that the group $S_\eta = H_{\mathcal{F}}^1(\mathbb{Q}_\infty, A_\eta)$ associated to this local condition is isomorphic to $(\text{Sel}_p^-(E/K_\infty) \otimes O)^\eta$. By Iwasawa theory (see proposition 3.6), to show $S_\eta \sim S_{\bar{\eta}}^\iota$, it is sufficient to show that $\text{corank}_O(S_\eta \otimes \Lambda_O/(f))^\Gamma = \text{corank}_O(S_{\bar{\eta}} \otimes \Lambda_O/(f^\iota))^\Gamma$ for every monic polynomial $f \in \Lambda_O$ and that $|S_{\eta}^{\Gamma_m}[p^n]|/|S_{\bar{\eta}}^{\Gamma_m}[p^n]|$ is bounded as m and n vary. The critical part in establishing this is to show the local conditions satisfy duality with respect to the local pairings (proposition 2.5).

Remark 1.1. For an O -module M with Δ -action and a character η of Δ , we let M^η denote the submodule of M where every $\sigma \in \Delta$ acts as multiplication by $\eta(\sigma)$. In fact, we can identify $M^\eta = \epsilon_\eta M$ and will use them interchangeably.

2. The minus decomposition of a formal group

As we mentioned earlier, let K_∞ be the cyclotomic \mathbb{Z}_p -extension of K . In other words K_∞ is $K(\mu_{p^\infty})^{\Delta'}$ where $\text{Gal}(K(\mu_{p^\infty})/K) \cong \Gamma \times \Delta'$ with $\Gamma \cong \mathbb{Z}_p$ and a torsion subgroup Δ' . We let K_n denote the subfield of K_∞ with $\text{Gal}(K_n/K) \cong \mathbb{Z}/p^n\mathbb{Z}$.

Suppose P is a prime of K lying above p . Let k be K_P , k_n be $K_{n,q}$ where q denotes the unique prime of K_n lying above P , and k_∞ be $\bigcup_{n=0}^\infty k_n$ (also let $k_{-1} = k$).

For an extension L of \mathbb{Q}_p we let O_L denote the ring of integers of L , and m_L denote the unique maximal ideal of O_L . Let \hat{E} be the formal group over \mathbb{Z}_p associated to E . We let $\hat{E}(L)$ denote $\hat{E}(m_L)$.

Definition 2.1. We define

$$\hat{E}^-(k_n) := \{x \in \hat{E}(k_n) | Tr_{n/m+1} x \in \hat{E}(k_m) \text{ for all } -1 \leq m < n, m \text{ odd}\},$$

$$\mathbb{H} = \cup_{n=0}^{\infty} \hat{E}^-(k_n) \otimes \mathbb{Q}_p/\mathbb{Z}_p,$$

$$\mathbb{H}_n = \mathbb{H}^{\Gamma_n}.$$

From [4] we have the following.

Proposition 2.2. (1) Let Λ_P denote $\mathbb{Z}_p[[\text{Gal}(k_{\infty}/k)]]$. We have

$$\text{Hom}(\mathbb{H}, \mathbb{Q}_p/\mathbb{Z}_p) \cong \Lambda_P^{[K_P:\mathbb{Q}_p]}.$$

(2) For any integer m , $\mathbb{H}_n[p^m]$ is the exact annihilator of itself with respect to the Tate local pairing

$$H^1(k_n, E[p^m]) \times H^1(k_n, E[p^m]) \rightarrow \mathbb{Z}/p^m\mathbb{Z}.$$

Proof. This is precisely [4] propositions 3.13 and 3.15 since k_{∞}/k is a totally ramified extension. You can also see [4] propositions 3.17 and 3.18. \square

Let $f(X)$ be a monic distinguished polynomial of Λ_O (i.e. $f(X) = X^k + a_1X^{k-1} + \dots + a_k$ where $p|a_i$ for every i).

Let Y_f denote $\Lambda_O/(f(X))$ and Λ_O act on $\text{Hom}_O(Y_f, O)$ as follows: for $\sigma \in \Gamma$ and $\phi \in \text{Hom}_O(Y_f, O)$, $(\sigma \circ \phi)(x) = \phi(\sigma^{-1}x)$. Then $\text{Hom}_O(Y_f, O)$ is isomorphic to $Y_{f^{\iota}} = \Lambda_O/f^{\iota}(X)$ as a Λ_O -module.

We recall $A = E[p^{\infty}] \otimes O$ and for a character η of Δ , A_{η} is A with action twisted by η . We let A_f denote $A \otimes_O Y_f$ and $A_{f,\eta}$ denote $A_{\eta} \otimes_O Y_f$. The following is essentially from [5] proposition 8.7.

Lemma 2.3. We have $A^{G_{k_{\infty}}} = 0$.

Proof. Let F be the unramified quadratic extension of \mathbb{Q}_p and x be any nontrivial p -torsion of \hat{E} . [5] proposition 8.6 shows \hat{E} is isomorphic over O_F to a Lubin-Tate group of height 2, thus $F(x)$ is a totally ramified extension of F of degree $p^2 - 1$. Since we assume k is an unramified extension of \mathbb{Q}_p , kF is also unramified over \mathbb{Q}_p . Therefore $\hat{E}(kF)$ does not contain x . In other words, $\hat{E}(kF)[p] = 0$.

On the other hand, $\hat{E}(k_n)[p]$ can be written as a union of disjoint orbits $\cup_i [x_i]$ where $[x_i]$ denotes an orbit $\{x_i^{\sigma} | \sigma \in \text{Gal}(k_n/k)\}$. If $\text{Gal}(k_n/k)$ does not act trivially on x_i , the order of $[x_i]$ is divisible by p . Since $\hat{E}(k)[p] = 0$, the only point on which $\text{Gal}(k_n/k)$ acts trivially is 0. Therefore the order of $\hat{E}(k_n)[p]$ is not divisible by p . Hence $\hat{E}(k_n)[p] = 0$.

Since E has good supersingular reduction at p , we have $E[p] = \hat{E}[p]$; therefore we have $E(k_n)[p] = 0$. Since $G_{k_{\infty}}$ acts trivially on O of $A = E[p^{\infty}] \otimes O$, we have $A^{G_{k_{\infty}}} = 0$. \square

Since G_{k_∞} acts trivially on Y_f , we have $A_f^{G_{k_\infty}} = 0$ and $A_{f,\eta}^{G_{k_\infty}} = 0$. Thus from the Serre-Hochschild spectral sequence we have

$$H^1(k_n, A_f) \xrightarrow{\sim} H^1(k_\infty, A_f)^{\Gamma_n}.$$

For any integer m , we have a short exact sequence

$$0 \rightarrow A_f[p^m] \rightarrow A_f \xrightarrow{p^m} A_f \rightarrow 0.$$

This sequence induces a long exact sequence of cohomology groups. Combined with $A_f^{G_{k_n}} = 0$, this long exact sequence induces

$$H^1(k_n, A_f[p^m]) \xrightarrow{\sim} H^1(k_n, A_f)[p^m].$$

We identify $H^1(k_n, A_f)$ with $H^1(k_\infty, A_f)^{\Gamma_n}$ and $H^1(k_n, A_f[p^m])$ with $H^1(k_n, A_f)[p^m]$. We define the following.

Definition 2.4. *We define*

$$\mathbb{H}_f := \mathbb{H} \otimes Y_f \subset H^1(k_\infty, E[p^\infty]) \otimes Y_f = H^1(k_\infty, A_f),$$

$$\mathbb{H}_f^n[p^m] := \mathbb{H}_f[p^m]^{\Gamma_n} \subset H^1(k_n, A_f[p^m]).$$

Since Y_{f^ι} is isomorphic to $\text{Hom}_O(Y_f, O)$, there is a natural pairing $Y_f \times Y_{f^\iota} \rightarrow O$. When we let G_K act on Y_f through the canonical map $G_K \rightarrow \Gamma \rightarrow \Lambda$ and act on O trivially, we can check that this pairing is an O -linear G_K -equivariant perfect pairing. Combined with the Weil pairing $E[p^m] \times E[p^m] \rightarrow \mathbb{Z}/p^m\mathbb{Z}(1)$, we have an O -linear G_K -equivariant perfect pairing $A_f[p^m] \times A_{f^\iota}[p^m] \rightarrow O/p^m O(1)$. By the cup product this induces a local pairing

$$(\ , \)_n : H^1(k_n, A_f[p^m]) \times H^1(k_n, A_{f^\iota}[p^m]) \rightarrow H^2(k_n, O/p^m O(1)) \xrightarrow{inv} O/p^m O.$$

We will prove the following proposition.

Proposition 2.5. *For any integer $n \geq 0$, $\mathbb{H}_f^n[p^m]$ is the exact annihilator of $\mathbb{H}_{f^\iota}^n[p^m]$ with respect to the pairing above.*

Proof. We let M_n be the exact annihilator of $\mathbb{H}_f^n[p^m]$ with respect to $(\ , \)_n$ for every integer $n \geq 0$. We consider the maps $\text{Res}_n^{n+1} : H^1(k_n, A_f[p^m]) \rightarrow H^1(k_{n+1}, A_f[p^m])$ and $\text{Cor}_n^{n+1} : H^1(k_{n+1}, A_f[p^m]) \rightarrow H^1(k_n, A_f[p^m])$. Similar to the discussion before definition 2.4 we can identify $H^1(k_n, A_f[p^m])$ with its image under Res_n^{n+1} because Res_n^{n+1} is injective. From [4] proposition 2.1 we have $\text{Res}_n^{n+1} \circ \text{Cor}_n^{n+1} = N_{n+1/n}$. Thus we have

$$\text{Res}_n^{n+1} \circ \text{Cor}_n^{n+1}(\mathbb{H}_f^{n+1}[p^m]) \subset \mathbb{H}_{f^\iota}^{n+1}[p^m]^{\text{Gal}(k_{n+1}/k_n)} = \mathbb{H}_f^n[p^m].$$

Inductively we have $\text{Cor}_n^{n'} \mathbb{H}_f^{n'}[p^m] \subset \mathbb{H}_f^n[p^m]$ for any integer $n' > n$.

Let $j > n$ be an integer large enough so that G_{k_j} acts trivially on $Y_f/p^m Y_f$. Combined with proposition 2.2.(2), it implies $M_j = \mathbb{H}_{f^\iota}^j[p^m]$. For $i \leq j$, by the

property of cup product we have $(\text{Cor}_i^j x, y)_i = (x, \text{Res}_i^j y)_j$ for any $x \in H^1(k_j, A_f[p^m])$ and $y \in H^1(k_i, A_{f^\iota}[p^m])$. Assume $(\mathbb{H}_f^n[p^m], y)_n = 0$ (equivalently $y \in M_n$). Then we have $(\mathbb{H}_f^j[p^m], \text{Res}_n^j y)_j = 0$ because $\text{Cor}_n^j \mathbb{H}_f^j[p^m] \subset \mathbb{H}_f^n[p^m]$. Thus $\text{Res}_n^j y \in M_j$, i.e., $M_n \subset M_j$ when we consider $H^1(k_n, A_{f^\iota}[p^m])$ as a subgroup of $H^1(k_j, A_{f^\iota}[p^m])$. More precisely we have $M_n \subset M_j^{\text{Gal}(k_j/k_n)}$. Since we have $M_j = \mathbb{H}_{f^\iota}^j[p^m]$, we have $M_n \subset \mathbb{H}_{f^\iota}^n[p^m]$.

We can check

$$\begin{aligned} |M_n| &= |H^1(k_n, A_f[p^m])| / |\mathbb{H}_f^n[p^m]| \\ &= |\mathbb{H}_{f^\iota}^n[p^m]|. \end{aligned}$$

Thus we have $M_n = \mathbb{H}_{f^\iota}^n[p^m]$. □

3. The algebraic functional equation

We fix a finite set Σ of places of \mathbb{Q} which includes p , all primes of bad reduction of E , all primes ramified over K/\mathbb{Q} , and infinite places. For a number field L and a set Ω of places of \mathbb{Q} we let L_Ω denote the maximal extension of L unramified outside the primes lying above Ω . For any prime P of K_n ($n \leq \infty$) lying above p , by the Serre-Hochschild sequence we have

$$\begin{aligned} H^1(K_{n,P}/\mathbb{Q}_{n,p}, A_{f,\eta}^{G_{K_{n,P}}}) &\rightarrow H^1(\mathbb{Q}_{n,p}, A_{f,\eta}) \\ &\rightarrow H^1(K_{n,P}, A_{f,\eta})^{\text{Gal}(K_{n,P}/\mathbb{Q}_{n,p})} \rightarrow H^2(K_{n,P}/\mathbb{Q}_{n,p}, A_{f,\eta}^{G_{K_{n,P}}}). \end{aligned}$$

In the previous section we saw $A_f^{G_{K_\infty,P}} = 0$, thus the first and last groups are trivial. Thus we can deduce

$$H^1(\mathbb{Q}_{n,p}, A_{f,\eta}) \xrightarrow{\sim} \left(\prod_{P|p} H^1(K_{n,P}, A_{f,\eta}) \right)^\Delta = \epsilon_\eta \prod_{P|p} H^1(K_{n,P}, A_f).$$

Proposition 3.1. *Let \mathbb{H}_P denote the group \mathbb{H} in definition 2.1 for each $P|p$. We have an isomorphism of Λ_O -modules*

$$(\epsilon_\eta \cdot \prod_{P|p} \mathbb{H}_P \otimes O)^\vee \cong \Lambda_O.$$

Proof. Since $\mathbb{H}_P^\Gamma \cong \hat{E}(K_P) \otimes \mathbb{Q}_p/\mathbb{Z}_p \cong K_P/O_{K_P}$, we have $\epsilon_\eta \prod_{P|p} (\mathbb{H}_P \otimes O)^\Gamma \cong \epsilon_\eta \prod_{P|p} K_P/O_{K_P} \otimes O \cong F/O$. Therefore by Nakayama's lemma the O -Pontryagin dual of $\epsilon_\eta \cdot \prod_{P|p} \mathbb{H}_P \otimes O$ is a Λ_O -module generated by one element, and our claim follows. □

Definition 3.2. We let $\mathbb{H}_{P,f}$ denote $\mathbb{H}_P \otimes Y_f$. For every $m, n \leq \infty$ we let $H_{\mathcal{F}}^1(\mathbb{Q}_{n,p}, A_{f,\eta}[p^m])$ be the inverse image of $\epsilon_{\bar{\eta}} \prod_{P|p} \mathbb{H}_{P,f}[p^m]^{\Gamma_n}$ under the isomorphism

$$H^1(\mathbb{Q}_{n,p}, A_{f,\eta}[p^m]) \rightarrow \epsilon_{\bar{\eta}} \prod_{P|p} H^1(K_{n,P}, A_f[p^m]).$$

For a local field L and a G_L -module B , we let $H_{ur}^1(L, B)$ denote $H^1(L^{ur}/L, B^{L^{ur}})$ where L^{ur} is the maximal unramified extension of L . For a prime w not lying above p we let $H_{\mathcal{F}}^1(\mathbb{Q}_{n,w}, A_{f,\eta}[p^m]) = H_{ur}^1(\mathbb{Q}_{n,w}, A_{f,\eta}[p^m])$.

We define

$$H_{\mathcal{F}}^1(\mathbb{Q}_n, A_{f,\eta}[p^m]) = \ker \left(H^1(\mathbb{Q}_{\Sigma}/\mathbb{Q}_n, A_{f,\eta}[p^m]) \rightarrow \prod \frac{H^1(\mathbb{Q}_{n,v}, A_{f,\eta}[p^m])}{H_{\mathcal{F}}^1(\mathbb{Q}_{n,v}, A_{f,\eta}[p^m])} \right)$$

where v runs over all the primes of \mathbb{Q}_n lying above Σ .

When $f = (X)$, we let $H_{\mathcal{F}}^1(\mathbb{Q}_n, A_{\eta}[p^m])$ denote $H_{\mathcal{F}}^1(\mathbb{Q}_n, A_{f,\eta}[p^m])$. We note that when w is not lying above p , we have $H_{ur}^1(\mathbb{Q}_{\infty,w}, A_{\eta}) = 0$ because $\mathbb{Q}_{\infty,w}/\mathbb{Q}_v$ is a \mathbb{Z}_p -extension.

Since $A_{f,\eta}^{G_{K_{\infty,P}}} = 0$ for any prime P of K with $P|p$, we have $H^1(\mathbb{Q}_{n,p}, A_{f,\eta}[p^m]) = H^1(\mathbb{Q}_{n,p}, A_{f,\eta}[p^m])$, and we can check that under this identification we have

$$H_{\mathcal{F}}^1(\mathbb{Q}_{n,p}, A_{f,\eta}[p^m]) = H_{\mathcal{F}}^1(\mathbb{Q}_{n,p}, A_{f,\eta})[p^m].$$

The following commutative diagram is given by the property of cup product.

$$\begin{array}{ccccc} H^1(\mathbb{Q}_{n,p}, A_{f,\eta}[p^m]) & \times & H^1(\mathbb{Q}_{n,p}, A_{f^{\iota}, \bar{\eta}}[p^m]) & \rightarrow & O/p^m O \\ \downarrow \text{Res} & & \uparrow \text{Cor} & & \downarrow \\ \epsilon_{\bar{\eta}} \prod_{P|p} H^1(K_{n,P}, A_f[p^m]) & \times & \epsilon_{\eta} \prod_{P|p} H^1(K_{n,P}, A_{f^{\iota}}[p^m]) & \rightarrow & O/p^m O. \end{array}$$

(Commutativity means we have $(\text{Res } x, y) = (x, \text{Cor } y)$.) Here Res is an isomorphism as discussed before proposition 3.1. Since $\text{Cor} \circ \text{Res}$ is multiplication by $[K : \mathbb{Q}]$ and $[K : \mathbb{Q}]$ is prime to p , we have

$$\text{Cor}(\epsilon_{\eta} \prod_{P|p} \mathbb{H}_{P,f^{\iota}}[p^m]^{\Gamma_n}) = \text{Cor} \circ \text{Res} (H_{\mathcal{F}}^1(\mathbb{Q}_{n,p}, A_{f^{\iota}, \bar{\eta}}[p^m])) = H_{\mathcal{F}}^1(\mathbb{Q}_{n,p}, A_{f^{\iota}, \bar{\eta}}[p^m]).$$

Thus $H_{\mathcal{F}}^1(\mathbb{Q}_{n,p}, A_{f,\eta}[p^m])$ is the exact annihilator of $H_{\mathcal{F}}^1(\mathbb{Q}_{n,p}, A_{f^{\iota}, \bar{\eta}}[p^m])$. From [1] chapter 8 we have the following.

Lemma 3.3. For $m, n < \infty$ we have $|H_{\mathcal{F}}^1(\mathbb{Q}_n, A_{f,\eta}[p^m])| = |H_{\mathcal{F}}^1(\mathbb{Q}_n, A_{f^{\iota}, \bar{\eta}}[p^m])|$.

Proof. For a totally real field F and a finite G_F -module M let $\chi_F(M)$ denote the Euler characteristic $|H^0(F, M)| \cdot |H^2(F, M)| / |H^1(F, M)|$. Assume that the order of M is prime to 2. Then it is known that $\chi_F(M) = 1/|M^-|^{[F:\mathbb{Q}]}$ where M^- is the maximal subgroup of M where the complex conjugation acts by multiplication by -1.

Let $F = \mathbb{Q}_n$, $M = A_{f,\eta}[p^m]$, and $M^* = A_{f^{\iota}, \bar{\eta}}[p^m]$. We can easily check $M^* = \text{Hom}_O(M, O/p^m O(1))$.

Following Greenberg ([1]) we use the following notation: we let

$$\begin{aligned} S &:= H_{\mathcal{F}}^1(F, M), & S^* &:= H_{\mathcal{F}}^1(F, M^*), \\ P_{\Sigma}^i &:= \prod H^i(F_v, M), & P_{\Sigma}^{i,*} &:= \prod H^i(F_v, M^*), \end{aligned}$$

(every product in this proof runs over all places v of F lying above Σ unless mentioned otherwise),

$$\begin{aligned} L_v &:= H_{\mathcal{F}}^1(F_v, M), & L_v^* &:= H_{\mathcal{F}}^1(F_v, M^*), \\ L &:= \prod L_v, & L^* &:= \prod L_v^*, \\ \lambda^i &: H^i(F_{\Sigma}/F, M) \rightarrow P_{\Sigma}^i, \\ G^i &:= \text{im } \lambda^i, & K^i &:= \ker \lambda^i, \end{aligned}$$

(and define $\lambda^{i,*}$, $G^{i,*}$, and $K^{i,*}$ similarly).

Then we have

$$|S| = |K^1| \cdot |G^1 \cap L| = |K^1| \cdot |G^1| \cdot |L| \cdot |G^1 \cdot L|^{-1}.$$

We have $|K^1| \cdot |G^1| = |H^1(F_{\Sigma}/F, M)|$. By global duality G^1 is the exact annihilator of $G^{1,*}$ with respect to the local pairing between P_{Σ}^1 and $P_{\Sigma}^{1,*}$ (for a statement of global duality or Poitou-Tate duality see [6] Theorem I.4.10 or [10] Theorem 3.1).

If $v \nmid p$, L_v is the exact annihilator of L_v^* by our previous discussion. If $v \nmid p$, it follows from the definition that L_v is the exact annihilator of L_v^* . Hence we have $|G^1 \cdot L| = |P_{\Sigma}^1|/|G^{1,*} \cap L^*|$. Therefore we have

$$|S| = |H^1(F_{\Sigma}/F, M)| \cdot |L| \cdot \frac{|G^{1,*} \cap L^*|}{|P_{\Sigma}^1|}.$$

From the definition of the global Euler characteristic we have

$$\begin{aligned} |H^1(F_{\Sigma}/F, M)| &= \chi_F(M)^{-1} |H^0(F_{\Sigma}/F, M)| \cdot |H^2(F_{\Sigma}/F, M)| \\ &= \chi_F(M)^{-1} |H^2(F_{\Sigma}/F, M)|. \end{aligned}$$

By global duality we have $|K^{1,*}| = |K^2|$, and thus we have

$$|G^{1,*} \cap L^*| = |S^*|/|K^{1,*}| = |S^*|/|K^2|.$$

On the other hand, by global duality we have $|\text{coker } \lambda^2| = |H^0(F_{\Sigma}/F, M^*)|$; thus we have

$$\frac{|H^2(F_{\Sigma}/F, M)|}{|K^2|} = |G^2| = \frac{|P_{\Sigma}^2|}{|\text{coker } \lambda^2|} = \frac{|P_{\Sigma}^2|}{|H^0(F_{\Sigma}/F, M^*)|} = |P_{\Sigma}^2|.$$

Then we check

$$\begin{aligned} \frac{|L|}{|P_{\Sigma}^1|} &= \frac{\prod_{v \nmid p} |H^1(F_v^{ur}/F_v, M^{I_v})|}{\prod_{v \nmid p} |H^1(F_v, M)|} \prod_{P|p} \frac{|L_P|}{|H^1(F_P, M)|} \\ &= \prod_{v \nmid p} \frac{|H^0(F_v, M)|}{|H^1(F_v, M)|} \cdot \frac{1}{|M|^{p^n[K:\mathbb{Q}]}}. \end{aligned}$$

Since we have $\chi_F(M)^{-1} = |M|^{p^n[K:\mathbb{Q}]}$ and $|H^1(F_v, M)| = |H^0(F_v, M)| \cdot |H^2(F_v, M)|$ when $v \nmid p$, we obtain $|S| = |S^*|$. \square

Lemma 3.4. *The kernel and cokernel of*

$$H_{\mathcal{F}}^1(\mathbb{Q}_n, A_{f,\eta}[p^m]) \rightarrow H_{\mathcal{F}}^1(\mathbb{Q}_n, A_{f,\eta})[p^m]$$

are finite and bounded as m, n vary.

Proof. We consider the following diagram.

$$\begin{array}{ccccccc} 0 \rightarrow & H_{\mathcal{F}}^1(\mathbb{Q}_n, A_{f,\eta}[p^m]) & \rightarrow & H^1(\mathbb{Q}_{\Sigma}/\mathbb{Q}_n, A_{f,\eta}[p^m]) & \rightarrow & \prod_v \frac{H^1(\mathbb{Q}_{n,v}, A_{f,\eta}[p^m])}{H_{\mathcal{F}}^1(\mathbb{Q}_{n,v}, A_{f,\eta}[p^m])} \\ & \downarrow & & \downarrow & & \downarrow \\ 0 \rightarrow & H_{\mathcal{F}}^1(\mathbb{Q}_n, A_{f,\eta})[p^m] & \rightarrow & H^1(\mathbb{Q}_{\Sigma}/\mathbb{Q}_n, A_{f,\eta})[p^m] & \rightarrow & \prod_v \frac{H^1(\mathbb{Q}_{n,v}, A_{f,\eta})}{H_{\mathcal{F}}^1(\mathbb{Q}_{n,v}, A_{f,\eta})}. \end{array}$$

(every product in this proof runs over all primes lying above Σ unless mentioned otherwise). The center vertical map is naturally surjective, and its kernel is $A_{f,\eta}^{G_{\mathbb{Q}_n}}/p^m A_{f,\eta}^{G_{\mathbb{Q}_n}} = 0$.

We let $f_{v,m}$ denote the map $\frac{H^1(\mathbb{Q}_{n,v}, A_{f,\eta}[p^m])}{H_{\mathcal{F}}^1(\mathbb{Q}_{n,v}, A_{f,\eta}[p^m])} \rightarrow \frac{H^1(\mathbb{Q}_{n,v}, A_{f,\eta})}{H_{\mathcal{F}}^1(\mathbb{Q}_{n,v}, A_{f,\eta})}$ for each v . As mentioned after definition 3.2 we have $H_{\mathcal{F}}^1(\mathbb{Q}_{n,p}, A_{f,\eta}[p^m]) = H_{\mathcal{F}}^1(\mathbb{Q}_{n,p}, A_{f,\eta})[p^m]$, thus $f_{p,m}$ is injective. Let v be a prime not lying above p . From the Serre-Hochschild spectral sequence we can see that $\frac{H^1(\mathbb{Q}_{n,v}, A_{f,\eta}[p^m])}{H_{\mathcal{F}}^1(\mathbb{Q}_{n,v}, A_{f,\eta}[p^m])}$ and $\frac{H^1(\mathbb{Q}_{n,v}, A_{f,\eta})}{H_{\mathcal{F}}^1(\mathbb{Q}_{n,v}, A_{f,\eta})}$ are subgroups of $H^1(\mathbb{Q}_{n,v}^{ur}, A_{f,\eta}[p^m])$ and $H^1(\mathbb{Q}_{n,v}^{ur}, A_{f,\eta})$ respectively. From the long exact sequence induced from $A_{f,\eta}[p^m] \rightarrow A_{f,\eta} \xrightarrow{p^m} A_{f,\eta}$ we have

$$A_{f,\eta}^{I_v}/p^m A_{f,\eta}^{I_v} = \ker(H^1(\mathbb{Q}_{n,v}^{ur}, A_{f,\eta}[p^m]) \rightarrow H^1(\mathbb{Q}_{n,v}^{ur}, A_{f,\eta})).$$

Let l be the residue characteristic of v and fix an embedding $\overline{\mathbb{Q}} \rightarrow \mathbb{C}_l$ such that v is the prime of \mathbb{Q}_n corresponding to this embedding. Let n' be any integer bigger than n and w be the prime of $\mathbb{Q}_{n'}$ corresponding to the embedding. Since $I_v = I_w$, we have $A_{f,\eta}^{I_v}/p^m A_{f,\eta}^{I_v} = A_{f,\eta}^{I_w}/p^m A_{f,\eta}^{I_w}$. In other words, $A_{f,\eta}^{I_v}/p^m A_{f,\eta}^{I_v}$ does not depend on n .

Furthermore, the size of $A_{f,\eta}^{I_v}/p^m A_{f,\eta}^{I_v}$ is bounded by the size of $A_{f,\eta}^{I_v}/(A_{f,\eta}^{I_v})_{div}$. Since no prime splits completely over $\mathbb{Q}_{\infty}/\mathbb{Q}$, the kernel of $\prod_v f_{v,m}$ is bounded as m, n vary. By the Snake Lemma our claim follows. \square

From lemmas 3.3 and 3.4 we have the following corollary.

Corollary 3.5. *We have $\text{corank}_O H_{\mathcal{F}}^1(\mathbb{Q}_n, A_{f,\eta}) = \text{corank}_O H_{\mathcal{F}}^1(\mathbb{Q}_n, A_{f^t, \bar{\eta}})$ for every n . Also $|H_{\mathcal{F}}^1(\mathbb{Q}_n, A_{\eta})[p^m]| / |H_{\mathcal{F}}^1(\mathbb{Q}_n, A_{\bar{\eta}})[p^m]|$ is bounded as m, n vary.*

We note the following proposition.

Proposition 3.6 ([1] chapter 3). *Let X and Y be co-finitely generated Λ_O -modules. Assume that X, Y satisfy*

1. $\text{corank}_O(X \otimes_O \Lambda_O/(f^e))^\Gamma = \text{corank}_O(Y \otimes_O \Lambda_O/(f^e))^\Gamma$ for every monic irreducible distinguished polynomial $f(X) \in \Lambda_O$ and every $e < \infty$,
 2. for every $e < \infty$, $|X^{\Gamma_n}[p^e]|/|Y^{\Gamma_n}[p^e]|$ is bounded as n varies.
- Then X^\vee is pseudo-isomorphic to Y^\vee .*

Using proposition 3.6 we prove the following.

Proposition 3.7. *Let X_η be $H_{\mathcal{F}}^1(\mathbb{Q}_\infty, A_\eta)^\vee$. Then we have $X_\eta \sim X_\eta^\iota$ as Λ_O -modules.*

Proof. Since $G_{\mathbb{Q}_\infty}$ acts trivially on Y_f and Y_f is a free O -module, we have

$$\begin{aligned} H_{\mathcal{F}}^1(\mathbb{Q}_\infty, A_\eta) \otimes Y_f &= \ker \left(H^1(\mathbb{Q}_\Sigma/\mathbb{Q}_\infty, A_\eta) \otimes Y_f \rightarrow \prod_w \frac{H^1(\mathbb{Q}_{\infty,w}, A_\eta) \otimes Y_f}{H_{\mathcal{F}}^1(\mathbb{Q}_{\infty,w}, A_\eta) \otimes Y_f} \right) \\ &= \ker \left(H^1(\mathbb{Q}_\Sigma/\mathbb{Q}_\infty, A_{f,\eta}) \rightarrow \prod_w \frac{H^1(\mathbb{Q}_{\infty,w}, A_{f,\eta})}{H_{\mathcal{F}}^1(\mathbb{Q}_{\infty,w}, A_\eta) \otimes Y_f} \right) \end{aligned}$$

where w runs over all the primes lying above Σ .

Using the Serre-Hochschild spectral sequence one can easily check

$$(2) \quad H^1(\mathbb{Q}, A_{f,\eta}) \xrightarrow{\sim} H^1(\mathbb{Q}_\infty, A_{f,\eta})^\Gamma.$$

From the definition we have

$$(H_{\mathcal{F}}^1(\mathbb{Q}_{\infty,p}, A_\eta) \otimes Y_f)^\Gamma = (\epsilon_{\bar{\eta}} \cdot \prod_{P|p} \mathbb{H}_P \otimes Y_f)^\Gamma = H_{\mathcal{F}}^1(\mathbb{Q}_p, A_{f,\eta}),$$

thus we have an injection

$$(3) \quad 0 \rightarrow \frac{H^1(\mathbb{Q}_p, A_{f,\eta})}{H_{\mathcal{F}}^1(\mathbb{Q}_p, A_{f,\eta})} \rightarrow \frac{H^1(\mathbb{Q}_{\infty,p}, A_{f,\eta})}{H_{\mathcal{F}}^1(\mathbb{Q}_{\infty,p}, A_\eta) \otimes Y_f}.$$

For any prime w of \mathbb{Q}_∞ lying above a prime $v \neq p$ of \mathbb{Q} , we have $H^1(\mathbb{Q}_v^{ur}, A_{f,\eta}) = H^1(\mathbb{Q}_{\infty,w}, A_{f,\eta})$ because $\mathbb{Q}_{\infty,w}/\mathbb{Q}_v$ is a \mathbb{Z}_p -extension (in fact, the only \mathbb{Z}_p -extension and the only unramified \mathbb{Z}_p -extension). For the same reason we have

$$H^1(\mathbb{Q}_{\infty,w}^{ur}/\mathbb{Q}_{\infty,w}, A_\eta^{G_{\mathbb{Q}_{\infty,w}^{ur}}}) = H_{\mathcal{F}}^1(\mathbb{Q}_{\infty,w}, A_\eta) = 0.$$

Thus we have

$$\frac{H^1(\mathbb{Q}_{\infty,w}, A_\eta) \otimes Y_f}{H_{\mathcal{F}}^1(\mathbb{Q}_{\infty,w}, A_\eta) \otimes Y_f} = \frac{H^1(\mathbb{Q}_{\infty,w}, A_\eta) \otimes Y_f}{H_{ur}^1(\mathbb{Q}_{\infty,w}, A_\eta) \otimes Y_f} = H^1(\mathbb{Q}_{\infty,w}, A_{f,\eta}) = H^1(\mathbb{Q}_v^{ur}, A_{f,\eta}).$$

Since $\frac{H^1(\mathbb{Q}_v, A_{f,\eta})}{H_{\mathcal{F}}^1(\mathbb{Q}_v, A_{f,\eta})} \rightarrow H^1(\mathbb{Q}_v^{ur}, A_{f,\eta})$ is an injection by the definition of $H_{\mathcal{F}}^1$, we have an injection

$$(4) \quad 0 \rightarrow \frac{H^1(\mathbb{Q}_v, A_{f,\eta})}{H_{\mathcal{F}}^1(\mathbb{Q}_v, A_{f,\eta})} \rightarrow \frac{H^1(\mathbb{Q}_{\infty,w}, A_\eta) \otimes Y_f}{H_{\mathcal{F}}^1(\mathbb{Q}_{\infty,w}, A_\eta) \otimes Y_f}.$$

From (2), (3), (4), and the snake lemma we can see $H_{\mathcal{F}}^1(\mathbb{Q}, A_{f,\eta}) = (H_{\mathcal{F}}^1(\mathbb{Q}_{\infty}, A_{\eta}) \otimes Y_f)^{\Gamma}$.

Combined with corollary 3.5 we have

$$\text{corank}_O(H_{\mathcal{F}}^1(\mathbb{Q}_{\infty}, A_{\eta}) \otimes Y_f)^{\Gamma} = \text{corank}_O(H_{\mathcal{F}}^1(\mathbb{Q}_{\infty}, A_{\bar{\eta}}) \otimes Y_{f^e})^{\Gamma}.$$

Similarly we can check $H_{\mathcal{F}}^1(\mathbb{Q}_n, A_{\eta}) = H_{\mathcal{F}}^1(\mathbb{Q}_{\infty}, A_{\eta})^{\Gamma_n}$. By corollary 3.5 we can see $|H_{\mathcal{F}}^1(\mathbb{Q}_{\infty}, A_{\eta})^{\Gamma_n}[p^m]|/|H_{\mathcal{F}}^1(\mathbb{Q}_{\infty}, A_{\bar{\eta}})^{\Gamma_n}[p^m]|$ is bounded as m and n vary.

By proposition 3.6 our claim follows. \square

We let $H_{\mathcal{F}}^1(K_{\infty,P}, A) := \mathbb{H}_P \otimes O$ and $H_{\mathcal{F}}^1(K_{\infty,P}, E[p^{\infty}]) := \mathbb{H}_P$ for $P|p$, and let $H_{\mathcal{F}}^1(K_{\infty,w}, A) := H_{ur}^1(K_{\infty,w}, A)$ and $H_{\mathcal{F}}^1(K_{\infty,w}, E[p^{\infty}]) := H_{ur}^1(K_{\infty,w}, E[p^{\infty}])$ for primes w not lying above p . We define a group

$$S_p^-(A/K_{\infty}) := \ker \left(H^1(K_{\Sigma}/K_{\infty}, A) \rightarrow \prod_w \frac{H^1(K_{\infty,w}, A)}{H_{\mathcal{F}}^1(K_{\infty,w}, A)} \right),$$

and the minus Selmer group

$$\text{Sel}_p^-(E/K_{\infty}) := \ker \left(H^1(K_{\Sigma}/K_{\infty}, E[p^{\infty}]) \rightarrow \prod_w \frac{H^1(K_{\infty,w}, E[p^{\infty}])}{H_{\mathcal{F}}^1(K_{\infty,w}, E[p^{\infty}])} \right)$$

where w runs over all the places lying above Σ . We can easily check $S_p^-(A/K_{\infty}) = \text{Sel}_p^-(E/K_{\infty}) \otimes O$ (compare this definition with that of [5], [2], and [4]).

We note that the definitions of $H_{\mathcal{F}}^1(\mathbb{Q}_{\infty}, A_{\eta})$ and $S_p^-(A/K_{\infty})$ do not depend on the choice of Σ . Indeed when we take all places of \mathbb{Q} for Σ , we still have the same $H_{\mathcal{F}}^1(\mathbb{Q}_{\infty}, A_{\eta})$ and $S_p^-(A/K_{\infty})$. We consider the following diagram:

$$\begin{array}{ccccccc} 0 \rightarrow & H_{\mathcal{F}}^1(\mathbb{Q}_{\infty}, A_{\eta}) & \rightarrow & H^1(\mathbb{Q}_{\infty}, A_{\eta}) & \rightarrow & \prod_v \frac{H^1(\mathbb{Q}_{\infty,v}, A_{\eta})}{H_{\mathcal{F}}^1(\mathbb{Q}_{\infty,v}, A_{\eta})} \\ & \downarrow & & \downarrow & & \downarrow \prod f_v \\ 0 \rightarrow & S_p^-(A/K_{\infty})^{\bar{\eta}} & \rightarrow & H^1(K_{\infty}, A)^{\bar{\eta}} & \rightarrow & \prod_w \frac{H^1(K_{\infty,w}, A)}{H_{\mathcal{F}}^1(K_{\infty,w}, A)} \end{array}$$

where v and w run over all places of \mathbb{Q}_{∞} and K_{∞} respectively.

Since $A^{G_{K_{\infty},P}} = 0$ for any prime P lying above p , we have $A^{G_{K_{\infty}}} = 0$. Using that, we have $H^1(K_{\infty}, A)^{\bar{\eta}} = H^1(K_{\infty}, A_{\eta})^{\text{Gal}(K/\mathbb{Q})} = H^1(\mathbb{Q}_{\infty}, A_{\eta})$ by the Serre-Hochschild spectral sequence. From the definition of $H_{\mathcal{F}}^1(\mathbb{Q}_{\infty,p}, A_{\eta})$ we can see f_p is an injection. Recall that when v and w are not lying above p , we have $H_{\mathcal{F}}^1(\mathbb{Q}_{\infty,v}, A_{\eta}) = H_{\mathcal{F}}^1(K_{\infty,w}, A) = 0$. Since the order of $\text{Gal}(K_{\infty,w}/\mathbb{Q}_{\infty,v})$ is prime to p , we have

$$\ker (H^1(\mathbb{Q}_{\infty,v}, A_{\eta}) \rightarrow H^1(K_{\infty,w}, A_{\eta})) = H^1(K_{\infty,w}/\mathbb{Q}_{\infty,v}, A_{\eta}^{G_{K_{\infty},w}}) = 0.$$

Thus we have the following.

Proposition 3.8. *We have $H_{\mathcal{F}}^1(\mathbb{Q}_{\infty}, A_{\eta}) \cong S_p^-(A/K_{\infty})^{\bar{\eta}}$.*

The next proposition is a simple consequence of Rohrlich and Kato's work.

Proposition 3.9. $Sel_p^-(E/K_\infty)$ is Λ -cotorsion.

Proof. For a finite group G , a character χ of G , and a $\mathbb{Z}_p[G]$ -module M we let M^χ be the χ -part of $M \otimes \mathbb{Z}_p[\chi]$. By [4] lemma 4.20 there is an integer N such that for any $n > N$ with odd $n - N$ and a primitive character χ of $\text{Gal}(K_n/K_N)$ we have

$$\text{corank}_{\mathbb{Z}_p[\chi]} Sel_p(E/K_n)^\chi = \text{rank}_{\mathbb{Z}_p[\chi]} (Sel_p^-(E/K_\infty)^{\Gamma_n})^\chi.$$

By Rohrlich ([8], [9]) $L(E/\mathbb{Q}, \chi, 1) \neq 0$ for all but finitely many Dirichlet characters χ of $\text{Gal}(K_n/\mathbb{Q})$ as n varies. By Kato ([3]), if $L(E/\mathbb{Q}, \chi, 1) \neq 0$, we have $\text{corank}_{\mathbb{Z}_p[\chi]} Sel_p(E/K_n)^\chi = 0$. Therefore there are infinitely many integers n such that $\text{corank}_{\mathbb{Z}_p[\chi]} (Sel_p^-(E/K_\infty)^{\Gamma_n})^\chi = 0$ for any primitive character χ of $\text{Gal}(K_n/K_N)$. Thus $Sel_p^-(E/K_\infty)$ is Λ -cotorsion. \square

Combined with propositions 3.7 and 3.8 we have the following.

Theorem 3.10. Let $X = (Sel_p^-(E/K_\infty) \otimes O)^\vee$. For each character η of Δ we have $X^\eta \sim X^{\iota, \bar{\eta}}$ as Λ_O -modules, or equivalently $X \sim X^\iota$ as $O[[\text{Gal}(K_\infty/\mathbb{Q})]]$ -modules. Consequently we have the following: let $(a) \subset \Lambda$ be the characteristic ideal of $Sel_p^-(E/K_\infty)^\vee$. We have $(a) = (a^\iota)$.

It is more tricky to deal with $Sel_p^+(E/K_\infty)$. Although it is not explained in [4], it is not clear that the plus norm subgroup in [4] always has the property the minus norm subgroup has. More specifically (using the notation of [4] propositions 3.12 and 3.13) it is not clear that the set $\{c_{0,i}\}_{0,1,\dots,d-1}$ linearly generates $\hat{E}(m)$. Consequently it is not clear that we have $(\cup_{n=1}^\infty \hat{E}^+(m_{k_n}) \otimes \mathbb{Q}_p/\mathbb{Z}_p)^\vee \cong \Lambda^d$. However, Kobayashi's plus/minus norm subgroups for $\mathbb{Q}(\mu_{p^\infty})$ have this property. We can apply our technique to both \pm -Selmer groups with little difficulty to obtain the following.

Theorem 3.11. We have

$$Sel_p^\pm(E/\mathbb{Q}(\mu_{p^\infty}))^\vee \sim Sel_p^\pm(E/\mathbb{Q}(\mu_{p^\infty}))^{\vee, \iota}$$

where \sim is a pseudoisomorphism for $\mathbb{Z}_p[[\text{Gal}(\mathbb{Q}(\mu_{p^\infty})/\mathbb{Q})]]$ -modules.

Iovita and Pollack's plus/minus norm subgroups also work well under the following condition: The prime p splits completely over K/\mathbb{Q} . Note that any prime of K lying above p is totally ramified in the cyclotomic \mathbb{Z}_p -extension K_∞ . Assuming this condition we can prove a similar result.

Theorem 3.12. We have

$$(Sel_p^\pm(E/K_\infty) \otimes O)^\vee \sim (Sel_p^\pm(E/K_\infty)^\iota \otimes O)^\vee.$$

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