

EXPLOITING LOG-CAPACITY IN CONVEX GEOMETRY*

JIE XIAO†

Abstract. This article is devoted to an exploitation of the log-capacity for convex bodies - especially - its connections to volume-radius, mean-width, Hadamard-type variational formula, Minkowski-type problem, and Yau-type problem.

Key words. Log-capacity, volume-radius, mean-width, Hadamard-type variation, Minkowski-type problem, Yau-type problem.

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1. Introduction.

1.1. Background. Thanks to its role in two-dimensional potential theory that is the study of planar harmonic functions in mathematics and mathematical physics, the logarithmic capacity in the Euclidean plane \mathbb{R}^2 has been studied systemically; see [28, 2, 24, 33, 44, 45, 43, 54] for some relatively recent publications on this topic. However, the higher dimensional extension (i.e., to the Euclidean space \mathbb{R}^n , $n \geq 3$) of the planar logarithmic capacity has received relatively little attention due to a nonlinear nature; see [6, 11, 18, 19, 3, 4, 32] (see also [1, 23, 39] for some function-space-based capacities) only because of the author’s limited knowledge of other references.

Fortunately, in their paper [11] Colosanti-Cuoghi were able to utilize an equilibrium potential to introduce a kind of the logarithmic capacity (in short, log-capacity) for $2 \leq n$ -dimensional convex bodies. To be more precise, let \mathcal{C}^n be the class of all non-empty convex compact subsets of \mathbb{R}^n , and denote by \mathcal{K}^n the class of all $K \in \mathcal{C}^n$ with non-empty interior K° . For $K \in \mathcal{K}^n$ let $u = u_K$ be its log-equilibrium potential, i.e., the unique weak solution to the following boundary value problem:

$$\begin{cases} -\operatorname{div}(|\nabla u|^{n-2}\nabla u) = 0 & \text{in } \mathbb{R}^n \setminus K; \\ u = 0 & \text{on } \partial K; \\ u(x) \sim \log|x| & \text{as } |x| \rightarrow \infty, \end{cases} \quad (1.1)$$

where $\log(\cdot)$ is the base- e (i.e., natural) logarithm, \sim means that there exists a constant $c > 0$ such that

$$c^{-1} \leq \frac{u(x)}{\log|x|} \leq c \quad \text{as } |x| \rightarrow \infty.$$

In accordance with Kichenassamy-Veron’s [31, Theorem 1.1 and Remarks 1.4-1.5], $u(x) - \log|x|$ tends to a constant depending on K as $|x| \rightarrow \infty$, and so the following

$$\operatorname{ncap}(K) = \exp\left(-\lim_{|x| \rightarrow \infty} (u(x) - \log|x|)\right) \quad (1.2)$$

was employed by Colosanti-Cuoghi in [11] to define the log-capacity of K since the case $n = 2$ of (1.2) is just the logarithmic capacity on \mathbb{R}^2 .

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†Department of Mathematics and Statistics, Memorial University, St. John’s, NL A1C 5S7, Canada (jxiao@mun.ca).

According to [11, Remarks 2.2&2.3], the log-capacity $\text{ncap}(\cdot)$ enjoys the following basic properties:

- $\text{ncap}(\overline{\mathbb{B}^n}) = 1$ provided $\overline{\mathbb{B}^n} = \{x \in \mathbb{R}^n : |x| \leq 1\}$;
- $\text{ncap}(x_0 + \rho K) = \rho \text{ncap}(K)$ provided $x_0 + \rho K = \{x_0 + \rho x : x \in K\}$ and $(x_0, \rho, K) \in \mathbb{R}^n \times (0, \infty) \times \mathcal{K}^n$;
- $\text{ncap}(K_1) \leq \text{ncap}(K_2)$ provided $K_1, K_2 \in \mathcal{K}^n$ with $K_1 \subseteq K_2$.

Naturally, the log-capacity of an arbitrary $K \in \mathcal{C}^n$ is defined as:

$$\text{ncap}(K) = \inf_{K \subseteq L \in \mathcal{K}^n} \text{ncap}(L).$$

Such a definition induces not only the last two properties for \mathcal{C}^n but also the following downward-monotone-convergence

- $\text{ncap}(\bigcap_{j=1}^\infty K_j) = \lim_{j \rightarrow \infty} \text{ncap}(K_j)$ provided $K_j \in \mathcal{C}^n$ with $K_j \supseteq K_{j+1}$.

1.2. Overview. In this paper we study five problems which are naturally associated with the above-defined log-capacity. First of all, we discover the optimal relationship among the volume-radius, the log-capacity and the mean-width (cf. Theorem 2.1). Secondly, we find an integral identity and a lower bound estimate for the non-tangential limit of the gradient of the log-equilibrium potential on the boundary of a \mathcal{K}^n -member (cf. Theorems 3.1 & 3.2). Thirdly, we establish Hadamard’s variational formula for (1.2) (cf. Theorem 4.4). Fourthly, we handle the existence and uniqueness of Minkowski’s problem for the log-capacity (cf. Theorem 5.1). Last of all, we settle the log-capacity analogue of Yau’s [56, Problem 59] (the prescribed mean curvature problem) in a weak sense (cf. Theorem 6.1). Here it is perhaps appropriate to point out that since our log-capacity generalization is from the linear case $n = 2$ (where the classical $2 = n$ -harmonic functions are often taken into account) to the nonlinear case $n \geq 3$ (where only the nonlinear $3 \leq n$ -harmonic functions can be used), in all situations we have to seek an unified way, which turns out to be highly non-trivial, to deal with these issues.

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2. Volume-radius and mean-width via log-capacity.

2.1. Log-capacity breaking iso-mean-width inequality. Given $K \in \mathcal{C}^n$. Following [48, (1.7)], we say that

$$h_K(x) = \sup_{y \in K} x \cdot y \quad \forall \quad x \in \mathbb{R}^n$$

is the support function of K , and

$$b(K) = \frac{2}{\sigma_{n-1}} \int_{\mathbb{S}^{n-1}} h_K d\theta$$

is the mean-width of K whose case $n = 2$ gives $\pi b(K) = S(K)$, the perimeter of K (cf. [48, p. 318]) – here and henceforth $d\theta$ is the uniform surface area measure on \mathbb{S}^{n-1} , i.e., the $n - 1$ dimensional spherical Lebesgue measure, where $\sigma_{n-1} = n\omega_n$ is the surface area of the unit sphere \mathbb{S}^{n-1} of \mathbb{R}^n . The sharp iso-mean-width (or Uryasohn’s) inequality

$$\left(\frac{V(K)}{\omega_n}\right)^{\frac{1}{n}} \leq \frac{b(K)}{2} \tag{2.1}$$

is well known for any $K \in \mathcal{C}^n$ (cf. [48, (6.25)]), where the left-hand quantity of (2.1) is called the volume-radius of K and the right-hand quantity of (2.1) is dominated by a half of the diameter $\text{diam}(K)$ of K . Surprisingly, the following result indicates that (2.1) can be split by $\text{ncap}(K)$, just like the well-known planar case (cf. [44, Theorem 5.3.5] and [7, Example 7.4]).

THEOREM 2.1. *Let $K \in \mathcal{C}^n$. Then*

$$\left(\frac{V(K)}{\omega_n}\right)^{\frac{1}{n}} \leq \text{ncap}(K) \leq \frac{b(K)}{2}. \tag{2.2}$$

And, (2.2) is optimal in the sense that if K is either a ball or a singleton then two equalities of (2.2) hold.

Proof. A straightforward computation shows that each equality of (2.2) occurs whenever K is either a ball or or a singleton.

In order to proceed further, let us recall the definition of the conformal capacity $\text{ncap}(O, K)$ for a given open set $O \subset \mathbb{R}^n$ containing a compact set K (cf. [23, p.287]):

$$\text{ncap}(O, K) = \inf_{f \in W(O, K)} \int_{O \setminus K} |\nabla f|^n dV,$$

where dV is the Lebesgue volume element and $W(O, K)$ comprises all $f \in C_0^\infty(O)$ (infinitely differentiable functions with compact support in O) enjoying $f \geq 1$ on K - according to [23, p.27], without affecting $\text{ncap}(O, K)$ the class $W(O, K)$ can be replaced by

$$W_0(O, K) = \{f \in W_0^{1,n}(O) \cap C(O) : f \geq 1 \text{ on } K\},$$

where $C(O)$ consists of all continuous functions in O and $W_0^{1,n}(O)$ is the closure of $C_0^\infty(O)$ in the Sobolev $(1, n)$ -space $W^{1,n}(O)$ equipped with the norm

$$\|f\|_{W^{1,n}(O)} = \left(\int_O |f|^n dV\right)^{\frac{1}{n}} + \left(\int_O |\nabla f|^n dV\right)^{\frac{1}{n}}.$$

For an arbitrary subset E of O , the above definition is extended by

$$\text{ncap}(O, E) = \inf_{E \subseteq \text{open } U \subseteq O} \sup_{\text{compact } K \subseteq U} \text{ncap}(O, K).$$

Below are the known facts (cf. [23, Theorem 2.2] and [17, (2.10)]):

- (i) $\text{ncap}(O, K_1) \leq \text{ncap}(O, K_2)$ as $K_1 \subseteq K_2$ are compact;
- (ii) $\text{ncap}(O_1, K) \geq \text{ncap}(O_2, K)$ as $O_1 \subseteq O_2$ are open and K is compact;
- (iii) $\text{ncap}(O, \bigcap_{j=1}^\infty K_j) = \lim_{j \rightarrow \infty} \text{ncap}(O, K_j)$ as $K_j \supseteq K_{j+1}$ are compact;
- (iv) $\left(\frac{\text{ncap}(O, K)}{\sigma_{n-1}}\right)^{\frac{1}{1-n}} \leq \log\left(\frac{V(O)}{V(K)}\right)^{\frac{1}{n}}.$

Due to the definition of $\text{ncap}(K)$ for $K \in \mathcal{C}^n$, it is enough to verify (2.2) under the assumption $K \in \mathcal{H}^n$ in what follows.

On the one hand, we check the left-hand inequality of (2.2). To do so, let $r \in (0, \infty)$ be large enough such that $K \subseteq r\mathbb{B}^n = \{x \in \mathbb{R}^n : |x| < r\}$ and set u_r be the unique solution to

$$\begin{cases} -\operatorname{div}(|\nabla u_r|^{n-2} \nabla u_r) = 0 & \text{in } r\mathbb{B}^n \setminus K; \\ u_r = 0 & \text{on } \partial K \quad \& \quad u_r(x) = \log r \quad \text{as } |x| = r, \end{cases}$$

According to the argument for [11, Theorem 2.2], $\{u_r\}$ has a subsequence, still denoted by $\{u_r\}$, convergent to u which is the unique weak solution of (1.1) and makes that

$$\alpha = \lim_{|x| \rightarrow \infty} (u(x) - \log |x|)$$

is finite. According to [31], we have that if $|x| \rightarrow \infty$ then

$$u(x) = \log |x| + \alpha + o(1) \quad \& \quad |\nabla u(x)| = |x|^{-1} + o(|x|^{-1}).$$

Consequently, by the maximum principle we get

$$0 \leq u(x) \leq \max_{|y|=r} u(y) \quad \forall \quad x \in \overline{r\mathbb{B}^n} = \{y \in \mathbb{R}^n : |y| \leq r\}.$$

If

$$v_r(x) = \frac{u(x)}{\max_{|y|=r} u(y)} \quad \forall \quad x \in \overline{r\mathbb{B}^n},$$

then for $r \rightarrow \infty$ and $0 < t \rightarrow 1$ we have

$$\begin{aligned} v_r(x) = t &\Leftrightarrow \log |x| + \alpha + o(1) = t(\log r + \alpha + o(1)) \\ &\Leftrightarrow |x| = r^t \exp\left((t-1)(\alpha + o(1))\right) \equiv r_*. \end{aligned}$$

Note that

$$\begin{cases} -\operatorname{div}(|\nabla v_r|^{n-2} \nabla v_r) = 0 & \text{in } r\mathbb{B}^n \setminus K; \\ v_r = 0 & \text{on } \partial K; \\ 0 \leq v_r(x) \leq 1 & \text{as } x \in \overline{r\mathbb{B}^n}. \end{cases}$$

So, using (ii), the definition of $\operatorname{ncap}(\cdot, K)$, the test function $v_{r,t} := 1 - t^{-1}v_r$ which belongs to the class $W_0(\{v_r(x) < t\}, K)$ via setting $v_r(x) = 0$ as $x \in K$, the divergence theorem and an integration-by-part, we get

$$\begin{aligned} \operatorname{ncap}(r\mathbb{B}^n, K) &\leq \operatorname{ncap}(\{v_r(x) < t\}, K) \\ &\leq \int_{\{v_r(x) < t\}} |\nabla v_{r,t}|^n dV \\ &\leq \int_{\{v_r(x) = t\}} |\nabla v_{r,t}|^{n-1} dS \\ &= t^{1-n} \int_{\{v_r(x) = t\}} \left(\frac{|\nabla u|}{\max_{|y|=r} u(y)}\right)^{n-1} dS \\ &= t^{1-n} \int_{|x|=r_*} \left(\frac{1 + o(1)}{r_*(\log r + \alpha + o(1))}\right)^{n-1} dS \\ &= t^{1-n} \sigma_{n-1} \left(\frac{1 + o(1)}{\log r + \alpha + o(1)}\right)^{n-1}. \end{aligned}$$

That is to say,

$$\left(\frac{\text{ncap}(r\mathbb{B}^n, K)}{t^{1-n}\sigma_{n-1}}\right)^{\frac{1}{n-1}} \leq \frac{1 + o(1)}{\log r + \alpha + o(1)}.$$

Now, an application of (iv) derives

$$\frac{\log r + \alpha + o(1)}{t^{-1}(1 + o(1))} \leq \log \frac{r}{\left(\frac{V(K)}{\omega_n}\right)^{\frac{1}{n}}} = \log r - \log \left(\frac{V(K)}{\omega_n}\right)^{\frac{1}{n}}$$

thereby finding (thanks to: $t \rightarrow 1$; $r \rightarrow \infty$; $o(1) \rightarrow 0$)

$$\left(\frac{V(K)}{\omega_n}\right)^{\frac{1}{n}} \leq e^{-\alpha} = \text{ncap}(K).$$

On the other hand, we demonstrate the right-hand inequality of (2.2). For $x \in \mathbb{R}^n$, we have

$$\frac{|x|b(K)}{2} = \frac{1}{\sigma_{n-1}} \int_{\mathbb{S}^{n-1}} h_K(|x|\theta) d\theta. \tag{2.3}$$

The right side of (2.3) can be approximated by $\sum_{k=1}^m h_K(|x|\theta_k)\lambda_k$ – the support function of $\sum_{k=1}^m \lambda_k T_k K$, where $\lambda_k \in (0, 1)$, $\sum_{k=1}^m \lambda_k = 1$, and $T_k K$ is a rotation of K generated by θ_k . Meanwhile, according to Colesanti-Cuoghi’s [11, Theorem 3.1], we have

$$\text{ncap}\left(\sum_{k=1}^m \lambda_k T_k K\right) \geq \sum_{k=1}^m \lambda_k \text{ncap}(T_k K) = \text{ncap}(K) \tag{2.4}$$

due to the easily-checked rotation-invariance of $\text{ncap}(\cdot)$. Note also that the left side of (2.3) is the support function of a ball of radius $2^{-1}b(K)$. So, the above approximation, the correspondence between a support function and an element of \mathcal{C}^n , and (2.4) yield the desired inequality. \square

2.2. Another look at volume-radius and log-capacity. Here, we can say more about volume-radius and log-capacity through the solution u_K of (1.1).

REMARK 2.2. For $K \in \mathcal{K}^n$, let $(\nabla u_K)|_{\partial K}$ be the non-tangential limit of ∇u_K at ∂K (cf. [35, Theorem 3] and [34, Theorem 4.3]). If $|\nabla u_K|$ equals a positive constant c on ∂K , then $c^{-1} = (V(K)/\omega_n)^{\frac{1}{n}}$ is the volume-radius of K and hence $|\nabla u_K|$ exists as a kind of weak mean curvature on the level surfaces of $u = u_K$. In fact,

$$-\text{div}(|\nabla u|^{n-2}\nabla u) = 0 \text{ in } \mathbb{R}^n \setminus K \ \& \ |\nabla u|_{\partial K} = c$$

implies that if

$$X = n(x \cdot \nabla u)|\nabla u|^{n-2}\nabla u - |\nabla u|^n x,$$

ν stands for the outer unit normal vector, and $r \rightarrow \infty$, then

$$\begin{aligned} (n-1)nc^n V(K) &= (1-n) \int_{\partial K} x \cdot \nabla u |\nabla u|^{n-1} dS \\ &= \left(\frac{n-1}{n}\right) \int_{\partial(r\mathbb{B}^n)} X \cdot \nu dS \\ &= (n-1)\sigma_{n-1} + o(1), \end{aligned}$$

Thus, $c = (\omega_n/V(K))^{\frac{1}{n}}$, as desired.

Moreover, if U is n -harmonic, i.e., $\operatorname{div}(|\nabla U|^{n-2}\nabla U) = 0$, in $\mathbb{R}^n \setminus K$, U is continuous on ∂K , and $U(x)$ has a finite limit $U(\infty)$ as $x \rightarrow \infty$, then the divergence theorem is utilized to produce

$$U(\infty) = \frac{1}{\sigma_{n-1}} \left(\int_{\partial K} U|\nabla u_K|^{n-1} dS + \int_{\mathbb{R}^n \setminus K} \frac{\nabla u_K \cdot \nabla U}{(|\nabla u_K|^{n-2} - |\nabla U|^{n-2})^{-1}} dV \right).$$

In particular, if $n = 2$ then this formula reduces to [28, (6.3)], and consequently, if $U(x) = u_K(x) - \log|x|$ (which is $2 = n$ -harmonic in $\mathbb{R}^n \setminus K$) then

$$\operatorname{ncap}(K) = \exp \left(\sigma_{n-1}^{-1} \int_{\partial K} (\log|x|)|\nabla u_K(x)|^{n-1} dS(x) \right) \quad \text{for } n = 2.$$

It is our conjecture that this last formula is still valid for $n \geq 3$.

3. Boundary estimation of log-equilibrium.

3.1. An identity for the unit sphere area through log-equilibrium. In the above and below, by a convex body in \mathbb{R}^n we mean an element of \mathcal{K}^n . For $K \in \mathcal{K}^n$, the Gauss map $g : \partial K \rightarrow \mathbb{S}^{n-1}$ is defined almost everywhere with respect to the surface measure dS and determined by $g(x) = \nu$, the outer unit normal at $x \in \partial K$. In the process of finding a representation of the log-capacity $\operatorname{ncap}(K)$ in terms of the integral of $|\nabla u_K|^n$ of the log-equilibrium potential u_K on ∂K , we get the following result whose case $n = 2$ is essentially known; see also [28].

THEOREM 3.1. *If $K \in \mathcal{K}^n$, then*

$$\int_{\partial K} h_K(g)|\nabla u_K|^n dS = \sigma_{n-1}. \tag{3.1}$$

In other words, if $g_(|\nabla u_K|^n dS)$ is defined by*

$$\int_{g^{-1}(E)} |\nabla u_K|^n dS \quad \forall \text{ Borel set } E \subset \mathbb{S}^{n-1},$$

then

$$\int_{\mathbb{S}^{n-1}} h_K g_*(|\nabla u_K|^n dS) = \sigma_{n-1}.$$

Consequently,

$$\int_{\mathbb{S}^{n-1}} \xi g_*(|\nabla u_K|^n dS)(\xi) = 0. \tag{3.2}$$

Proof. For $K \in \mathcal{K}^n$, let $u = u_K$. Suppose that ν is the outer unit normal. Two cases are in order.

Case 1. K is of class $C^{2,+}$ - namely - ∂K is of class C^2 and its Gauss curvature $G(K, x)$ is positive at any $x \in \partial K$. Then

$$|\nabla u| = -\frac{\partial u}{\partial \nu} \quad \text{on } \partial K; \tag{3.3}$$

see also [46].

Observe that if

$$X = n(x \cdot \nabla u)|\nabla u|^{n-2}\nabla u - |\nabla u|^n x$$

then $\operatorname{div} X = 0$ in $\mathbb{R}^n \setminus K$ and hence by an integration-by-part,

$$\int_{\partial K} X \cdot \nu \, dS = \int_{\partial(r\mathbb{B}^n)} X \cdot \nu \, dS \quad \text{as } r \rightarrow \infty.$$

However, the right side of the last formula tends to σ_{n-1} as $r \rightarrow \infty$ thanks to the expansion of u at infinity. So, from (3.3) it follows that

$$(n-1) \int_{\partial K} (x \cdot \nabla u) \left(-\frac{\partial u}{\partial \nu}\right)^{n-1} dS = \left(\frac{1-n}{n}\right) \int_{\partial K} X \cdot \nu \, dS = (n-1)\sigma_{n-1}.$$

Consequently, (3.1) follows from

$$\int_{\partial K} h_K(g)|\nabla u|^n \, dS = \int_{\partial K} (x \cdot \nabla u) \left(-\frac{\partial u}{\partial \nu}\right)^{n-1} dS = \sigma_{n-1}.$$

To reach (3.2), note that σ_{n-1} is a dimensional constant and the support function of $L = K + x_0$ is

$$h_L(\xi) = h_K(\xi) + x_0 \cdot \xi \quad \text{for } \xi \in \mathbb{S}^{n-1},$$

where $x_0 \in \mathbb{R}^n$ is arbitrarily given. So, an application of (3.1) to L yields

$$\int_{\partial K} x_0 \cdot g(x)|\nabla u_K(x)|^n \, dS(x) = 0$$

and consequently, the following vector equation

$$\int_{\partial K} g(x)|\nabla u_K(x)|^n \, dS(x) = 0$$

holds. This gives (3.2).

Case 2. K just belongs to \mathcal{K}^n . To prove (3.1) under this general situation, recall first that the Hausdorff metric d_H on \mathcal{C}^n is determined by

$$d_H(K_1, K_2) = \sup_{x \in K_1} d(x, K_2) + \sup_{x \in K_2} d(x, K_1) \quad \forall K_1, K_2 \in \mathcal{C}^n,$$

where $d(x, E)$ stands for the distance from the point x to the set E .

Of course, the interior of the above K is a Lipschitz domain. According to Lewis-Nyström's [35, Theorem 3] (cf. [15] and [29] for harmonic functions), we see that ∇u_K has non-tangential limit, still denoted by ∇u_K , almost everywhere on ∂K with respect to dS . Moreover, $|\nabla u_K|$ is n -integrable on ∂K under dS , i.e.,

$$\int_{\partial K} |\nabla u_K|^n \, dS < \infty. \tag{3.4}$$

For $0 < t < 1$ let

$$L_t = \{x \in \mathbb{R}^n \setminus K : u_K(x) > t\} \quad \& \quad K_t = \mathbb{R}^n \setminus L_t.$$

Then $K_t \in \mathcal{K}^n$ is of class $C^{2,+}$ (cf. [11, Theorem 2.2]). Note that $u_K - t$ is equal to the log-equilibrium potential u_{K_t} of K_t , and note that continuity of u_K on ∂K yields $\lim_{t \rightarrow 0} d_H(K_t, K) = 0$. So,

$$\sigma_{n-1} = \int_{\partial K_t} (x \cdot \nabla u_K(x)) |\nabla u_K(x)|^{n-1} dS(x).$$

This, plus (3.4) and the dominated convergence theorem, derives

$$\begin{aligned} \sigma_{n-1} &= \lim_{t \rightarrow 0} \int_{\partial K_t} (x \cdot \nabla u_K(x)) |\nabla u_K(x)|^{n-1} dS(x) \\ &= \int_{\partial K} (x \cdot \nabla u_K(x)) |\nabla u_K(x)|^{n-1} dS(x), \end{aligned}$$

whence yielding (3.1) and its consequence (3.2). \square

3.2. A lower bound for the gradient of log-equilibrium. Being motivated by [13, Lemma 2.18] we find the following lower bound estimate for the gradient of the equilibrium potential of (1.1) on the boundary of a convex body.

THEOREM 3.2. *For $K \in \mathcal{K}^n$ let u_K be its equilibrium potential. If $K \subset r\mathbb{B}^n$, then there exists a constant $c > 0$ depending only on r and n such that $|\nabla u_K| \geq c$ almost everywhere on ∂K with respect to dS .*

Proof. Suppose that $u = u_K$ and $t_0 \in (0, 1)$ obey

$$K_t = \{x \in \mathbb{R}^n \setminus K : u(x) \leq t\} \subset r\mathbb{B}^n \quad \forall t \in (0, t_0).$$

Note that K_t is of class $C^{2,+}$ and the existence of t_0 is ensured by the continuity of u in $\mathbb{R}^n \setminus K$ (cf. [11, Theorem 2.2]). Now, for $t \in (0, t_0)$ let

$$\check{u}_t(x) = u(x) - t \quad \forall x \in \mathbb{R}^n \setminus K_t.$$

Then \check{u}_t is the solution of (1.1) for K_t , and in $C^2(\mathbb{R}^n \setminus K_t)$. For $\tau \in [0, 1)$ let

$$\check{K}_\tau = \{x \in \mathbb{R}^n \setminus K_t : \check{u}_t(x) \leq \tau\}$$

and $h(\cdot, \tau)$ be its support function $h_{\check{K}_\tau}$. Since $\check{K}_0 = K_t \subset r\mathbb{B}^n$, \check{u}_t is controlled, via the maximum principle, by the log-equilibrium potential of $r\mathbb{B}^n$. Consequently, there is a constant $c_0 > 0$ depending on n and r such that

$$\text{diam}(\check{K}_{2^{-1}}) = \text{diam}(\{x \in \mathbb{R}^n : 2^{-1} < u(x) \leq 1\}) \leq c_0.$$

Moreover, we have

$$0 \leq \inf_{x \in \mathbb{S}^{n-1}} h(x, 2^{-1}) \leq \sup_{x \in \mathbb{S}^{n-1}} h(x, 2^{-1}) \leq c_0,$$

whence deriving

$$h(x, 0) = h(x, 2^{-1}) - \int_0^{2^{-1}} \frac{\partial h}{\partial \tau}(x, \tau) d\tau \quad \forall x \in \mathbb{S}^{n-1}.$$

From [11, Theorem A.2] it follows that $s \mapsto \frac{\partial h}{\partial \tau}(x, \tau)$ is a non-decreasing function on $[0, 1)$. This monotonicity and the mean-value theorem for derivatives yield

$$\left. \frac{\partial h}{\partial \tau}(x, \tau) \right|_{\tau=0} \leq 2(h(x, 2^{-1}) - h(x, 0)) \leq 2h(x, 2^{-1}) \leq 2c_0 \quad \forall x \in \mathbb{S}^{n-1}.$$

Meanwhile, an application of [11, Theorem A.1] gives

$$\frac{\partial h}{\partial \tau}(x, \tau)|_{\tau=0} = |\nabla \check{u}_t(x)|^{-1},$$

where $x \in \partial K_t$ satisfies

$$x = (\nabla \check{u}_t(x))|\nabla \check{u}_t(x)|^{-1} \quad \& \quad \check{u}_t(x) = 0.$$

As a result, we get

$$\inf_{x \in \partial K_t} |\nabla u(x)| = \inf_{x \in \partial K_t} |\nabla \check{u}_t(x)| \geq (2c_0)^{-1}.$$

The desired assertion follows by letting $t \rightarrow 0$ and using the existence of the non-tangential maximal function of $|\nabla u|$ on ∂K . \square

4. Hadamard’s variation for log-capacity.

4.1. Hadamard’s variation: smooth case. For $K_1, K_2 \in \mathcal{K}^n$ and $0 \leq t_1, t_2$ define

$$t_1 K_1 + t_2 K_2 = \{x = t_1 x_1 + t_2 x_2 : x_j \in K_j\}.$$

In accordance with Colesant-Cuoghi’s [11, Theorem 3.1] (cf. Borell [7] for $n = 2$), we have the following Brunn-Minkowski inequality for $t \in [0, 1]$ and $K_1, K_2 \in \mathcal{K}^n$:

$$\text{ncap}(tK_1 + (1 - t)K_2) \geq t\text{ncap}(K_1) + (1 - t)\text{ncap}(K_2) \tag{4.1}$$

with equality if and only if K_1 is a translate and a dilate of K_2 .

Notice that (4.1) implies that

$$\frac{d^2}{dt^2} \text{ncap}(tK_1 + (1 - t)K_2)|_{t=0} \leq 0.$$

So, we get the following assertion extending the smooth two-dimensional Hadamard’s variation formula (cf. [47]).

THEOREM 4.1. *If $K_0, K_1 \in \mathcal{K}^n$ are of class $C^{2,+}$, then*

$$\frac{d}{dt} \log \text{ncap}(K_0 + tK_1)|_{t=0} = \sigma_{n-1}^{-1} \int_{\partial K_0} h_{K_1}(g) |\nabla u_{K_0}|^n dS, \tag{4.2}$$

equivalently,

$$\frac{d}{dt} \log \text{ncap}((1 - t)K_0 + tK_1)|_{t=0} = \sigma_{n-1}^{-1} \int_{\partial K_0} \frac{|\nabla u_{K_0}|^n}{(h_{K_1}(g) - h_{K_0}(g))^{-1}} dS. \tag{4.3}$$

Consequently,

$$\frac{\sigma_{n-1}}{\text{ncap}(K_0)} \leq \int_{\partial K_0} |\nabla u_{K_0}|^n dS \tag{4.4}$$

with equality if K_0 is a ball.

Proof. To derive (4.2), note again that

$$u(x) = u_K(x) = \log|x| - \log \text{ncap}(K) + o(1) \quad \forall x \in \mathbb{R}^n \setminus K.$$

Proving (4.2) is equivalent to establishing the first variation of u . To do so, for an arbitrary small number $\epsilon > 0$ let K_ϵ be such a convex body that its boundary ∂K_ϵ is obtained by shifting ∂K an infinitesimal distance $\delta\nu = \epsilon\rho(s)$ along its outer unit normal ν , where ρ is a smooth function on ∂K :

$$\partial K_\epsilon = \{x + \epsilon\rho(x)\nu(x) : x \in \partial K\},$$

and denote by $u_\epsilon = u_{K_\epsilon}$.

For convenience, set

$$K^c = \mathbb{R}^n \setminus K \quad \& \quad K_\epsilon^c = \mathbb{R}^n \setminus K_\epsilon,$$

and define

$$u(x) = 0 \quad \forall x \in K \quad \& \quad u_\epsilon(x) = 0 \quad \forall x \in K_\epsilon.$$

Consider the following difference

$$\text{Dif}(\epsilon) = \int_{K^c} |\nabla u|^{n-2} \nabla u \cdot \nabla u_\epsilon dV - \int_{K_\epsilon^c} |\nabla u_\epsilon|^{n-2} \nabla u_\epsilon \cdot \nabla u dV. \tag{4.5}$$

On the one hand,

$$\begin{aligned} \text{Dif}(\epsilon) &= \int_{K^c \setminus K_\epsilon^c} |\nabla u|^{n-2} \nabla u \cdot \nabla u_\epsilon dV + \int_{K_\epsilon^c} (|\nabla u|^{n-2} - |\nabla u_\epsilon|^{n-2}) \nabla u_\epsilon \cdot \nabla u dV \\ &= \epsilon \int_{\partial K^c} |\nabla u|^{n-1} \left(\frac{\partial u_\epsilon}{\partial \nu}\right) \rho dS + \int_{K_\epsilon^c} (|\nabla u|^{n-2} - |\nabla u_\epsilon|^{n-2}) \nabla u_\epsilon \cdot \nabla u dV. \end{aligned}$$

This yields

$$\lim_{\epsilon \rightarrow 0} \frac{\text{Dif}(\epsilon)}{\epsilon} = - \int_{\partial K} |\nabla u|^{n-1} \left(\frac{\partial u}{\partial \nu}\right) \rho dS.$$

On the other hand, note that

$$\begin{cases} -\text{div}(|\nabla u_\epsilon|^{n-2} \nabla u_\epsilon) = 0 & \text{in } K_\epsilon^c; \\ -\text{div}(|\nabla u_\epsilon|^{n-2} \nabla u_\epsilon) = 0 & \text{in } K^c, \end{cases}$$

and

$$\begin{cases} \text{div}(u|\nabla u_\epsilon|^{n-2} \nabla u_\epsilon) = u \text{div}(|\nabla u_\epsilon|^{n-2} \nabla u_\epsilon) + |\nabla u_\epsilon|^{n-2} \nabla u_\epsilon \cdot \nabla u; \\ \text{div}(u_\epsilon|\nabla u|^{n-2} \nabla u) = u_\epsilon \text{div}(|\nabla u|^{n-2} \nabla u) + |\nabla u|^{n-2} \nabla u \cdot \nabla u_\epsilon. \end{cases}$$

So, an application of the divergence theorem gives

$$\begin{aligned} & \int_{K^c} |\nabla u|^{n-2} \nabla u \cdot \nabla u_\epsilon \, dV \\ &= \int_{K^c} \operatorname{div}(u_\epsilon |\nabla u|^{n-2} \nabla u) \, dV \\ &= \lim_{r \rightarrow \infty} \int_{K^c \setminus (r\mathbb{B}^n)^c} \operatorname{div}(u_\epsilon |\nabla u|^{n-2} \nabla u) \, dV \\ &= \int_{\partial K^c} u_\epsilon |\nabla u|^{n-2} \nabla u \cdot \nu \, dS - \lim_{r \rightarrow \infty} \int_{\partial(r\mathbb{B}^n)^c} u_\epsilon |\nabla u|^{n-2} \nabla u \cdot \nu \, dS \\ &= - \lim_{r \rightarrow \infty} \int_{\partial(r\mathbb{B}^n)^c} u_\epsilon |\nabla u|^{n-2} \nabla u \cdot \nu \, dS. \end{aligned}$$

Similarly, we have

$$\int_{K_\epsilon^c} |\nabla u_\epsilon|^{n-2} \nabla u_\epsilon \cdot \nabla u \, dV = - \lim_{r \rightarrow \infty} \int_{\partial(r\mathbb{B}^n)^c} u |\nabla u_\epsilon|^{n-2} \nabla u_\epsilon \cdot \nu \, dS.$$

Consequently,

$$\begin{aligned} & \operatorname{Dif}(\epsilon) \\ &= - \lim_{r \rightarrow \infty} \left(\int_{\partial(r\mathbb{B}^n)^c} u_\epsilon |\nabla u|^{n-2} \nabla u \cdot \nu \, dS - \int_{\partial(r\mathbb{B}^n)^c} u |\nabla u_\epsilon|^{n-2} \nabla u_\epsilon \cdot \nu \, dS \right) \\ &= \lim_{r \rightarrow \infty} \int_{\partial(r\mathbb{B}^n)^c} \nu \cdot \left(\frac{\nabla u_\epsilon}{|\nabla u_\epsilon|^{2-n}} - \frac{\nabla u}{|\nabla u|^{2-n}} \right) u \, dS - \lim_{r \rightarrow \infty} \int_{\partial(r\mathbb{B}^n)^c} \frac{(u_\epsilon - u) \nabla u}{|\nabla u|^{2-n}} \cdot \nu \, dS. \end{aligned}$$

This derives via (3.1)

$$\begin{aligned} \lim_{\epsilon \rightarrow 0} \frac{\operatorname{Dif}(\epsilon)}{\epsilon} &= \lim_{\epsilon \rightarrow 0} \left(\frac{\log \operatorname{ncap}(K_\epsilon) - \log \operatorname{ncap}(K)}{\epsilon} \right) \lim_{r \rightarrow \infty} \int_{\partial(r\mathbb{B}^n)^c} \frac{\nabla u \cdot \nu}{|\nabla u|^{2-n}} \, dS \\ &= -\sigma_{n-1} \lim_{\epsilon \rightarrow 0} \frac{\log \operatorname{ncap}(K_\epsilon) - \log \operatorname{ncap}(K)}{\epsilon}. \end{aligned}$$

The above two formulas for $\lim_{\epsilon \rightarrow 0} \epsilon^{-1} \operatorname{Dif}(\epsilon)$ derive

$$\lim_{\epsilon \rightarrow 0} \frac{\log \operatorname{ncap}(K_\epsilon) - \log \operatorname{ncap}(K)}{\epsilon} = \int_{\partial K} |\nabla u|^n \rho \frac{dS}{\sigma_{n-1}},$$

and thereby verifying (4.2) through letting $K = K_0$ and $\rho = h_{K_1} \circ g$.

Through the chain rule and the homogeneous property of the support function, (4.2) immediately derives (4.3) and vice visa. Now, because $t \mapsto \operatorname{ncap}((1-t)K_0 + tK_1)$ is concave on $[0, 1]$; see also [11], if $K_1 = \overline{r\mathbb{B}^n}$ and $r = \operatorname{ncap}(K_0)$ then an application of (4.3) gives

$$\begin{aligned} 0 &\leq \frac{d}{dt} \log \operatorname{ncap}((1-t)K_0 + tK_1) \Big|_{t=0} \\ &= \left(\frac{1}{\operatorname{ncap}(K_0)} \right) \frac{d}{dt} \operatorname{ncap}((1-t)K_0 + tK_1) \Big|_{t=0} \\ &= \int_{\partial K_0} (h_{K_1}(g) - h_{K_0}(g)) |\nabla u_{K_0}|^n \frac{dS}{\sigma_{n-1}} \\ &= \int_{\partial K_0} (r - h_{K_0}(g)) |\nabla u_{K_0}|^n \frac{dS}{\sigma_{n-1}}, \end{aligned}$$

whence reaching (4.4) via (3.1). \square

4.2. Hadamard’s variation: non-smooth case. To generalize Theorem 4.1, without loss of generality we may assume that the origin is an interior point of $K, K_j \in \mathcal{K}^n$, write $\varrho_K : \mathbb{S}^{n-1} \rightarrow \partial K$ and $\varrho_{K_j} : \mathbb{S}^{n-1} \rightarrow \partial K_j$ for the radial projections

$$\mathbb{S}^{n-1} \ni \theta \mapsto \varrho_K(\theta) = r_K(\theta)\theta \in \partial K$$

and

$$\mathbb{S}^{n-1} \ni \theta \mapsto \varrho_{K_j}(\theta) = r_{K_j}(\theta)\theta \in \partial K_j$$

respectively, where $r_K(\theta)$ and r_{K_j} are the unique positive numbers ensuring $r_K(\theta)\theta \in \partial K$ and $r_{K_j}(\theta)\theta \in \partial K_j$ respectively, and set

$$D(\theta) = |\nabla u_K(\varrho_K(\theta))| r_K(\theta) (h_K(g(\varrho_K(\theta))))^{-\frac{1}{n}}$$

and

$$D_j(\theta) = |\nabla u_{K_j}(\varrho_{K_j}(\theta))| r_{K_j}(\theta) (h_{K_j}(g(\varrho_{K_j}(\theta))))^{-\frac{1}{n}}$$

respectively.

In the sequel, we will use the fact that $dS(x) = |x|^n(x \cdot g(x))^{-1}d\theta$ holds for $\theta = x/|x|$.

THEOREM 4.2. *For $\{K, K_1, K_2, \dots\} \subseteq \mathcal{K}^n$, $\epsilon > 0$ and $\alpha > 0$, there exist $s_0 > 0$, $\eta > 0$ and a family of balls \mathcal{B} on \mathbb{S}^{n-1} such that:*

- (i) every member in \mathcal{B} has radius s_0 ;
- (ii) there is a constant $N > 0$ depending only on the inner and outer radii of K , such that any point of \mathbb{S}^{n-1} belongs to at most N balls of \mathcal{B} ;
- (iii) $S(\mathbb{S}^{n-1} \setminus F) < \epsilon$ where $F = \cup_{B \in \mathcal{B}} B$;
- (iv) if $d_H(K_j, K) < \eta$, then for any $B \in \mathcal{B}$ we have

$$s_0^{1-n} \left(\int_B \left| \left(\frac{D_j(\theta)}{D(\theta)} \right)^{n-1} - 1 \right|^\alpha d\theta + \int_B \left| \left(\frac{D(\theta)}{D_j(\theta)} \right)^{n-1} - 1 \right|^\alpha d\theta \right) < \epsilon;$$

(v)

$$\lim_{j \rightarrow \infty} \int_{\mathbb{S}^{n-1}} |D_j^n(\theta) - D^n(\theta)| d\theta = 0.$$

Proof. The following argument comes from an appropriate modification of the argument for Lemmas 4.4-4.5-4.6 in [13]. According to Jerison’s [27, Lemma 3.3], we have that for any $\epsilon > 0$ there exists $\eta > 0$ and a finite disjoint collection of open balls $B_{r_k}(z_k)$ (centered at z_k with radius r_k) such that $z_k \in \partial K$ and for any convex body $L \in \mathcal{K}^n$ for which $d_H(L, K) < \eta$:

- (a) $S(\partial L \setminus \cup_k B_{r_k}(z_k)) < \epsilon$;
- (b) after a suitable rotation and translation depending on k , we have that ∂K and ∂L are given on $B_{r_k}(z_k)$ by the graphs of functions ϕ and ψ respectively, enjoying

$$\sup \{ |\nabla \phi(x)| + |\nabla \psi(x)| : |x| < \epsilon^{-1}r_k, \phi \text{ \& } \psi \text{ differentiable at } x \} \leq \epsilon.$$

Now, given $\epsilon > 0$. Following the beginning part of the proof of Jerison’s [27, Lemma 3.7] we choose a sufficiently small number $s_0 < \min\{r_k\}$ such that the Jacobians of the change of variables ϱ_{K_k} and ϱ_K vary by at most ϵ as θ varies by the distance $s > 0$ and $\varrho_K(\theta)$ is contained in $\cup_k B_{r_k}(z_k)$. As a consequence, we can select \mathcal{B} obeying (i)-(ii)-(iii) described as above.

Meanwhile, from Lewis-Nyström’s [36, Theorem 2] it follows that for each $s \in (0, s_0)$ and each ball B of radius s in the concentric ϵ^{-1} multiple of any element in \mathcal{B} , there is a constant c_B such that

$$s^{1-n} \int_B |\log D(\theta) - c_B| d\theta < \epsilon. \tag{4.6}$$

Furthermore, using the previously-stated (a)-(b) we can take $\delta > 0$ small enough to obtain

$$s^{1-n} \int_B |\log D_j(\theta) - c_B| d\theta < \epsilon \quad \forall \quad s \in (0, \delta). \tag{4.7}$$

A combination of (4.6) and (4.7) gives

$$s^{1-n} \int_B \left| \log \frac{D_j(\theta)}{D(\theta)} \right| d\theta < 2\epsilon.$$

Applying John-Nirenberg’s exponential inequality (cf. [30]) for a BMO-function to (4.6), we obtain that given $\alpha > 0$ and for arbitrarily small $\epsilon' > 0$ one can take $\eta' > 0$ and s_0 so small that for each $B \in \mathcal{B}$ there is a constant c'_B ensuring

$$s_0^{1-n} \int_B \left| c'_B \left(\frac{D_j(\theta)}{D(\theta)} \right)^{n-1} - 1 \right|^\alpha d\theta < \epsilon'. \tag{4.8}$$

Note that η' and s_0 can be chosen small enough to ensure that for each $B \in \mathcal{B}$ we have

$$\frac{\int_B D_j^{n-1}(\theta) d\theta}{\int_B D^{n-1}(\theta) d\theta} = \left(1 + \mathcal{O}(\epsilon') \right) \frac{\int_{\varrho_{\Omega_j}(B)} |\nabla u_{K_j}|^{n-1} dS}{\int_{\varrho_{\Omega}(B)} |\nabla u_K|^{n-1} dS}, \tag{4.9}$$

where $\mathcal{O}(\epsilon')$ is a positive big-oh function of ϵ' .

Next, we are about to show that c'_B in (4.8) is equal to 1. To this end, let us fix s_0 and allow η to rely on s_0 . Note that the quotient on the right side of (4.9) is the ratio of the n -harmonic measures (cf. [38]) of the sets $\varrho_j(B)$ and $\varrho(B)$. So, employing the maximum principle to compare n -harmonic functions in $\mathbb{R}^n \setminus K_j$ to n -harmonic functions in $\mathbb{R}^n \setminus \rho K$ (where ρK means a ρ -dilation of K), we can take $\eta > 0$ smaller still, relying on s_0 such that

$$\left| \frac{\int_B D_j^{n-1}(\theta) d\theta}{\int_B D^{n-1}(\theta) d\theta} - 1 \right| \lesssim \epsilon' \tag{4.10}$$

holds for any $B \in \mathcal{B}$. In the above and below, $U \lesssim V$ stands for $U \leq c_n V$ for a dimensional constant $c_n > 0$.

Using the $q > n$ -harmonic setting of Lewis-Nyström’s [35, Theorem 3] and the Hölder inequality we find that

$$\left(\frac{1}{S(\varrho_{\Omega}(B))} \int_{\varrho_{\Omega}(B)} |\nabla u_K|^n dS \right)^{\frac{n-1}{n}} \lesssim \frac{1}{S(\varrho_K(B))} \int_{\varrho_K(B)} |\nabla u_K|^{n-1} dS \tag{4.11}$$

is valid for any ball centered at ∂K . Clearly, a similar estimate is valid for each ∂K_j . Thus,

$$\left(s_0^{1-n} \int_B D^n(\theta) d\theta \right)^{\frac{n-1}{n}} \lesssim s_0^{1-n} \int_B D^{n-1}(\theta) d\theta \tag{4.12}$$

and similarly for D_j . Now, using Hölder’s inequality plus (4.12), (4.8) and (4.11), we get that for each $B \in \mathcal{B}$,

$$\begin{aligned} \frac{\int_B c'_B D_j^{n-1}(\theta) d\theta}{\int_B D^{n-1}(\theta) d\theta} - 1 &= \frac{\int_B c'_B \left(\left(\frac{D_j(\theta)}{D(\theta)} \right)^{n-1} - 1 \right) D^{n-1}(\theta) d\theta}{\int_B D^{n-1}(\theta) d\theta} \\ &\lesssim \left(\int_B \left(c'_B \left(\frac{D_j(\theta)}{D(\theta)} \right)^{n-1} - 1 \right)^n d\theta \right)^{\frac{1}{n}} \left(\frac{\left(\int_B D^n(\theta) d\theta \right)^{\frac{n-1}{n}}}{\int_B D^{n-1}(\theta) d\theta} \right) \\ &\lesssim \left(s_0^{1-n} \int_B \left(c'_B \left(\frac{D_j(\theta)}{D(\theta)} \right)^{n-1} - 1 \right)^n d\theta \right)^{\frac{1}{n}} \\ &\lesssim \epsilon'. \end{aligned}$$

In a similar manner, we replace $c'_B D_j/D$ by $(D/c'_B)D_j$ in the above estimates to obtain

$$\frac{\int_B D^{n-1}(\theta) d\theta}{\int_B c'_B D_j^{n-1}(\theta) d\theta} - 1 \lesssim \epsilon'.$$

Since (4.10) yields

$$\left| \frac{\int_B D_j^{n-1}(\theta) d\theta}{\int_B D^{n-1}(\theta) d\theta} - 1 \right| \lesssim \epsilon',$$

we must have $|c'_B - 1| \lesssim \epsilon'$, whence getting $c'_B = 1$. As a consequence of this and (4.8), we find

$$s_0^{1-n} \int_B \left| \left(\frac{D_j(\theta)}{D(\theta)} \right)^{n-1} - 1 \right|^\alpha d\theta \lesssim \epsilon' \quad \& \quad s_0^{1-n} \int_B \left| \left(\frac{D(\theta)}{D_j(\theta)} \right)^{n-1} - 1 \right|^\alpha d\theta \lesssim \epsilon',$$

whence completing the proof of (iv).

Although the idea of verifying (v) is motivated by the argument for [27, Proposition 4.3], we still need more effort to adapt it to our nontrivial situation. Because of $q > n$ in [35, Theorem 3], it is possible to find $\beta \in (1, \infty)$ such that $n\beta/(\beta - 1) = q$. Given $\epsilon > 0$, take $\eta > 0$ and F in accordance with (i)-(iv). Using the inequality

$$|a^n - b^n| \leq \frac{(a + b)|a^{n-1} - b^{n-1}|}{n^{-1}(n - 1)} \quad \forall a, b \geq 0,$$

the Hölder inequality and (3.1), we achieve

$$\begin{aligned}
 & \int_F |D_j^n(\theta) - D^n(\theta)| d\theta \\
 & \leq \left(\frac{n}{n-1}\right) \int_F |D_j^{n-1}(\theta) - D^{n-1}(\theta)| (D_j(\theta) + D(\theta)) d\theta \\
 & \lesssim \left(\int_F |D_j^{n-1}(\theta) - D^{n-1}(\theta)|^{\frac{n}{n-1}} d\theta\right)^{\frac{n-1}{n}} \left(\int_F (D_j(\theta) + D(\theta))^n d\theta\right)^{\frac{1}{n}} \\
 & \lesssim (2\sigma_{n-1})^{\frac{1}{n}} \left(\int_F \left|\left(\frac{D_j(\theta)}{D(\theta)}\right)^{n-1} - 1\right|^{\frac{n}{n-1}} D^n(\theta) dS(\theta)\right)^{\frac{n-1}{n}} \\
 & \lesssim \left(\int_F \left|\left(\frac{D_j(\theta)}{D(\theta)}\right)^{n-1} - 1\right|^{\frac{n\beta}{n-1}} dS(\theta)\right)^{\frac{n-1}{n\beta}} \left(\int_F D^q(\theta) d\theta\right)^{\frac{n-1}{q}},
 \end{aligned}$$

thereby deducing

$$\int_F |D_j^n(\theta) - D^n(\theta)| d\theta \lesssim \epsilon \quad \text{as } j \rightarrow \infty, \tag{4.13}$$

via (iv) with $\alpha = q$ as well as [35, Theorem 3] insuring $\int_{\mathbb{S}^{n-1}} D^q(\theta) d\theta < \infty$.

On the other hand, by the Hölder inequality with $q > n$ we derive

$$\begin{aligned}
 \int_{\mathbb{S}^{n-1} \setminus F} |D_j^n(\theta) - D^n(\theta)| d\theta & \leq \int_{\mathbb{S}^{n-1} \setminus F} (D_j^n(\theta) + D^n(\theta)) d\theta \\
 & \lesssim (S(\mathbb{S}^{n-1} \setminus F))^{\frac{q}{q-n}} \left(\int_{\mathbb{S}^{n-1} \setminus F} (D_j^q(\theta) + D^q(\theta)) d\theta\right)^{\frac{n}{q}},
 \end{aligned}$$

whence getting (v) through (iii), (4.13) and [35, Theorem 3] which especially guarantees

$$\sup_j \int_{\mathbb{S}^{n-1} \setminus F} (D_j^q(\theta) + D^q(\theta)) d\theta < \infty.$$

□

With the help of Theorem 4.2, we can establish the following weak convergence result for the measure induced by Theorem 3.1.

THEOREM 4.3. *Let $K, K_j \in \mathcal{K}^n$ and $\lim_{j \rightarrow \infty} d_H(K_j, K) = 0$. If u, u_j are the log-equilibrium potentials of K, K_j respectively, then $d\mu_j = (g_j)_*(|\nabla u_j|^n dS)$ converges weakly to $d\mu = g_*(|\nabla u|^n dS)$, i.e.,*

$$\lim_{j \rightarrow \infty} \int_{\mathbb{S}^{n-1}} f d\mu_j = \int_{\mathbb{S}^{n-1}} f d\mu \quad \forall \quad f \in C(\mathbb{S}^{n-1}).$$

Proof. The following argument is analogous to [9, Section 5] (cf. [27, the proof of Theorem 3.1] and [13, the proof of Lemma 4.3]). Recall that the push-forward measures $d\mu$ & $d\mu_j$ on \mathbb{S}^{n-1} are determined respectively by

$$\mu(E) = \int_{g^{-1}(E)} |\nabla u|^n dS \quad \& \quad \mu_j(E) = \int_{g_j^{-1}(E)} |\nabla u_j|^n dS \quad \forall \quad \text{Borel set } E \subset \mathbb{S}^{n-1},$$

where g and g_j are the Gauss maps attached to K and K_j respectively. It remains to verify that μ is the weak limit of μ_j as $j \rightarrow \infty$.

An application of Theorem 4.2(v) yields

$$\lim_{j \rightarrow \infty} \left(\mu(\mathbb{S}^{n-1}) - \mu_j(\mathbb{S}^{n-1}) \right) = \lim_{j \rightarrow \infty} \int_{\mathbb{S}^{n-1}} (D^n(\theta) - D_j^n(\theta)) d\theta = 0. \tag{4.14}$$

Note that $g^{-1}(E) \subseteq \partial K$ and $g_j^{-1}(E) \subseteq \partial K_j$ are closed (cf. [9] and [26, 27]) for any Borel set $E \subseteq \mathbb{S}^{n-1}$, and that if $\xi_j \in g_j(x_j)$ approaches ξ and if $x_j \rightarrow x$ then $\xi \in g(x)$ and $x \in \partial K$. So, for any open neighborhood U in ∂K of the closed set $g^{-1}(E)$ we have that $\varrho_{K_j}^{-1}(g_j^{-1}(E)) \subseteq \varrho_K^{-1}(U)$ as $j \rightarrow \infty$, whence finding

$$\limsup_{j \rightarrow \infty} \mu_j(E) \leq \lim_{j \rightarrow \infty} \int_{\varrho_K^{-1}(U)} D_j^n(\theta) d\theta \leq \int_{\varrho_K^{-1}(U)} D^n(\theta) d\theta.$$

When the infimum is over all $U \supseteq g^{-1}(E)$, we get $\limsup_{j \rightarrow \infty} \mu_j(E) \leq \mu(E)$. This last inequality and (4.14) imply that for any open subset O of \mathbb{S}^{n-1} ,

$$\begin{aligned} \liminf_{j \rightarrow \infty} \mu_j(O) &= \liminf_{j \rightarrow \infty} (\mu_j(O) - \mu_j(\mathbb{S}^{n-1} \setminus O)) \\ &\geq \liminf_{j \rightarrow \infty} \mu_j(\mathbb{S}^{n-1}) - \mu(\mathbb{S}^{n-1} \setminus O) \\ &= \mu(\mathbb{S}^{n-1}) - \mu(\mathbb{S}^{n-1} \setminus O) = \mu(O). \end{aligned}$$

If $\tilde{\mu}$ is any weak limit of a subsequence of μ_j , then the above inequalities on $\limsup_{j \rightarrow \infty}$ and $\liminf_{j \rightarrow \infty}$ deduce that $\tilde{\mu}(C) \leq \mu(C)$ and $\mu(O) \leq \tilde{\mu}(O)$ hold for any closed $C \subseteq \mathbb{S}^{n-1}$ and any open $O \subseteq \mathbb{S}^{n-1}$. Consequently, for any closed $C \subseteq \mathbb{S}^{n-1}$ we have

$$\mu(C) \geq \tilde{\mu}(C) = \inf\{\tilde{\mu}(O) : \text{open } O \supseteq C\} \geq \inf\{\mu(O) : \text{open } O \supseteq C\} = \mu(C),$$

and hence $\tilde{\mu} = \mu$. \square

The following is the general variational result.

THEOREM 4.4. (4.2)-(4.3)-(4.4) are valid for $K_0, K_1 \in \mathcal{K}^n$.

Proof. Given $K_0, K_1 \in \mathcal{K}^n$. There are two $C^{2,+}$ -sequences $\{K_{0,j}\}, \{K_{1,j}\}$ in \mathcal{K}^n such that

$$\lim_{j \rightarrow \infty} d_H(K_{0,j}, K_0) = 0 = \lim_{j \rightarrow \infty} d_H(K_{1,j}, K_1).$$

Now, for $t \in (0, 1)$ and $j = 1, 2, \dots$ set

$$\begin{cases} K_t = (1-t)K_0 + tK_1, & K_{t,j} = (1-t)K_{0,j} + tK_{1,j}; \\ \Phi(t) = \text{ncap}(K_0 + tK_1), & \Phi_j(t) = \text{ncap}(K_{0,j} + tK_{1,j}); \\ \Psi(t) = \text{ncap}(K_t), & \Psi_j(t) = \text{ncap}(K_{t,j}). \end{cases}$$

Note that

$$t \mapsto \Psi_j(t) = (1-t)\Phi_j\left(\frac{t}{1-t}\right)$$

is a concave function on $(0, 1)$. So,

$$\Psi'_j(t) \leq \frac{\Psi_j(t) - \Psi_j(0)}{t} \leq \Psi'_j(0) \quad \forall t \in (0, 1). \tag{4.15}$$

A simple computation gives

$$\Psi'_j(t) = -\Phi_j\left(\frac{t}{1-t}\right) + (1-t)^{-1}\Phi'_j\left(\frac{t}{1-t}\right)$$

and

$$\begin{aligned} \Psi'_j(0) &= -\Phi_j(0) + \Phi'_j(0) \\ &= \frac{\text{ncap}(K_{0,j})}{\sigma_{n-1}} \left(-\sigma_{n-1} + \int_{\partial K_{0,j}} h_{K_{1,j}}(g) |\nabla u_{K_{0,j}}|^n dS \right) \\ &= \frac{\text{ncap}(K_{0,j})}{\sigma_{n-1}} \int_{\partial K_{0,j}} \left(h_{K_{1,j}}(g) - h_{K_{0,j}}(g) \right) |\nabla u_{K_{0,j}}|^n dS, \end{aligned}$$

owing to (3.1) and (4.3). Upon letting $j \rightarrow \infty$ and $t \rightarrow 0$ in (4.15), we use Theorem 4.3 to obtain

$$\Psi'(0) = \frac{\text{ncap}(K_0)}{\sigma_{n-1}} \int_{\partial K_0} \left(h_{K_1}(g) - h_{K_0}(g) \right) |\nabla u_{K_0}|^n dS,$$

whence establishing (4.3), equivalently, (4.2), and thus (4.4). \square

5. Minkowski’s problem for log-capacity.

5.1. Prescribing volume variation. Given $K \in \mathcal{K}^n$. From the Gauss map $g : \partial K \rightarrow \mathbb{S}^{n-1}$ one can introduce the area set function $\mathcal{H}_{\partial K}^{n-1}$ of ∂K via setting

$$\mathcal{H}_{\partial K}^{n-1}(E) = S(\{x \in \partial K : g(x) \cap E \neq \emptyset\}) \quad \forall \text{ Borel subset } E \subset \mathbb{S}^{n-1}.$$

This measure $d\mathcal{H}_{\partial K}^{n-1}$ is treated as the push-forward measure $g_*(dS)$ on \mathbb{S}^{n-1} of the $n - 1$ dimensional surface measure dS on ∂K through the inverse map g^{-1} of g . Obviously, $\mathcal{H}_{\partial K}^{n-1}(\mathbb{S}^{n-1}) = S(K)$, i.e., the surface area of K . Two more special facts on this measure are worth recalling. The first is that if ∂K is polyhedron then $d\mathcal{H}_{\partial K}^{n-1} = \sum_k c_k \delta_{\nu_k}$, where δ_{ν_k} is the unit point mass at ν_k and c_k is the $(n - 1)$ dimensional measure of the face of ∂K with outward unit normal being ν_k . The second is that if $K \in \mathcal{K}^n$ is of class $C^{2,+}$ then $d\mathcal{H}_{\partial K}^{n-1}$ is absolutely continuous with respect to $d\theta$ and so decided by the reciprocal of the Gauss curvature $G(K, \cdot)$ of ∂K .

The classical Minkowski problem is to ask under what conditions on a given nonnegative Borel measure on \mathbb{S}^{n-1} one can get a convex body $K \in \mathcal{K}^n$ such that $d\mathcal{H}_{\partial K}^{n-1} = d\mu$. As is well-known in convex geometry, this problem is solvable if and only if the support of μ is not contained in any equator (the intersection of \mathbb{S}^{n-1} with any hype-plane through the origin) and μ has centroid at the origin. Moreover, the above K is unique up to translation – this follows from the equality case of the well-known Brunn-Minkowski inequality for $V(\cdot)$:

$$V(K_0 + tK_1)^{\frac{1}{n}} \geq V(K_0)^{\frac{1}{n}} + tV(K_1)^{\frac{1}{n}} \quad \forall K_0, K_1 \in \mathcal{K}^n \quad \& \quad t \in [0, 1].$$

The foregoing inequality and the following Hadamard’s variation formula:

$$\frac{d}{dt}V(K_0 + tK_1)\Big|_{t=0} = \int_{\partial K_0} h_{K_1}(g) dS = \int_{\mathbb{S}^{n-1}} h_{K_1} d\mathcal{H}_{\partial K_0}^{n-1} \quad \forall K_0, K_1 \in \mathcal{K}^n$$

give

$$\int_{\mathbb{S}^{n-1}} h_{K_1} d\mathcal{H}_{\partial K_0}^{n-1} \geq nV(K_0)^{1-\frac{1}{n}}V(K_1)^{\frac{1}{n}},$$

whence ensuring that if K_0 is fixed and K_1 varies with $V(K_1) \geq 1$ then $\int_{\mathbb{S}^{n-1}} h_{K_1} d\mathcal{H}_{\partial K_0}^{n-1}$ reaches its minimum whenever $K_1 = V(K_0)^{-\frac{1}{n}} K_0$. So, the just-described Minkowski problem is equivalent to the problem prescribing the first variation of volume, i.e., the following minimum problem

$$\inf \left\{ \int_{\mathbb{S}^{n-1}} h_K d\mu : K \in \mathcal{K}^n \ \& \ V(K) \geq 1 \right\}$$

for a given nonnegative Borel measure μ on \mathbb{S}^{n-1} ; see e.g.[10, 12, 42, 41].

5.2. Prescribing log-capacity variation. As $V(\cdot)$ is replaced by $\text{ncap}(\cdot)$, we employ Theorem 4.1 and (4.1) to obtain that

$$\int_{\partial K_0} h_{K_1}(g) |\nabla u_{K_0}|^n dS = \left(\frac{\sigma_{n-1}}{\text{ncap}(K_0)} \right) \frac{d}{dt} \text{ncap}(K_0 + tK_1) \Big|_{t=0} \geq \frac{\sigma_{n-1} \text{ncap}(K_1)}{\text{ncap}(K_0)}$$

holds for all $K_0, K_1 \in \mathcal{K}^n$. Clearly, if $K_0 \in \mathcal{K}^n$ is fixed and $K_1 \in \mathcal{K}^n$ changes under $\text{ncap}(K_1) \geq 1$, then

$$\int_{\mathbb{S}^{n-1}} h_{K_1} g_*(|\nabla u_{K_0}|^n dS) = \int_{\partial K_0} h_{K_1}(g) |\nabla u_{K_0}|^n dS \geq \frac{\sigma_{n-1}}{\text{ncap}(K_0)}$$

with equality (i.e., the most right quantity exists as the infimum of the most left integral) if $K_1 = K_0/\text{ncap}(K_0)$. This implication plus the review about the problem of prescribing the first variation of volume as well as [28, Corollaries 2.7 & 6.6] leads to a consideration of the Minkowski-type problem for the first variation of the log-capacity. Below is our result.

THEOREM 5.1. *Let μ be a nonnegative Borel measure on \mathbb{S}^{n-1} .*

(i) *If*

$$\inf_{\zeta \in \mathbb{S}^{n-1}} \int_{\mathbb{S}^{n-1}} |\zeta \cdot \eta| d\mu(\eta) > 0 = \int_{\mathbb{S}^{n-1}} \theta \cdot \eta d\mu(\eta) \quad \forall \theta \in \mathbb{S}^{n-1}, \quad (5.1)$$

then

$$\mathcal{M}_{\text{ncap}} = \inf \left\{ \int_{\mathbb{S}^{n-1}} h_K d\mu : K \in \mathcal{C}^n \ \& \ \text{ncap}(K) \geq 1 \right\} > 0,$$

and hence there is a $K \in \mathcal{C}^n$ with $\text{ncap}(K) \geq 1$ such that

$$\mathcal{M}_{\text{ncap}} = \int_{\mathbb{S}^{n-1}} h_K d\mu.$$

(ii) *Conversely, if $K \in \mathcal{K}^n$ with $\text{ncap}(K) = 1$ is a minimizer for $\mathcal{M}_{\text{ncap}}$, then it satisfies*

$$g_*(|\nabla u_K|^n dS) = \sigma_{n-1} d\mu, \quad (5.2)$$

and hence (5.1) holds.

(iii) *The minimizer in (ii) is unique up to translation.*

Proof. (i) For convenience, let

$$\mathbb{S}^{n-1} \ni \xi \mapsto \mathcal{P}_\mu(\xi) = \int_{\mathbb{S}^{n-1}} \max\{0, \xi \cdot \eta\} d\mu(\eta)$$

be the projection body function. Then (5.1) amounts to

$$0 < \min_{\mathbb{S}^{n-1}} \mathcal{P}_\mu \leq \max_{\mathbb{S}^{n-1}} \mathcal{P}_\mu < \infty \quad \& \quad P_\mu(\xi) = P_\mu(-\xi) \quad \forall \quad \xi \in \mathbb{S}^{n-1}.$$

In order to prove $\mathcal{M}_{\text{ncap}} > 0$, observe that the equation in (5.1) ensures that $\int_{\mathbb{S}^{n-1}} h_K d\mu$ is translation invariant. So, we may assume that the origin is at the midpoint of a diameter of $K \in \mathcal{C}^n$ with $\text{ncap}(K) \geq 1$. Let $2R = \text{diam}(K)$. According to Theorem 2.1, we have:

$$\text{ncap}(K) \geq 1 \Rightarrow 2R \geq b(K) \geq 2\text{ncap}(K) \geq 2.$$

If \mathbf{e} is a unit vector with $\pm R\mathbf{e} \in \partial K$, then $h_K(\xi) \geq R|\mathbf{e} \cdot \xi|$ holds for all $\xi \in \mathbb{S}^{n-1}$, and hence

$$0 < 2 \min_{\mathbb{S}^{n-1}} \mathcal{P}_\mu \leq 2R\mathcal{P}_\mu(\mathbf{e}) \leq \int_{\mathbb{S}^{n-1}} R|\mathbf{e} \cdot \xi| d\mu(\xi) \leq \int_{\mathbb{S}^{n-1}} h_K d\mu.$$

This, along with the definition of $\mathcal{M}_{\text{ncap}}$, yields $\mathcal{M}_{\text{ncap}} > 0$. Furthermore, when $K \in \mathcal{C}^n$ satisfies

$$\text{ncap}(K) \geq 1 \quad \& \quad \int_{\mathbb{S}^{n-1}} h_K d\mu \leq 2\mathcal{M}_{\text{ncap}},$$

we have

$$0 < \text{diam}(K) \min_{\mathbb{S}^{n-1}} \mathcal{P}_\mu = 2R \min_{\mathbb{S}^{n-1}} \mathcal{P}_\mu \leq 2\mathcal{M}_{\text{ncap}}.$$

Now, suppose that $\{K_j\}_{j=1}^\infty$ is a sequence in \mathcal{C}^n which satisfies

$$\mathcal{M}_{\text{ncap}} = \lim_{j \rightarrow \infty} \int_{\mathbb{S}^n} h_{K_j} d\mu \quad \& \quad \text{ncap}(K_j) \geq 1.$$

Then

$$2 \leq 2\text{ncap}(K_j) \leq \text{diam}(K_j) \leq \frac{2\mathcal{M}_{\text{ncap}}}{\min_{\mathbb{S}^{n-1}} \mathcal{P}_\mu} \quad \text{as } j \rightarrow \infty.$$

In accordance with the Blaschke selection principle (see e.g. [48, Theorem 1.8.6]), $\{K_j\}_{j=1}^\infty$ has a subsequence, still denoted by $\{K_j\}_{j=1}^\infty$, that converges to a $K \in \mathcal{C}^n$ with respect to the Hausdorff distance $d_H(\cdot, \cdot)$. Consequently, $h_{K_j} \rightarrow h_K$. Now, if $\text{ncap}(K) < 1$, then from the definition of $\text{ncap}(K)$ it follows that there is an $L \in \mathcal{K}^n$ enjoying

$$K \subset L \quad \& \quad \text{ncap}(L) < 1.$$

But, as j is sufficiently large we have $K_j \subset L$, and consequently by the monotonicity of $\text{ncap}(\cdot)$,

$$1 \leq \text{ncap}(K_j) \leq \text{ncap}(L) < 1,$$

a contradiction. Therefore, one must have $\text{ncap}(K) \geq 1$.

(ii) Suppose that $K \in \mathcal{K}^n$ with $\text{ncap}(K) = 1$ is a minimizer for $\mathcal{M}_{\text{ncap}}$. For $(t, L) \in (0, 1) \times \mathcal{K}^n$ one has $K + tL \in \mathcal{K}^n$ and $h_{K+tL} = h_K + th_L$. Consequently, K is a critical point of the functional

$$\mathcal{D}(K + tL) = \int_{\mathbb{S}^{n-1}} h_{K+tL} d\mu - \text{ncap}(K + tL).$$

This, along with (4.2) in Theorem 4.4 and $\text{ncap}(K) = 1$, gives

$$\begin{aligned} 0 &= \left. \frac{d}{dt} \mathcal{D}(K + tL) \right|_{t=0} \\ &= \int_{\mathbb{S}^{n-1}} h_L d\mu - \sigma_{n-1}^{-1} \int_{\partial K} h_L(g) |\nabla u_K|^n dS \\ &= \int_{\mathbb{S}^{n-1}} h_L d\mu - \sigma_{n-1}^{-1} \int_{\mathbb{S}^{n-1}} h_L g_* (|\nabla u_K|^n dS). \end{aligned}$$

An application of [48, Lemmas 1.7.9 & 1.8.10] implies

$$\sigma_{n-1} \int_{\mathbb{S}^{n-1}} \phi d\mu = \int_{\mathbb{S}^{n-1}} \phi g_* (|\nabla u_K|^n dS) \quad \forall \phi \in C(\mathbb{S}^{n-1})$$

thereby producing (5.2). Accordingly, a combination of both (3.2) and (5.2) derives

$$0 = \int_{\mathbb{S}^{n-1}} \theta \cdot \xi g_* (|\nabla u_K|^n dS)(\xi) = \sigma_{n-1} \int_{\mathbb{S}^{n-1}} \theta \cdot \xi d\mu(\xi) \quad \forall \theta \in \mathbb{S}^{n-1}.$$

Therefore, the equality in (5.1) holds. Meanwhile, an application of (5.2) (for $K \in \mathcal{K}^n$ with $\text{ncap}(K) = 1$) and Theorem 3.2 (with a positive constant c) deduces

$$\begin{aligned} \inf_{\theta \in \mathbb{S}^{n-1}} \int_{\mathbb{S}^{n-1}} |\theta \cdot \eta| d\mu(\eta) &= \sigma_{n-1}^{-1} \inf_{\theta \in \mathbb{S}^{n-1}} \int_{\mathbb{S}^{n-1}} |\theta \cdot \eta| g_* (|\nabla u_K|^n dS)(\eta) \\ &= \sigma_{n-1}^{-1} \inf_{\theta \in \mathbb{S}^{n-1}} \int_{\partial K} |\theta \cdot g(x)| |\nabla u_K(x)|^n dS(x) \\ &\geq c^n \sigma_{n-1}^{-1} \inf_{\theta \in \mathbb{S}^{n-1}} \int_{\partial K} |\theta \cdot g(x)| dS(x) \\ &= c^n \sigma_{n-1}^{-1} \inf_{\theta \in \mathbb{S}^{n-1}} \int_{\mathbb{S}^{n-1}} |\theta \cdot \eta| d\mathcal{H}_{\partial K}^{n-1}(\eta) \\ &> 0. \end{aligned}$$

Thus, the inequality in (5.1) is true.

(iii) Our argument for the uniqueness is inspired by [8, Section 5]. Now, assume that $K_0, K_1 \in \mathcal{K}^n$ are two minimizers of $\mathcal{M}_{\text{ncap}}$ in (ii). Then

$$\begin{cases} g_* (|\nabla u_{K_0}|^n dS) = g_* (|\nabla u_{K_1}|^n dS); \\ \text{ncap}(K_0) = 1 = \text{ncap}(K_1). \end{cases}$$

If

$$\psi(t) = \text{ncap}((1-t)K_0 + tK_1),$$

then Theorems 4.4 & 3.1 yield

$$\begin{aligned}
 \psi'(0) &= \frac{\text{ncap}(K_0)}{\sigma_{n-1}} \int_{\partial K_0} (h_{K_1}(g) - h_{K_0}(g)) |\nabla u_{K_0}|^n dS \\
 &= \sigma_{n-1}^{-1} \left(\int_{\partial K_0} h_{K_1}(g) |\nabla u_{K_0}|^n dS - \sigma_{n-1} \right) \\
 &= \sigma_{n-1}^{-1} \left(\int_{\mathbb{S}^{n-1}} h_{K_1} g_* (|\nabla u_{K_0}|^n dS) - \sigma_{n-1} \right) \\
 &= \sigma_{n-1}^{-1} \left(\int_{\mathbb{S}^{n-1}} h_{K_1} g_* (|\nabla u_{K_1}|^n dS) - \sigma_{n-1} \right) \\
 &= \sigma_{n-1}^{-1} (\sigma_{n-1} - \sigma_{n-1}) \\
 &= 0.
 \end{aligned}$$

Note that $t \mapsto \psi(t)$ is concave on $[0, 1]$. So this function is constant, in particular, we have

$$\text{ncap}(K_1) = \psi(1) = \psi(t) = \psi(0) = \text{ncap}(K_0). \tag{5.3}$$

Since the equality of (4.1) holds, K_1 is a translate and a dilate of K_0 . But (5.3) is valid, so K_1 is only a translate of K_0 thanks to the uniqueness of the Brunn-Minkowski inequality for $\text{ncap}(\cdot)$ over \mathcal{K}^n proved in [11]. \square

6. Yau’s problem for log-capacity.

6.1. Prescribing mean curvature. On [56, p. 683], Yau posed the following problem:

“Let h be a real-valued function on \mathbb{R}^3 . Find (reasonable) conditions on h to insure that one can find a closed surface with prescribed genus in \mathbb{R}^3 whose mean curvature (or curvature) is given by h . F. Almgren made the following comments: For “suitable” h one can obtain a compact smooth submanifold ∂A in \mathbb{R}^3 having mean curvature h by maximizing over bounded open sets $A \subset \mathbb{R}^3$ the quantity

$$F(A) = \int_A h d\mathcal{L}^3 - \text{Area}(\partial A).$$

A function h would be suitable, for example, in case it were continuous, bounded, and \mathcal{L}^3 summable, and $\sup F > 0$. However, the relation between h and the genus of the resulting extreme ∂A is not clear.”

Although not yet completely solved, this problem for mean curvature or Gaussian curvature has a solution at least for the closed surface of genus zero, see [50, 5, 25] or [51, 52]. The following, essentially contained in [55, Corollary 1.2], may be regarded as a resolution of Yau’s problem in a special form - if $I \in L^1(\mathbb{R}^n)$ is positive and continuous, k is nonnegative integer, $\alpha \in (0, 1)$, and

$$\mathcal{I}(K) = S(K) - \int_K I dV,$$

then:

- There is $K_0 \in \mathcal{C}^n$ such that $\mathcal{I}(K_0) = \inf_{K \in \mathcal{C}^n} \mathcal{I}(K) \leq 0$ if and only if there is $L_0 \in \mathcal{C}^n$ such that $\mathcal{I}(L_0) \leq 0$.

- Suppose that $K \in \mathcal{K}^n$ is a minimizer for $\mathcal{I}(\cdot)$. Then there exists a measure μ_K on \mathbb{S}^{n-1} such that the weak mean curvature equation $d\mu_K = g_*(I|_{\partial K} dS)$. Moreover, if K is of class $C^{2,+}$ then the mean curvature $H(K, x)$ (i.e., the arithmetic mean of $n-1$ principal curvatures at $x \in \partial K$) equals $(n-1)^{-1}I(x)$.
- If I is of $C^{k,\alpha}(\mathbb{R}^n)$ and $K \in \mathcal{K}^n$, being of class $C^{2,+}$, is a minimizer for $\mathcal{I}(\cdot)$, then K is of $C^{k+2,\alpha}$.

6.2. Prescribing log-capacitary curvature. Thanks to the relationship between the mean-width and the log-capacity explored in Section 2, as well as the discussion on the Minkowski-type problem above, it seems interesting to consider the log-capacity analogue of Yau’s problem. More precisely, using the log-capacity in place of the surface area we study the functional

$$\mathcal{J}(K) = \text{ncap}(K) - \int_K J dV,$$

thereby obtaining the following result.

THEOREM 6.1. *Let J be positive and continuous function on \mathbb{R}^n with*

$$\|J\|_{L^1(\mathbb{R}^n)} = \int_{\mathbb{R}^n} J dV < \infty,$$

$(k+1, \alpha, \beta) \in \mathbb{N} \times (0, 1) \times (0, \infty)$, and \mathcal{K}_β^n comprise all $K \in \mathcal{K}^n$ whose inradii $r_{in}(K)$ are not less than β .

- (i) *There exists $K_0 \in \mathcal{K}_\beta^n$ such that $\mathcal{J}(K_0) = \inf_{K \in \mathcal{K}_\beta^n} \mathcal{J}(K)$. Moreover, $\inf_{K \in \mathcal{K}_\beta^n} \mathcal{J}(K) \leq 0$ if and only if there exists $L_0 \in \mathcal{K}_\beta^n$ such that $\mathcal{J}(L_0) \leq 0$.*
- (ii) *Suppose that $K \in \mathcal{K}_\beta^n$ is a minimizer for $\mathcal{J}(\cdot)$. Then such a K satisfies the weak log-capacitary curvature equation*

$$\text{ncap}(K)\sigma_{n-1}^{-1}g_*(|\nabla u_K|^n dS) = g_*(J|_{\partial K} dS). \tag{6.1}$$

Moreover, if K is of class $C^{2,+}$, then we have the log-capacitary curvature equation

$$\text{ncap}(K)\sigma_{n-1}^{-1}|\nabla u_K(x)|^n = J(x) \quad \forall x \in \partial K. \tag{6.2}$$

- (iii) *If J is of $C^{k,\alpha}(\mathbb{R}^n)$ and $K \in \mathcal{K}_\beta^n$, being of class $C^{2,+}$, is a minimizer for $\mathcal{J}(\cdot)$, then K is of $C^{k+1,\alpha}$.*

Proof. (i) Since

$$\mathcal{J}(K) \geq \text{ncap}(K) - \|J\|_{L^1(\mathbb{R}^n)} \geq -\|J\|_{L^1(\mathbb{R}^n)} \quad \forall K \in \mathcal{K}_\beta^n,$$

it follows that $\inf_{K \in \mathcal{K}_\beta^n} \mathcal{J}(K)$ is finite. Consequently, there is a sequence $\{K_j\}$ from \mathcal{K}_β^n such that

$$\lim_{j \rightarrow \infty} \mathcal{J}(K_j) = \inf_{K \in \mathcal{K}_\beta^n} \mathcal{J}(K).$$

Using the linear structure of $\text{ncap}(\cdot)$, Theorem 2.1 and $r_{K_j} \geq \beta > 0$, we get

$$0 < 2\beta \leq 2r_{K_j} = 2\text{ncap}(r_{K_j}\mathbb{B}^n) \leq 2\text{ncap}(K_j) \leq b(K_j) \leq \text{diam}(K_j). \tag{6.3}$$

An application of (2.2) implies

$$\mathcal{J}(K_j) \geq \text{ncap}(K_j) - \|J\|_{L^1(\mathbb{R}^n)} \geq \left(\frac{V(K_j)}{\omega_n}\right)^{\frac{1}{n}} - \|J\|_{L^1(\mathbb{R}^n)}.$$

So, if $\{\text{diam}(K_j)\}$ is unbounded, then (6.3) is used to ensure that $\{V(K_j)\}$ is unbounded, and hence $\{\mathcal{J}(K_j)\}$ has a subsequence $\{\mathcal{J}(K_{j_k})\}$ which tends to ∞ as $k \rightarrow \infty$. But, $\lim_{k \rightarrow \infty} \mathcal{J}(K_{j_k})$ exists as a finite value. Therefore, $\{\text{diam}(K_j)\}$ has a uniform upper bound. Now, taking into account of the above-mentioned Blaschke selection principle, we can get a subsequence of $\{K_j\}$ which is convergent to an element $K_0 \in \mathcal{K}_\beta^n$ due to $K_j \in \mathcal{K}_\beta^n$. Note that $\mathcal{J}(\cdot)$ is continuous. Thus, K_0 is a minimizer of $\mathcal{J}(\cdot)$ over \mathcal{K}_β^n , i.e., $\mathcal{J}(K_0) = \inf_{K \in \mathcal{K}_\beta^n} \mathcal{J}(K)$, as desired.

Furthermore, if $\inf_{K \in \mathcal{K}_\beta^n} \mathcal{J}(K) \leq 0$, then the previously-found minimizer $K_0 \in \mathcal{K}_\beta^n$ satisfies $\mathcal{J}(K_0) \leq 0$. Conversely, if there is $L_0 \in \mathcal{K}_\beta^n$ such that $\mathcal{J}(L_0) \leq 0$, then $\inf_{K \in \mathcal{K}_\beta^n} \mathcal{J}(K) \leq \mathcal{J}(L_0) \leq 0$.

(ii) For $K \in \mathcal{K}^n$, $t > 0$ and $\phi \in C(\mathbb{S}^{n-1})$ let

$$K_t = \{x \in \mathbb{R}^n : x \cdot \theta \leq h_K(\theta) + t\phi(\theta) \quad \forall \quad \theta \in \mathbb{S}^{n-1}\}.$$

Then $K_t \in \mathcal{K}^n$ and $h_{K_t} = h_K + t\phi$. Using Theorem 4.4 (plus the ideas presented in [28, Sections 3-4]) as well as Tso’s variation formula [52, (4)], we produce

$$\left.\frac{d}{dt}\mathcal{J}(K_t)\right|_{t=0} = \left(\frac{\text{ncap}(K)}{\sigma_{n-1}}\right) \int_{\partial K} \phi(g)|\nabla u_K|^n dS - \int_{\partial K} \phi(g)J dS. \tag{6.4}$$

Obviously, if K is a minimizer of $\mathcal{J}(\cdot)$, then it is a critical point of $\mathcal{J}(K_t)$ and hence $\left.\frac{d}{dt}\mathcal{J}(K_t)\right|_{t=0} = 0$. This last equation, along with (6.4), gives

$$\begin{aligned} \left(\frac{\text{ncap}(K)}{\sigma_{n-1}}\right) \int_{\mathbb{S}^{n-1}} \phi g_* (|\nabla u_K|^n dS) &= \left(\frac{\text{ncap}(K)}{\sigma_{n-1}}\right) \int_{\partial K} \phi(g)|\nabla u_K|^n dS \\ &= \int_{\partial K} \phi(g)J dS \\ &= \int_{\mathbb{S}^{n-1}} \phi g_* (J dS). \end{aligned}$$

Owing to the fact that $\phi \in C(\mathbb{S}^{n-1})$ is arbitrary, we arrive at (6.1). Furthermore, if K is of class $C^{2,+}$, then $g : \partial K \rightarrow \mathbb{S}^{n-1}$ is a diffeomorphism (cf. [14, 22]), and hence

$$\left(\frac{\text{ncap}(K)}{\sigma_{n-1}}\right) |\nabla u_K(x)|^n = J(x) \quad \forall \quad x \in \partial K$$

validates (6.2).

(iii) Suppose $J \in C^{k,\alpha}(\mathbb{R}^n)$ with k being a nonnegative integer. Since K is of class $C^{2,+}$, an application of [37, Theorem 1] and [40, Theorem 4.1] (cf. [20, 16, 49, 53, 21]) yields that $u_K \in C^{1,\hat{\alpha}}(\partial K)$ holds for some $\hat{\alpha} \in (0,1)$, and more importantly, the Gauss map from ∂K to \mathbb{S}^{n-1} is a diffeomorphism. Therefore, (6.2) is true. Using (6.2) and $J \in C^{k,\alpha}(\mathbb{R}^n)$ with $\alpha \in (0,1)$, we obtain that $|\nabla u_K|$ belongs to $C^{k,\alpha}(\partial K)$. Note again that K is of class $C^{2,+}$. So, it follows that K is of $C^{k+1,\alpha}$ from the fact that $|\nabla u_K|_{\partial K}$ is bounded above and below by two positive constants (cf. (6.2) and Theorem 3.2). \square

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