WEAK HIRONAKA THEOREM

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1. Introduction

The existence of a smooth projective model for any proper algebraic variety over an algebraically closed field of characteristic zero is one of the most important results in algebraic geometry.

H.Hironaka [Hi] proved a very strong version of the above statement. For any such variety he established the existence of a sequence of blow ups which resolve the singularities of the variety. Moreover at every step the blow ups occur along smooth subvarieties of the singular locus. In particular the process does not modify the nonsingular part of the initial variety. This result proved to be even more useful than the existence of a smooth model, but its proof was rather complicated. Later on, E. Bierstone and P. Milman [B-M] and M. Spivakovsky [S] found different versions of the resolution process that are considerably simpler and canonical but somehow in principle their proofs follow the same track.

In this note we want to give a proof of the existence of a smooth projective model for any variety in characteristic zero using an idea similar to A.J. de Jong's approach in [dJ]. We present a straightforward way to obtain a nonsingular model which however does not permit any control over the intermediate steps.

The main result of this note is the following theorem

Theorem A. Let X be a normal projective variety over an algebraically closed field k, char k=0 and let $D \subset X$ be a proper subvariety of X. Then there exist a smooth projective variety M, a strict normal crossings divisor $R \subset M$ and a birational morphism $f: M \to X$ with $f^{-1}D = R$

Our proof uses induction on the dimension of X. It is organized as follows. In section 2 we construct a finite morphism $(\widehat{X}, \widehat{D}) \to (P, S_0)$ from a suitable blow up $(\widehat{X}, \widehat{D})$ of the pair (X, D) to a pair (P, S_0) where P is a compactification of the total space of a line bundle over \mathbb{P}^{n-1} , S_0 is the image of a section of this line bundle and $\widehat{X} \to P$ is branched over a divisor $B = \sum S_i$ which is a sum of distinct sections of $P \to \mathbb{P}^{n-1}$. After this is

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achieved we proceed in section 3 by applying the induction hypothesis to the pair (\mathbb{P}^{n-1},Z) . Here $Z\subset \mathbb{P}^{n-1}$ is the discriminant locus of the set of sections $\{S_i\}$, i.e. Z is the unique closed reduced subscheme in \mathbb{P}^{n-1} whose preimage in P contains all possible intersections of the S_i 's. By induction we can find a birational morphism $\widetilde{\mathbb{P}}^{n-1}\to \mathbb{P}^{n-1}$ which transforms Z into a strict normal crossings divisor \widetilde{Z} . Put \widetilde{P} for the variety obtained from P via a base change with $\widetilde{\mathbb{P}}^{n-1}\to \mathbb{P}^{n-1}$. Then $(\widetilde{P}\to \widetilde{\mathbb{P}}^{n-1},\{S_i\})$ is a family of pointed \mathbb{P}^1 's and an application of F. Knudsen's stabilization theorem yields a smooth variety Q equipped with a contraction map onto \widetilde{P} and such that the complete preimage of B in Q is a normal crossings divisor. Now the fiber product of Q and \widehat{X} has only abelian quotient singularities which can be resolved by a toroidal blow-up. The resulting pair (M,R) will be the resolution of (X,D).

Remark 1.1. Recently A.J. de Jong [dJ] invented a remarkable new approach which allowed him to resolve in any characteristic at least some variety which dominates the initial variety by a finite map. The idea to fiber X by curves and use induction on the dimension came from de Jong's insight in [dJ]. The important reduction in section 2 imitates the first part of the proof of the famous theorem of Belyi [B].

Remark 1.2. The fact that $\operatorname{char} k = 0$ is used only at last step of the argument in section 3 since in the case of a positive characteristic it is hard to control the unramified coverings of the torus.

Remark 1.3. A different proof of the same theorem was found independently by D. Abramovich and A.J. de Jong [A-dJ]. It uses similar ingredients but is quite different in detail. In particular their proof has the advantage of being better adapted to handling equivariant resolutions.

2. The map to a \mathbb{P}^1 bundle

Let X be an irreducible variety of dimension n over k and let $D \subset X$ be a closed subvariety. The aim of this section is to prove the following theorem.

Theorem 2.1. There exists a finite morphism $(\widehat{X}, \widehat{D}) \to (P, S_0)$ from a suitable blow up $(\widehat{X}, \widehat{D})$ of the pair (X, D) to a pair (P, S_0) of varieties satisfying:

- (i) $P = \mathbb{P}(\mathcal{O} \oplus L)$ for some line bundle $L \to \mathbb{P}^{n-1}$.
- (ii) $S_0 \subset P$ is the image of a section of L.
- (iii) The finite morphism $\widehat{X} \to P$ is branched over a divisor B of the form

$$B = \sum_{i=1}^{k} S_i + S_{k+1},$$

where the S_i 's, i = 1, ..., k are images of distinct sections of L and $S_{k+1} := \mathbb{P}_{\infty}$ is the infinity section of $P \to \mathbb{P}_{n-1}$.

Proof. Passing to a blow up of (X, D) if necessary we may assume without loss of generality that X is normal and that $D = \sum D_i$ with D_i reduced and irreducible divisors. We start with the following relative version of the Noether normalization lemma.

Lemma 2.2. There exists a finite morphism $f: X \to \mathbb{P}^n$ which maps \widetilde{D} onto a hyperplane \mathbb{P}^{n-1} of \mathbb{P}^n .

Proof. In order to find such a map it is enough to consider the embedding of X into projective space via a very ample line bundle which has a section vanishing on every D_i . This can be easily achieved by taking a sufficiently big line bundle. Let $X \subset \mathbb{P}^m$ be such an embedding and let $H \subset \mathbb{P}^m$ be the hyperplane containing all D_i . A straightforward dimension count shows that the generic subspace $\mathbb{P}^{N-n-1} \subset H$ does not intersect $X \cap H$ and therefore we can take for f the projection of \mathbb{P}^m centered at such a subspace. \square

Lemma 2.3. The map f can be chosen so that there exists a point $o \in \mathbb{P}^n$ satisfying the following properties:

- (a) the preimage of o consists of a finite number of smooth points x_i with df_{x_i} being an isomorphism for every x_i .
- (b) the preimage of every line in \mathbb{P}^n containing o is a connected and generically reduced curve.
- (c) the preimage of the generic line trough o is irreducible.

Proof. The subset of points satisfying (a) is open in \mathbb{P}^n due to the generic smoothness lemma. In order to satisfy (b) it suffices to chose the projective embedding from lemma 2.2 in such a way that all non-reduced curves in X obtained as hyperplane sections constitute a subvariety of big codimension (greater than n-1) in the corresponding Grassmanian. In other words we want to choose the embedding $X \subset \mathbb{P}^m$ so that there exists a projective subspace $V \subset \mathbb{P}^m$ of dimension m-n with the property

(*): For every projective subspace $V \subset M \subset \mathbb{P}^m$ of dimension m-n+1 the intersection $M \cap X$ is a generically reduced connected curve

Due to the connectedness theorem [Ha, III Corollary 7.9], [F-H] the preimage in X of every line trough o will be connected. Let Y be the union of the singular locus of X the divisor D and the ramification divisor of f. Furthermore Bertini's theorem implies that the part of a general hyperplane curve contained in $X \setminus Y$ will be reduced and irreducible and therefore it

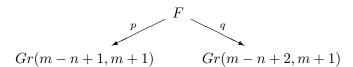
suffices to show that for a suitable embedding of X there exists a m-n dimensional projective subspace $V \subset \mathbb{P}^m$ satisfying

(**): For every projective subspace $V \subset M \subset \mathbb{P}^m$ of dimension m-n+1 the intersection $M \cap Y$ is of dimension zero.

Consider the dimension jump locus

$$\Gamma = \{ M \mid \dim M = m - n + 1, \dim(M \cap Y) \ge 1 \} \subset Gr(m - n + 2, m + 1),$$

and let $\widetilde{\Gamma} = \{(M,y)|y \in M\} \subset \Gamma \times Y$ be the corresponding incidence variety. The projection $\pi_Y : \widetilde{\Gamma} \to Y$ surjects on Y and its fiber over a general point $y \in Y$ consists of all $M \subset \mathbb{P}^m$ of dimension m-n+1 such that $y \in M$ and $\dim(M \cap Y) \geq 1$. In particular $\dim \widetilde{\Gamma} \leq 2n-3$ and $\dim \Gamma \leq 2n-4$. Furthermore the flag variety F parametrizing flags $V \subset M \subset \mathbb{P}^m$ as above has the usual double fibration structure



where the fibers of p are projective spaces of dimension n-1 and the fibers of q are projective spaces of dimension m-n. The locus in Gr(m-n+1,m+1) consisting of all V's that do not satisfy (**) is contained in $q(p^{-1}(\Gamma))$ and hence has codimension that is $\geq (m-n+1)n-(m-n+2n-4)=m(n+1)-n^2+4$. Thus composing our original embedding $X \subset \mathbb{P}^m$ with a Veronese embedding of sufficiently high degree we can make this codimension strictly positive which proves the lemma. \square

Denote by P_1 the blow up of \mathbb{P}^n at o and by \widehat{X} the blow up of X at the points x_i . Since the blow up at $o \in \mathbb{P}^n$ resolves the projection centered at o we get a realization of P_1 as a \mathbb{P}^1 bundle over \mathbb{P}^{n-1} . There is a canonical isomorphism $P_1 \cong \mathbb{P}(\mathcal{O}_{\mathbb{P}^{n-1}} \oplus \mathcal{O}_{\mathbb{P}^{n-1}}(1))$ which gives a natural tautological line bundle $\mathcal{O}_{P_1}(1) \to P_1$ and the preimage \mathbb{P}_{∞} of o in P_1 is a section of $\mathcal{O}_{P_1}(1)$. Alternatively, \mathbb{P}_{∞} is the divisor in $\mathbb{P}(\mathcal{O}_{\mathbb{P}^{n-1}} \oplus \mathcal{O}_{\mathbb{P}^{n-1}}(1))$ corresponding to the line subbundle $\mathcal{O}_{\mathbb{P}^{n-1}} \subset \mathcal{O}_{\mathbb{P}^{n-1}} \oplus \mathcal{O}_{\mathbb{P}^{n-1}}(1)$ and P_1 can be thought of as a compactification of the total space of $\mathcal{O}_{\mathbb{P}^{n-1}}(1)$ with the divisor \mathbb{P}_{∞} at infinity.

By construction \widehat{X} is fibered by connected and generically reduced curves $C_t, t \in \mathbb{P}^{n-1}$ and maps fiberwise via f to the \mathbb{P}^1 -fibration P_1 . Due to the smoothness of P_1 the Zariski-Nagata purity theorem [SGA 1, X 3.1] implies that the branch locus B_1 of the finite map $f: \widehat{X} \to P_1$ is a divisor. Moreover, since C_t is generically reduced for every t we conclude that B_1 is a horizontal divisor in $\pi: P_1 \to \mathbb{P}^{n-1}$, i.e. every component of B_1 is

mapped finitelyonto \mathbb{P}^{n-1} by π . Denote by N the degree of B_1 on the fibers of π . Now we can apply Belyi's construction to simplify the branch divisor.

We will need the following technical result:

Lemma 2.4. Let L be a line bundle on a variety M and B be a horizontal divisor in the total space tot(L) of L, which maps properly on M under a natural projection π : $tot(L) \to M$. Denote by d the degree of the finite map $\pi: B \to M$. There exists a canonical fiberwise morphism $p_B: tot(L) \to tot(L^{\otimes d})$ which is polynomial of degree d on any fiber $L_x, x \in M$ and such that B is the preimage of the zero section of $L^{\otimes d}$ under p_B . The map p_B is defined uniquely modulo multiplication by invertible functions on M.

Let $\varphi \in H^0(P_L, \mathcal{O}_{P_L}(d) \otimes \pi^* L^{\otimes d})$ be a section with divisor B. Applying the projection formula to the pushforward by π we get isomorphisms

$$H^{0}(P_{L}, \mathcal{O}_{P_{L}}(d) \otimes \pi^{*}L^{\otimes d}) = H^{0}(M, (\pi_{*}\mathcal{O}_{P_{L}}(d)) \otimes L^{\otimes d}) =$$

$$H^{0}(M, S^{d}(\mathcal{O}_{M} \oplus L^{-1}) \otimes L^{\otimes d}) =$$

$$H^{0}(M, \mathcal{O}_{M}) \oplus H^{0}(M, L) \oplus \ldots \oplus H^{0}(M, L^{\otimes d}).$$

The image of φ under this isomorphism can be decomposed as $\varphi = (\varphi_0, \dots, \varphi_d)$ with $\varphi_i \in H^0(M, L^{\otimes i})$ and hence

(2.1)
$$\varphi = (\pi^* \varphi_0) x^d + (\pi^* \varphi_1) x^{d-1} y + \ldots + (\pi^* \varphi_d) y^d,$$

and

(2.2)
$$\varphi_{| \text{tot}(L)} = (\pi^* \varphi_0) \lambda^d + (\pi^* \varphi_1) \lambda^{d-1} + \dots + (\pi^* \varphi_d).$$

Now the map

$$p_B: \cot(L) \longrightarrow \cot(L^{\otimes d})$$

$$\eta \longrightarrow (\pi^* \varphi_0) \eta^d + (\pi^* \varphi_1) \eta^{d-1} + \ldots + (\pi^* \varphi_d)$$

has the desired properties. \Box

Corollary 2.5. The above map extends to a proper map of the compactifications $p_B: P_L \to P_{L^{\otimes d}}$. The preimage of infinite section $M_{\infty,L^{\otimes d}}$ is a d-multiple of $M_{\infty,L}$

Proof. The extension of p_B is given by the right hand side of (2.1). \square

Corollary 2.6. The map $p_B : tot(L) \to tot(L^{\otimes d})$ is branched over a divisor $B' \subset tot(L^{\otimes d})$ which is horizontal and of degree d-1 along the fibers.

Proof. From the definition of p_B it is clear that the divisor B' is just the image under p_B of the divisor of the section $p'_B(\lambda) := d(\pi^*\varphi_0)\lambda^{d-1} + (d-1)(\pi^*\varphi_1)\lambda^{d-2} + \ldots + (\pi^*\varphi_{d-1}) \in H^0(\text{tot}(L), \pi^*L^{\otimes (d-1)})$. \square Remark 2.7. Corollary 2.6 is a complete analogue of the crucial observation of Belyi in [B].

Now we can finish the proof of theorem 2.1. Indeed let $P_2 = \mathbb{P}(\mathcal{O}_{\mathbb{P}^{n-1}} \oplus \mathcal{O}_{\mathbb{P}^{n-1}}(N))$ and let $p_{B_1}: P_1 \to P_2$ be as in corollary 2.5. Then according to corollary 2.6 the branch divisor of $p_{B_1} \circ f$ is the union of the zero section of $\mathcal{O}_{\mathbb{P}^{n-1}}(N)$ and a horizontal divisor B_2 of degree N-1 along the fibers. Now we can compose with $p_{B_2} + s$ where s is a generic section of $\mathcal{O}_{\mathbb{P}^{n-1}}(N(N-1))$ and continue until we get a map to a \mathbb{P}^1 bundle over \mathbb{P}^{n-1} branched over a union of distinct sections. \square

3. The induction step

In this section we use the induction hypothesis to modify birationally the pair $(P, B \cup S_0)$ obtained in Theorem 2.1 so that $B \cup S_0$ becomes a divisor with strict normal crossings. First we need the following proposition.

Proposition 3.1. There exists a diagram

$$Q \xrightarrow{\varepsilon} \widetilde{P} \xrightarrow{p} P$$

$$\widetilde{\mathbb{P}}^{n-1} \star \mathbb{P}^{n-1}$$

$$\star \qquad \star$$

$$\widetilde{Z} \longrightarrow Z$$

where

- (i) $\widetilde{\mathbb{P}}^{n-1} \to \mathbb{P}^{n-1}$ is a birational morphism. $\widetilde{P} = \widetilde{\mathbb{P}}^{n-1} \times_{\mathbb{P}^{n-1}} P$ and $\widetilde{Z} = \widetilde{\mathbb{P}}^{n-1} \times_{\mathbb{P}^{n-1}} Z$;
- (ii) π is a flat and proper morphism whose geometric fibers are reduced and connected curves with at most ordinary double points. Furthermore $\varepsilon: Q \setminus \pi^{-1}(\widetilde{Z}) \to \widetilde{P} \setminus \widetilde{p}^{-1}(\widetilde{Z})$ is an isomorphism;
- (iii) ε is a birational morphism which restricted on a geometric fiber Q_x of π falls under one of the following possibilities:

- a) $\varepsilon_x: Q_x \to \widetilde{P}_x$ is an isomorphism;
- b) There is a (possibly disconnected) reduced rational curve $E \subset Q_x$ having at most ordinary double points so that $\varepsilon_x(E) = \Sigma$ is a finite set of closed points in \widetilde{P}_x and

$$\varepsilon_x: Q_x \setminus E \to \widetilde{P}_x \setminus \Sigma$$

is an isomorphism;

- (iii) \widetilde{Z} and the preimage of $B \cup S_0$ in Q are strict normal crossings divisors;
- (iv) Q is non-singular.

Proof. Denote by $Z \subset \mathbb{P}^{n-1}$ the discriminant locus of the set of sections $S_0, S_1, \ldots, S_{k+1}$. That is Z is the smallest closed reduced subscheme in \mathbb{P}^{n-1} whose preimage in P contains all possible intersections of the S_i 's. By induction there exists a birational morphism $\mu: \widetilde{\mathbb{P}}^{n-1} \to \mathbb{P}^{n-1}$ such that the reduced preimage \widetilde{Z} of Z is a divisor with strict normal crossings. Denote by \widetilde{P} the fiber product of P with $\widetilde{\mathbb{P}}^{n-1}$. Clearly $\widetilde{P} = \mathbb{P}(\mu^*L \oplus \mathcal{O})$. Denote by $\widetilde{s}_i, i = 0, \ldots, k+1$ the sections of the projective bundle $\widetilde{P} : \widetilde{P} \to \widetilde{\mathbb{P}}^{n-1}$ whose images are the divisors $\widetilde{S}_i := \mu^* S_i, i = 0, \ldots, k+1$.

The image \widetilde{S}_{k+1} of the infinity section of \widetilde{p} does not intersect any of the divisors \widetilde{S}_i , $i=0,\ldots,k$ and thus will not cause any trouble. To deal with the bad intersections of the remaining k+1 sections we appeal to F. Knudsen's stabilization theorem [K, Theorem 2.4]. According to this theorem given a flat family $C \to S$ of connected reduced curves with at most ordinary double points and a distinct sections $\{s_i: S \to C\}_{i=1}^a$ plus an arbitrary extra section $\Delta: S \to C$ there exist a canonical blow-up $q: C' \to C$ and unique liftings of the sections s_1, \ldots, s_a and Δ to sections $s_1, \ldots, s_a, s_{a+1}'$ of $C' \to S$ so that for the induced morphism $q_s: C_s' \to C_s$ on any geometric fiber C_s' of $C' \to S$ we have one of the following two cases

- a) $q_s: C'_s \to C_s$ is an isomorphism;
- b) There is a rational component $E \subset C'_s$ such that $s_{a+1}(s) \in E$, $q_s(E) = x$ is a closed point of C_s and

$$q_s: C'_s \setminus E \longrightarrow C_s \setminus \{x\}$$

is an isomorphism.

In particular, $C' \to S$ is again a flat family of reduced curves with at most ordinary double points. Explicitly $q: C' \to C$ is given as follows. Denote by \mathcal{J} the \mathcal{O}_C ideal defining Δ and by \mathcal{C} the cokernel of the diagonal embedding

$$\mathcal{O}_C \longrightarrow \mathcal{J}^{\vee} \oplus \mathcal{O}_C(s_1 + \ldots + s_a).$$

Then

$$C' := \operatorname{Proj}(\bigoplus_{i>0} C^i),$$

and $q: C' \to C$ is the natural structure morphism.

The total space of C' can be made smooth by a sequence of blow-ups with smooth centers as long as C and S are smooth and the morphism $C \to S$ is smooth in the complement of a divisor with strict normal crossings. This can be seen directly by analyzing the local picture around the separated sections but instead of doing that we prefer to invoke a much stronger general result of A.J. de Jong. The [dJ, Proposition 5.6] guarantees that the total space of any split flat family of semistable curves over a smooth base which is smooth over the complement of a strict normal crossings divisor can be blown-up so that the resulting family is of the same type and has a smooth total space. Thus the only thing that requires checking is that the family C' is split but this is clear since we can label the components of every fiber of C' by their distance from the proper transform of the fiber in C.

Remark 3.2. The stabilization theorem is stated in [K] for families of stable curves since Knudsen is interested in obtaining a morphism between the universal curve over the stack of n-pointed curves and the stack of n+1 pointed curves. However the proof he gives in [K] is carefully designed to work for general flat families of reduced nodal curves. In particular, the stability assumption is never used in his argument.

Applying the stabilization theorem to each of the sections $\widetilde{S}_1, \ldots \widetilde{S}_k$ (or rather to the corresponding proper transforms) in turn, we obtain a variety Q together with a birational morphism $\varepsilon: Q \to \widetilde{P}$ and k+2 distinct sections $t_i: \widetilde{\mathbb{P}}^{n-1} \to Q, \ i=0,\ldots,k+1$ lifting the sections \widetilde{s}_i . Since at every step we are blowing-up only ideal sheaves whose support is contained in the preimage of \widetilde{Z} the construction of Q guarantees the validity of items (ii) and (iii) of the statement of the proposition. Furthermore due to part b) of the stabilization theorem the images of the sections t_i intersect every fiber of π at a smooth point. Thus the preimage of $B \cup S_0$ in Q is the same as the union of the images of the t_i 's and $\pi^{-1}(\widetilde{Z})$. Since π is flat and has singular fibers that are trees of rational curves we conclude that $\pi^{-1}(\widetilde{Z})$ is also a divisor with strict normal crossings which finishes the proof of the proposition. \square

To finish the proof of Theorem A consider the normalization Y of the fiber product $X \times_P Q$. Denote by Y° the preimage of $Q \setminus \{B \cup S_0\}$ in Y. The normal variety Y is a finite cover of the smooth variety Q branched along a divisor with strict normal crossings. Since we are in characteristic zero this implies that Y has only abelian quotient singularities and is toroidal without self-intersections, i.e. locally in the étale topology (or formally) the

embedding $Y^{\circ} \subset Y$ is isomorphic to the embedding of an affine algebraic torus U in its toric variety \overline{U} so that every component of the complement $\overline{U} \setminus U$ is normal. Finally we invoke the fundamental theorem [KKMS, Ch. II, §2, Theorem 11*] about toric resolutions according to which there exists a canonical sheaf of ideals on $I \subset \mathcal{O}_Y$ such that the blow-up $Bl_I(Y)$ is non-singular.

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