THE p-ADIC LEFSCHETZ (1,1) THEOREM IN THE SEMISTABLE CASE, AND THE PICARD NUMBER JUMPING LOCUS

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ABSTRACT. We prove a semistable version of the so-called p-adic Lefschetz (1,1) theorem. As an application, we show a generalization of the Maulik-Poonen result on Picard number jumping locus.

0. Introduction.

Let K be a complete discrete valuation field of mixed characteristic (0,p) whose residue field k is perfect. Let X be a proper semistable model over $S := \operatorname{Spec} O_K$, X_K be its generic fiber $X \otimes_{O_K} K$, and Y be its special fiber $X \otimes_{O_K} k$. For an element $[L] \in \operatorname{Pic}^{\log}(Y) := H^1(Y, (\mathcal{O}_Y^{\times})^{\log}) := H^1(Y, M_Y^{\operatorname{gp}})$ or $[L] \in \operatorname{Pic}(Y)$, we have a log-crystalline first Chern class

$$c_{\text{crys}}([L]) \in H^2_{\text{crys}}((Y, M_Y)/(W, N^0)),$$

where W is the ring of Witt vectors with coefficient k, and M_Y and N^0 are log-structures on Y and Spec W respectively (the precise meaning of notations will be explained later). We also have Hyodo-Kato isomorphism ([HK])

$$\rho_{\pi}: H^m_{\operatorname{crys}}((Y, M_Y)/(W, N^0)) \otimes_W K \cong H^m_{\operatorname{dR}}(X_K/K).$$

This isomorphism depends on the choice of a uniformizer $\pi \in K$. However, we can show that $\rho_{\pi}(c_{\text{crys}}([L]))$ is independent of the choice of π (Corollary 2.3). In this paper, we first show the following generalization of the Berthelot-Ogus theorem ([BO, Theorem (3.8)]):

Theorem 0.1. (= Theorem 3.1) The element $[L] \in \operatorname{Pic}^{\log}(Y)_{\mathbb{Q}}$ (resp. $[L] \in \operatorname{Pic}(Y)_{\mathbb{Q}}$) lifts to $\operatorname{Pic}^{\log}(X)_{\mathbb{Q}}$ (resp. $\operatorname{Pic}(X)_{\mathbb{Q}}$), if and only if

$$\rho_{\pi}(c_{\operatorname{crvs}}([L])) \in H^2_{\operatorname{dR}}(X_K/K)$$

is in $F^1H^2_{\mathrm{dR}}(X_K/K)$.

Next, by using this theorem, we deduce a generalization of the Maulik-Poonen result ([MP]) (see Section 4 for precise meaning of the notations):

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Theorem 0.2. (= Theorem 4.3) Let C be the completion of an algebraic closure of K, and O_C be its valuation ring. Let B be an irreducible separated O_K -scheme of finite type, and let $f: \mathcal{X} \to B$ be a proper semistable morphism such that $f_K: \mathcal{X}_K \to B_K$ is smooth (i.e., for any point $b \in B(O_K)$, the pull-back $\mathcal{X}_b \to \operatorname{Spec} O_K$ is a semistable model of the proper smooth variety $\mathcal{X}_b \otimes_{O_K} K$), where ()_K's mean $K \otimes_{O_K}$.

Then, the set

$$B(O_C)_{\text{jumping}} := \{ b \in B(O_C) \mid \rho(\mathcal{X}_b \otimes_{O_C} \overline{k}) > \rho(\mathcal{X}_{\bar{\eta}}) \}$$

is nowhere dense in $B(O_C)$ for the analytic topology, where ρ 's mean (log) Picard numbers.

In Section 1, we review Hyodo-Kato isomorphism, introducing some notations. In Section 2, we study log-crystalline first Chern class and de Rham first Chern class. In Section 3, we prove Theorem 0.1. In Section 4, we prove Theorem 0.2 by using Theorem 0.1.

Notations.

Let K be a complete discrete valuation field of mixed characteristic (0, p) whose residue field k is perfect. Let O_K denote the valuation ring of K. Let W be the ring of Witt vectors with coefficient k, and K_0 be its fraction field. Let σ denote the Frobenius on W or K_0 . We use the convention that the subscript $()_n$ of rings, schemes, log-structures, etc. means $\otimes \mathbb{Z}/p^n\mathbb{Z}$. Here, "log-structure" means the Fontaine-Illusie-Kato log-structure ([K1]). When we use the word "log-structure" in this paper, then this means fine saturated log-structure.

Let X be a proper semistable model over $S:=\operatorname{Spec} O_K, X_K$ be its generic fiber $X\otimes_{O_K}K$, and Y be its special fiber $X\otimes_{O_K}k$. Let M be the log-structure on X defined by the special fiber Y, and M_Y be the pull-back of M to Y. Let N be the log-structure on S defined by its special fiber $\operatorname{Spec} k$. Let N_1 be the pull-back of N to $\operatorname{Spec} k$, and N_n^0 be the log-structure on $\operatorname{Spec} W_n$ associated to $\Gamma(\operatorname{Spec} k, N_1) \to k \xrightarrow{[\cdot]} W_n$, where $[\cdot]$ is the Teichmüller representative. We have a natural exact closed immersion $(\operatorname{Spec} W_n, N_n^0) \hookrightarrow (\operatorname{Spec} W_{n+1}, N_{n+1}^0)$.

We will use the PD-structures γ on (W_n, pW_n) and $(S_n, p\mathcal{O}_{S,n})$ etc. induced by the unique PD-structure on (W, pW) and $(S, p\mathcal{O}_S)$ etc. We abbreviate the log-crystalline site $((Y, M_Y)/(W_n, N_n^0, pW_n, \gamma))_{\text{crys}}^{\text{log}}$ as $((Y, M_Y)/(W_n, N_n^0))_{\text{crys}}^{\text{log}}$ etc. If we abbreviate the coefficient of crystalline cohomology, then it means that the coefficient is the structure sheaf.

1. Review of Hyodo-Kato isomorphism.

First, we briefly review the Hyodo-Kato isomorphism ([HK]). We also prepare some notations. Choose a uniformizer π of K. Let (V, M_V) be the scheme Spec W[t] with log-structure defined by the divisor "t=0". Let F_V be the Frobenius lift defined by $t\mapsto t^p$ and σ . We have an W-exact closed immersion $i_{V,\pi}:(S,N)\hookrightarrow (V,M_V)$ defined by $t\mapsto \pi$. Let

$$i_{E_n,\pi}:(S_n,N_n)\hookrightarrow(E_n,M_{E_n})$$

be the PD-envelope of $i_{V_n,\pi} := (i_{V,\pi})_n$. The lifting $F_{V_n} := (F_V)_n$ induces a lifting of Frobenius $F_{E_n} : (E_n, M_{E_n}) \to (E_n, M_{E_n})$ The ring $R_{E_n} := \Gamma(E_n, \mathcal{O}_{E_n})$ is isomorphic

to $W[t, t^{me}/m!(m \ge 1)] \otimes_W W_n$, where $e := [K : K_0]$. Put $R_E := \varprojlim_n R_{E_n}$. We also have an W_n -exact closed immersion $i_{V_n,0} : (\operatorname{Spec} W_n, N_n^0) \hookrightarrow (V_n, M_{V_n})$ defined by $t \mapsto 0$. It induces a W_n -exact closed immersion

$$i_{E_n,0}: (\operatorname{Spec} W_n, N_n^0) \hookrightarrow (E_n, M_{E_n}).$$

We have a commutative diagram of exact closed immersions:

$$(\operatorname{Spec} k, N_1^0) \xrightarrow{} (S_n, N_n)$$

$$\downarrow \qquad \qquad \downarrow^{i_{E_n, \pi}}$$

$$(\operatorname{Spec} W_n, N_n^0) \xrightarrow{i_{E_n, 0}} (E_n, M_{E_n})$$

The morphism $i_{E_n,\pi}$ induces a homomorphism

$$\operatorname{pr}_{\pi}: H^{m}_{\operatorname{crys}}((X_{n}, M_{n})/(E_{n}, M_{E_{n}})) \to H^{m}_{\operatorname{crys}}((X_{n}, M_{n})/(S_{n}, N_{n})).$$

The natural morphism $(Y, M_Y) \hookrightarrow (X_n, M_n)$ and $i_{E_n,0}$ induce a homomorphism

$$\operatorname{pr}_0: H^m_{\operatorname{crys}}((X_n, M_n)/(E_n, M_{E_n})) \to H^m_{\operatorname{crys}}((Y, M_Y)/(W_n, N_n^0)).$$

Here we have

$$H_{\text{crvs}}^m((X_n, M_n)/(E_n, M_{E_n})) \cong H_{\text{crvs}}^m((X_1, M_1)/(E_n, M_{E_n}))$$

and $H^m_{\operatorname{crys}}((Y,M_Y)/(W_n,N_n^0))$ are equipped with σ -semilinear endomorphism φ , which are induced by absolute Frobenius of X_1 and Y and lifting of Frobenius F_{E_n} and σ respectively. Hyodo-Kato ([HK]) proved φ is a bijection after $\mathbb{Q} \otimes \varprojlim_n$ (for more general log-schemes) by using the theory of de Rham-Witt complex (In the case of crystalline cohomology, Berthelot-Ogus showed the analogous statement by the theory of "gauges". These bijections were essential for proving Berthelot-Ogus isomorphism and Hyodo-Kato isomorphism respectively). Put

$$\begin{split} H^m_{\operatorname{crys}}((Y,M_Y)/(W,N^0)) &:= \varprojlim_n H^m_{\operatorname{crys}}((Y,M_Y)/(W_n,N_n^0)), \\ H^m_{\operatorname{crys}}((X,M)/(S,N)) &:= \varprojlim_n H^m_{\operatorname{crys}}((X_n,M_n)/(S_n,N_n)), \\ H^m_{\operatorname{crys}}((X,M)/(E,M_E)) &:= \varprojlim_n H^m_{\operatorname{crys}}((X_n,M_n)/(E_n,M_{E_n})). \end{split}$$

We have ([K1, Theorem (6.4)])

$$H^m_{\operatorname{crys}}((X_n,M_n)/(S_n,N_n)) \cong \mathbb{H}^m(X_n,\Omega^{\bullet}_{X_n/S_n}(\log(M_n/N_n))),$$

where \mathbb{H} means a hypercohomology of a complex. So, we have

$$H^m_{\text{crys}}((X, M)/(S, N)) \cong \mathbb{H}^m(X, \Omega^{\bullet}_{X/S}(\log(M/N)))$$

since X is proper over S. Thus, we have

$$\mathbb{Q} \otimes H^m_{\operatorname{crys}}((X,M)/(S,N)) \cong \mathbb{H}^m(X_K,\Omega^{\bullet}_{X_K/K}) =: H^m_{\operatorname{dR}}(X_K/K).$$

Lemma (5.2) of [HK] or Proposition 4.4.6 of [Tsu] tell us the existence of a K_0 -linear section of $\mathbb{Q} \otimes \mathrm{pr}_0$ compatible with φ :

$$s: H^m_{\operatorname{crys}}((Y, M_Y)/(W, N^0)) \to \mathbb{Q} \otimes H^m_{\operatorname{crys}}((X, M)/(E, M_E)),$$

which induces an R_E -isomorphism:

$$R_E \otimes_W H^m_{\operatorname{crys}}((Y, M_Y)/(W, N^0)) \xrightarrow{\sim} \mathbb{Q} \otimes H^m_{\operatorname{crys}}((X, M)/(E, M_E)).$$

(Here, we need $\mathbb{Q} \otimes$ to get the section s, because we need the inverse of φ^r , where r is an integer satisfying $\pi^{p^r} \in pO_K$. They used that the r-powered absolute Frobenius $F_{S_1}^r: S_1 \to S_1$ factors through $S_1 \xrightarrow{f} \operatorname{Spec} k \to S_1$, and $X_1 \times_{S_1, F_{X_1}^r} S_1$ is isomorphic to $Y \times_{\operatorname{Spec} k, f} S_1$. See the proof of Lemma 2.5.) By using s and $\mathbb{Q} \otimes \operatorname{pr}_{\pi}$, we have a homomorphism

$$\mathbb{Q} \otimes \operatorname{pr}_{\pi} \circ s : H^{m}_{\operatorname{crys}}((Y, M_{Y})/(W, N^{0})) \to H^{m}_{\operatorname{dR}}(X_{K}/K).$$

the Hyodo-Kato theorem ([HK, Theorem (5.1)]) tells us that this induces a K-isomorphism

$$\rho_{\pi}: K \otimes_{W} H^{m}_{\operatorname{crys}}((Y, M_{Y})/(W, N^{0})) \xrightarrow{\sim} H^{m}_{\operatorname{dR}}(X_{K}/K).$$

2. log-crystalline and de Rham first Chern Classes.

Secondly, I recall the definitions of crystalline first Chern class and de Rham Chern class.

Let $(\mathcal{O}_X^{\times})^{\mathrm{log}}$ and $(\mathcal{O}_Y^{\times})^{\mathrm{log}}$ denote M^{gp} and M_Y^{gp} respectively (they can be regarded as log-version of multiplicative group). Put $\mathrm{Pic}^{\mathrm{log}}(X)$ and $\mathrm{Pic}^{\mathrm{log}}(Y)$ to be $H^1(X, (\mathcal{O}_X^{\times})^{\mathrm{log}})$ and $H^1(Y, (\mathcal{O}_Y^{\times})^{\mathrm{log}})$ respectively. The inclusions $\mathcal{O}_X^{\times} \hookrightarrow (\mathcal{O}_X^{\times})^{\mathrm{log}}$ and $\mathcal{O}_Y^{\times} \hookrightarrow (\mathcal{O}_Y^{\times})^{\mathrm{log}}$ induce $\mathrm{Pic}(X) \to \mathrm{Pic}^{\mathrm{log}}(X)$ and $\mathrm{Pic}(Y) \to \mathrm{Pic}^{\mathrm{log}}(Y)$ respectively. The inclusions $\mathcal{O}_Y^{\times} \hookrightarrow (\mathcal{O}_Y^{\times})^{\mathrm{log}}$ and $\mathcal{O}_X^{\times} \hookrightarrow (\mathcal{O}_X^{\times})^{\mathrm{log}}$ induce $\mathrm{Pic}(Y) \to \mathrm{Pic}^{\mathrm{log}}(Y)$ and $\mathrm{Pic}(X) \to \mathrm{Pic}^{\mathrm{log}}(X)$ respectively. By definition, we have $M^{\mathrm{gp}} = \mathcal{O}_{X_K}^{\times}$. So, $\mathrm{Pic}^{\mathrm{log}}(X)$ is nothing but $\mathrm{Pic}(X_K)$. When we choose a uniformizer, we have an isomorphism $(\mathcal{O}_Y^{\times})^{\mathrm{log}} \cong j_* \mathcal{O}_{Y^{\mathrm{sm}}}^{\times} \cdot \pi^{\mathbb{Z}}$, where $Y^{\mathrm{sm}}(\stackrel{j}{\hookrightarrow} Y)$ is the smooth locus of Y, and we regard $\pi(\neq 0)$ as living in M_Y , which goes to 0 in \mathcal{O}_Y . So, we have an isomorphism $\mathrm{Pic}^{\mathrm{log}}(Y) \cong \mathrm{Pic}(Y^{\mathrm{sm}})$. This isomorphism is independent of the choice of π , since changing π by $u\pi$ ($u \in \mathcal{O}_K^{\times}$) gives only a difference of principal divisor (u) = 0. Let $\mathrm{NS}(X_K)$ be $\mathrm{Pic}(X_K)/\mathrm{Pic}^0(X_K)$, where $\mathrm{Pic}^0(X_K)$ is the isomorphism class of line bundles of algebraically equivalent to 0. Let $\mathrm{Pic}^{\mathrm{log},0}(Y)$ be the pull back of $\mathrm{Pic}^0(Y^{\mathrm{sm}})$ under the isomorphism $\mathrm{Pic}^{\mathrm{log}}(Y) \cong \mathrm{Pic}(Y^{\mathrm{sm}})$, and $\mathrm{NS}^{\mathrm{log}}(Y)$ be $\mathrm{Pic}^{\mathrm{log}}(Y)/\mathrm{Pic}^{\mathrm{log},0}(Y)$. We call $\rho(X_K) := \mathrm{rank}\mathrm{NS}(X_K)$, and $\rho(Y) := \mathrm{rank}\mathrm{NS}^{\mathrm{log}}(Y)$, their Picard number. The homomorphism $\mathrm{Pic}(X_K) = \mathrm{Pic}^{\mathrm{log}}(X) \to \mathrm{Pic}^{\mathrm{log}}(Y)$ induces a homomorphism

(1)
$$\operatorname{NS}(X_K) \to \operatorname{NS}^{\log}(Y)$$
.

Let $(\mathcal{O}_{(Y,M_Y)/(W_n,N_n^0)}^{\times})^{\log}$ be a sheaf on the log-crystalline site

$$((Y, M_Y)/(W_n, N_n^0, pW_n, \gamma))_{\text{crys}}^{\log}$$

defined by $(\delta, (U, M_Y|_U) \hookrightarrow (T, M_T)) \mapsto M_T^{gp}$. Let

$$i_{(Y,M_Y)/(W_n,N_n^0)}:Y_{\operatorname{\acute{e}t}}\to ((Y,M_Y)/(W_n,N_n^0))^{\log}_{\operatorname{crys}}$$

be the canonical morphism (we use similar notations $i_{(-,-)/(-,-)}$ for log-schemes).

Lemma 2.1. We have exact sequences

$$0 \to 1 + J_{(Y,M_Y)/(W_n,N_n^0)} \to (\mathcal{O}_{(Y,M_Y)/(W_n,N_n^0)}^{\times})^{\log} \to i_{(Y,M_Y)/(W_n,N_n^0),*}(\mathcal{O}_Y^{\times})^{\log} \to 0,$$
and

$$0 \to 1 + J_{(Y,M_Y)/(W_n,N_n^0)} \to \mathcal{O}_{(Y,M_Y)/(W_n,N_n^0)}^{\times} \to i_{(Y,M_Y)/(W_n,N_n^0),*} \mathcal{O}_Y^{\times} \to 0$$
 on $(((Y,M_Y)/(W_n,N_n^0))_{\text{crys}}^{\log})^{\sim}$.

Proof. The second one is classical. The first one comes from the exactness of the closed immersion $(U, M_Y|_U) \hookrightarrow (T, M_T)$ for any

$$(\delta, (U, M_Y|_U) \hookrightarrow (T, M_T)) \in ((Y, M_Y)/(W_n, N_n^0))^{\log}_{\text{crys}}.$$

By using PD-structure on $J_{(Y,M_Y)/(W_n,N_n^0)}$, we have an isomorphism

$$\log: 1 + J_{(Y,M_Y)/(W_n,N_n^0)} \xrightarrow{\sim} J_{(Y,M_Y)/(W_n,N_n^0)}.$$

So, by combining the boundary map of Lemma 2.1, log and the inclusion

$$i: J_{(Y,M_Y)/(W_n,N_n^0)} \hookrightarrow \mathcal{O}_{(Y,M_Y)/(W_n,N_n^0)},$$

we get homomorphisms

$$\begin{split} \operatorname{Pic}^{\log}(Y) & \xrightarrow{\partial} H^2_{\operatorname{crys}}((Y, M_Y) / (W_n, N_n^0), 1 + J_{(Y, M_Y) / (W_n, N_n^0)}) \\ & \overset{\log}{\cong} H^2_{\operatorname{crys}}((Y, M_Y) / (W_n, N_n^0), J_{(Y, M_Y) / (W_n, N_n^0)}) \\ & \xrightarrow{i} H^2_{\operatorname{crys}}((Y, M_Y) / (W_n, N_n^0)), \end{split}$$

and

$$\begin{split} \operatorname{Pic}(Y) & \xrightarrow{\partial} H^2_{\operatorname{crys}}((Y, M_Y)/(W_n, N_n^0), 1 + J_{(Y, M_Y)/(W_n, N_n^0)}) \\ & \overset{\log}{\cong} H^2_{\operatorname{crys}}((Y, M_Y)/(W_n, N_n^0), J_{(Y, M_Y)/(W_n, N_n^0)}) \\ & \xrightarrow{i} H^2_{\operatorname{crys}}((Y, M_Y)/(W_n, N_n^0)). \end{split}$$

We call them log-crystalline first Chern class homomorphism, and let $c_{\rm crys}$ denote them. Here,

is commutative, because

$$(\mathcal{O}_{(Y,M_Y)/(W_n,N_n^0)}^{\times})^{\log} \xrightarrow{\hspace{1cm}} i_{(Y,M_Y)/(W_n,N_n^0),*}(\mathcal{O}_Y^{\times})^{\log}$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$\mathcal{O}_{(Y,M_Y)/(W_n,N_n^0)}^{\times} \xrightarrow{\hspace{1cm}} i_{(Y,M_Y)/(W_n,N_n^0),*}\mathcal{O}_Y^{\times}$$

is commutative.

Proposition 2.2. The image of the map

$$c_{\operatorname{crys}}: \operatorname{Pic}^{\operatorname{log}}(Y) \to H^2_{\operatorname{crys}}((Y, M_Y)/(W_n, N_n^0))$$

is in

$$H_{\text{crys}}^2((Y, M_Y)/(W_n, N_n^0))^{\varphi = p, \mathcal{N} = 0},$$

where \mathcal{N} is the monodromy operator on it ([HK, (3.5)], [Tsu, §4.4]).

Proof. First, we have

$$\varphi(c_{\operatorname{crys}}([L])) = c_{\operatorname{crys}}(\varphi([L])) = c_{\operatorname{crys}}([L^p]) = c_{\operatorname{crys}}(p[L]) = pc_{\operatorname{crys}}([L]).$$

Next, we will show that $\mathcal{N}(c_{\operatorname{crys}}([L])) = 0$. Let $(\operatorname{Spec} W[t], N_{W[t]})$ be a log-scheme whose log-structure is defined by "t = 0", and $(\operatorname{Spec} W_n \langle t \rangle, N_{W_n \langle t \rangle})$ be the PD-envelope of $(\operatorname{Spec} W_n, N_n^0) \hookrightarrow (\operatorname{Spec} W_n[t], N_{W_n[t]})$. We take an embedding system $(Y^{\bullet}, M_Y|_{Y^{\bullet}}) \hookrightarrow (Z^{\bullet}, M_{Z^{\bullet}})$ for (Y, M_Y) over $(\operatorname{Spec} W[t], N_{W[t]})$. Let $(D_n^{\bullet}, M_{D_n^{\bullet}})$ be the PD-envelope of $(Y^{\bullet}, M_Y|_{Y^{\bullet}}) \hookrightarrow (Z_n^{\bullet}, M_{Z_n^{\bullet}})$. Then, we have an exact sequence

$$0 \to \mathcal{O}_{D_n^{\bullet}} \otimes_{\mathcal{O}_{Z_n^{\bullet}}} \omega_{Z_n^{\bullet}/W_n\langle t \rangle}^{\bullet} [-1] \overset{\wedge \operatorname{dlog}(t)}{\to} \mathcal{O}_{D_n^{\bullet}} \otimes_{\mathcal{O}_{Z_n^{\bullet}}} \omega_{Z_n^{\bullet}/W_n}^{\bullet} \to \mathcal{O}_{D_n^{\bullet}} \otimes_{\mathcal{O}_{Z_n^{\bullet}}} \omega_{Z_n^{\bullet}/W_n\langle t \rangle}^{\bullet} \to 0$$

$$= (V^{\bullet})^{\circ} \quad \text{where } v^{\bullet} = V^{\bullet} \quad$$

on $(Y^{\bullet})_{\mathrm{\acute{e}t}}^{\sim}$, where $\omega_{Z_{\bullet}^{\bullet}/W_{n}}^{\bullet}$ and $\omega_{Z_{\bullet}^{\bullet}/W_{n}\langle t\rangle}^{\bullet}$ denote

$$\Omega^{\bullet}_{Z_n^{\bullet}/W_n}(\log(M_{Z_n^{\bullet}})) \ \ \text{and} \ \ \ \Omega^{\bullet}_{Z_n^{\bullet}/W_n\langle t\rangle}(\log(M_{Z_n^{\bullet}}/N_{W_n\langle t\rangle}))$$

respectively. The boundary homomorphism associated to the above exact sequence after tensoring W_n over $W_n\langle t\rangle$ with respect to $t^{[i]}\mapsto 0$ $(i\geq 0)$ gives

$$\begin{split} H^m_{\operatorname{crys}}((Y,M_Y)/(W_n,\mathcal{O}_{W_n}^\times)) &\to H^m_{\operatorname{crys}}((Y,M_Y)/(W_n,N_n^0)) \\ &\stackrel{\partial}{\to} H^m_{\operatorname{crys}}((Y,M_Y)/(W_n,N_n^0)), \end{split}$$

where $\mathcal{O}_{W_n}^{\times}$ is the trivial log-structure on Spec W_n . The above boundary homomorphism ∂ is the monodromy operator ([HK, (3.6)], [K2, Lemma (4.2)])

$$\mathcal{N}: H^m_{\operatorname{crys}}((Y,M_Y)/(W_n,N_n^0)) \to H^m_{\operatorname{crys}}((Y,M_Y)/(W_n,N_n^0)).$$

So, it suffices to show that there is a lift of

$$c_{\operatorname{crys}}: \operatorname{Pic}^{\operatorname{log}}(Y) \to H^2_{\operatorname{crys}}((Y, M_Y)/(W_n, N_n^0))$$

to $\operatorname{Pic}^{\log}(Y) \to H^2_{\operatorname{crys}}((Y, M_Y)/(W_n, \mathcal{O}_{W_n}^{\times}))$. This can be done by a similar construction of c_{crys} , that is, the combination the boundary homomorphism of

$$0 \to 1 + J_{(Y,M_Y)/(W_n,\mathcal{O}_{W_n}^{\times})} \to (\mathcal{O}_{(Y,M_Y)/(W_n,\mathcal{O}_{W_n}^{\times})}^{\times})^{\log}$$
$$\to i_{(Y,M_Y)/(W_n,\mathcal{O}_{W_n}^{\times}),*}(\mathcal{O}_Y^{\times})^{\log} \to 0,$$

the isomorphism $1 + J_{(Y,M_Y)/(W_n,\mathcal{O}_{W_n}^{\times})} \stackrel{\log}{\cong} J_{(Y,M_Y)/(W_n,\mathcal{O}_{W_n}^{\times})}$, and the inclusion

$$J_{(Y,M_Y)/(W_n,\mathcal{O}_{W_n}^{\times})} \hookrightarrow \mathcal{O}_{(Y,M_Y)/(W_n,\mathcal{O}_{W_n}^{\times})}.$$

The commutativity of the following diagrams

$$\begin{split} (\mathcal{O}_{(Y,M_Y)/(W_n,\mathcal{O}_{W_n}^\times)}^\times)^{\log} & \longrightarrow i_{(Y,M_Y)/(W_n,\mathcal{O}_{W_n}^\times),*}(\mathcal{O}_Y^\times)^{\log} \\ & \downarrow & \qquad \qquad \downarrow = \\ \alpha_* (\mathcal{O}_{(Y,M_Y)/(W_n,N_n^0)}^\times)^{\log} & \longrightarrow \alpha_* i_{(Y,M_Y)/(W_n,N_n^0),*}(\mathcal{O}_Y^\times)^{\log}, \end{split}$$

and

$$1 + J_{(Y,M_Y)/(W_n,\mathcal{O}_{W_n}^{\times})} \xrightarrow{\sim} J_{(Y,M_Y)/(W_n,\mathcal{O}_{W_n}^{\times})}$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$\alpha_* (1 + J_{(Y,M_Y)/(W_n,N_n^0)}) \xrightarrow{\sim} \alpha_* J_{(Y,M_Y)/(W_n,N_n^0)}$$

ensure that it's a lift of c_{crys} , where

$$\alpha: ((Y, M_Y)/(W_n, N_n^0))_{\operatorname{crys}}^{\operatorname{log}} \to ((Y, M_Y)/(W_n, \mathcal{O}_{W_n}^{\times}))_{\operatorname{crys}}^{\operatorname{log}}$$

is the morphism induced by the log-forgetting morphism

$$(\operatorname{Spec} W_n, N_n^0) \to (\operatorname{Spec} W_n, \mathcal{O}_{W_n}^{\times}).$$

Corollary 2.3. For any $[L] \in \operatorname{Pic}^{\log}(Y)$,

$$\rho_{\pi}(c_{\operatorname{crys}}([L])) \in H^2_{\operatorname{dR}}(X_K/K)$$

does not depend on the choice of π .

Proof. For any $u \in O_K^{\times}$, $\rho_{u\pi}$ is given by

$$\rho_{u\pi} = \rho_{\pi} \circ \exp\left(\log(u)\mathcal{N}\right)$$

by [HK, Theorem (5.1)] or [Tsu, Proposition 4.4.17]. Now, $\mathcal{N}c_{\text{crys}}([L]) = 0$ by the Proposition. We are done.

Next, let's recall the de Rham first Chern class. We have a homomorphism of complexes

$$\mathcal{O}_{X_K}^{\times} \to F^1\Omega_{X_K/K}^{\bullet}[1] \to \Omega_{X_K/K}^{\bullet}[1],$$

where the first map is given by $\mathcal{O}_{X_K}^{\times} \ni f \mapsto df/f \in \Omega^1_{X_K/K}$, and $F^1\Omega^{\bullet}_{X_K/K}$ is the first Hodge filtration $\Omega^{\bullet \ge 1}_{X_K/K}$. So, this homomorphism induces a homomorphism

$$\operatorname{Pic}(X_K) \to H^2(X_K, F^1\Omega^{\bullet}_{X_K/K}) \to H^2_{\operatorname{dR}}(X_K/K).$$

The composite is the first de Rham chern class, and let $c_{\rm dR}$ denote it.

Proposition 2.4. The following diagram is commutative:

$$\operatorname{Pic}(Y) \longleftarrow \operatorname{Pic}(X)$$

$$\downarrow \qquad \qquad \qquad \operatorname{Pic}^{\log}(Y) \longleftarrow \operatorname{Pic}^{\log}(X) \stackrel{=}{\longrightarrow} \operatorname{Pic}(X_K)$$

$$\downarrow^{c_{\operatorname{crys}}} \qquad \qquad \downarrow^{c_{\operatorname{dR}}}$$

$$K \otimes_W H^2_{\operatorname{crys}}((Y,M)/(W,N^0)) \stackrel{\sim}{\longrightarrow} H^2_{\operatorname{dR}}(X_K/K).$$

The non-trivial part is the bottom square.

We needs some preparations. Let $c_{\text{crys}}^{W_n}$ be the composition

$$\operatorname{Pic}^{\log}(X) \to \operatorname{Pic}^{\log}(Y) \overset{c_{\operatorname{crys}}}{\to} H^2_{\operatorname{crys}}((Y, M_Y)/(W_n, N_n^0)).$$

We can define

$$c_{\operatorname{crys}}^{E_n}: \operatorname{Pic}^{\log}(X) \to H^2_{\operatorname{crys}}((X_n, M_n)/(E_n, M_{E_n}))$$

and

$$c_{\operatorname{crys}}^{S_n}: \operatorname{Pic}^{\log}(X) \to H^2_{\operatorname{crys}}((X_n, M_n)/(S_n, N_n))$$

by the same way as c_{crys} . By the commutativity of the following diagrams

and

$$1 + J_{(X_n,M_n)/(E_n,M_{E_n})} \xrightarrow{\sim} J_{(X_n,M_n)/(E_n,M_{E_n})}$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad \qquad$$

the following diagram is commutative:

$$H^{2}_{\operatorname{crys}}((Y, M_{Y})/(W_{n}, N_{n}^{0})) \underset{\operatorname{pr}_{0}}{\longleftarrow} H^{2}_{\operatorname{crys}}((X_{n}, M_{n})/(E_{n}, M_{E_{n}})) \xrightarrow{\operatorname{pr}_{\pi}} H^{2}_{\operatorname{crys}}((X_{n}, M_{n})/(S_{n}, N_{n})).$$

Here,

$$i_{E_n,0}: ((Y,M_Y)/(W_n,N_n^0))_{\text{crys}}^{\log} \to ((X_n,M_n)/(E_n,M_{E_n}))_{\text{crys}}^{\log}$$

and

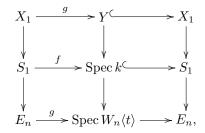
$$i_{E_n,\pi}: ((X_n, M_n)/(S_n, N_n))_{\text{crys}}^{\log} \to ((X_n, M_n)/(E_n, M_{E_n}))_{\text{crys}}^{\log}$$

are morphisms induced by $(Y, M_Y) \to (X_n, M_n)$ over $i_{E_n,0}: (\operatorname{Spec} W_n, N_n^0) \hookrightarrow (E_n, M_{E_n})$ and $(X_n, M_n) \stackrel{\operatorname{id}}{\to} (X_n, M_n)$ over $i_{E_n,\pi}: (S_n, N_n) \hookrightarrow (E_n, M_{E_n})$ respectively (we use the same symbols by the abuse of notations. the author hope that there would be no confusions).

Lemma 2.5. the following diagram is commutative:

$$\begin{array}{c} \operatorname{Pic}^{\log}(X) \\ \downarrow^{c_{\operatorname{crys}}^E} \\ H^2_{\operatorname{crys}}((Y,M_Y)/(W,N^0)) \stackrel{s}{\longrightarrow} \mathbb{Q} \otimes H^2_{\operatorname{crys}}((X,M)/(E,M_E)). \end{array}$$
recall the definition of the section s ([HK, Lemma (5.2)], [Tsu,

Proof. We recall the definition of the section s ([HK, Lemma (5.2)], [Tsu, Proposition 4.4.6]). Let r be an integer satisfying $\pi^{p^r} \in pO_K$. Then, the r-powered absolute Frobenius $F_{S_1}^r: S_1 \to S_1$ factors through $S_1 \xrightarrow{f} \operatorname{Spec} k \to S_1$. Thus, $X_1 \times_{S_1, F_{X_1}^r} S_1$ is isomorphic to $Y \times_{\operatorname{Spec} k, f} S_1$. Consider the following commutative diagram:



where g is defined by $t \mapsto t^{p^r}$ and σ^r , and three composite horizontal arrows are r-powered absolute Frobenii. The left big squre with g's and the morphism

$$(\operatorname{Spec} W_n\langle t\rangle, N_{W_n\langle t\rangle}) \to (\operatorname{Spec} W_n, N_n^0)$$

defined by $t^{[n]} \mapsto 0$ induce

$$g: ((X_1, M_1)/(E_n, M_{E_n}))_{\text{crys}}^{\log} \to ((Y, M_Y)/(W_n\langle t \rangle, N_{W_n\langle t \rangle}))_{\text{crys}}^{\log}$$

and

$$\beta: ((Y, M_Y)/(W_n \langle t \rangle, N_{W_n \langle t \rangle}))_{\mathrm{crys}}^{\mathrm{log}} \rightarrow ((Y, M_Y)/(W_n, N_n^0))_{\mathrm{crys}}^{\mathrm{log}}$$

respectively (we use the same symbol q by the abuse of notations).

Then, we have isomorphisms ([HK, Lemma (5.2), Lemma (5.3)]):

$$R_{E} \otimes_{W} H^{m}_{\operatorname{crys}}((Y, M_{Y})/(W, N^{0}))_{\mathbb{Q}} \stackrel{\sim, \varphi^{r}}{\longleftarrow} R_{E} \otimes_{\varphi^{r}, W} H^{m}_{\operatorname{crys}}((Y, M_{Y})/(W, N^{0}))_{\mathbb{Q}}$$

$$\cong R_{E} \otimes_{g, W\langle t \rangle} H^{m}_{\operatorname{crys}}((Y, M_{Y})/(W\langle t \rangle, N_{W\langle t \rangle}))_{\mathbb{Q}}$$

$$\cong R_{E} \otimes_{\varphi^{r}, R_{E}} H^{m}_{\operatorname{crys}}((X_{1}, M_{1})/(E, M_{E}))_{\mathbb{Q}}$$

$$\stackrel{\sim, \varphi^{r}}{\longrightarrow} H^{m}_{\operatorname{crys}}((X_{1}, M_{1})/(E, M_{E}))_{\mathbb{Q}} \cong H^{m}_{\operatorname{crys}}((X, M)/(E, M_{E}))_{\mathbb{Q}},$$

where the subscript \mathbb{Q} means $\mathbb{Q}\otimes$. The composition of the above isomorphisms does not depend on r. The section s is the composite of the above isomorphisms and $H^m_{\operatorname{crys}}((Y,M_Y)/(W,N^0)) \to R_E \otimes_W H^m_{\operatorname{crys}}((Y,M_Y)/(W,N^0))_{\mathbb{Q}}$. By the same way as c_{crys} , we can define

$$c_{\operatorname{crys}}^{W_n\langle t \rangle} : \operatorname{Pic}^{\log}(X) \to H_{\operatorname{crys}}^2((Y, M_Y) / (W_n\langle t \rangle, N_{W\langle t \rangle})).$$

So, it suffices to show that the following diagrams are commutative:

$$\begin{aligned} & \qquad \qquad \qquad \operatorname{Pic}^{\log}(X) \\ & \qquad \qquad \qquad \downarrow c_{\operatorname{crys}}^{W_n} \\ & \qquad \qquad \downarrow c_{\operatorname{crys}}^{W\langle t \rangle} \\ & \qquad \qquad H_{\operatorname{crys}}^2((Y, M_Y)/(W_n \langle t \rangle, N_{W_n \langle t \rangle})), \end{aligned}$$

and

$$\begin{array}{c|c} \operatorname{Pic}^{\log}(X) & & & \\ c_{\operatorname{crys}}^{W(t)} & & & \\ \hline & & & \\ H_{\operatorname{crys}}^2((Y, M_Y)/(W_n \langle t \rangle, N_{W_n \langle t \rangle})) & \xrightarrow{g^*} H_{\operatorname{crys}}^2((X_1, M_1)/(E_n, M_{E_n})) \end{array}$$

The commutativity of the diagrams follows from the commutativity of the following diagrams:

and

$$\begin{split} 1 + J_{(Y,M_Y)/(W_n\langle t\rangle,N_{W_n\langle t\rangle})} &\xrightarrow[\log]{\sim} J_{(Y,M_Y)/(W_n\langle t\rangle,N_{W_n\langle t\rangle})} \\ & \downarrow g^* & \downarrow g^* \\ g_* (1 + J_{(X_1,M_1)/(E_n,M_{E_n})}) &\xrightarrow[\log]{\sim} g_* J_{(X_1,M_1)/(E_n,M_{E_n})}. \end{split}$$

Proof. (Proof of Proposition 2.4) By Lemma 2.5, the following diagram is commutative:

$$(2) \qquad \qquad \qquad Pic^{\log}(X) \qquad \qquad \downarrow^{c_{\operatorname{crys}}} \qquad \downarrow^{c_{\operatorname{crys}}} \qquad \downarrow^{c_{\operatorname{crys}}} \qquad \qquad \downarrow^{c_{\operatorname{crys}}} \qquad \qquad \downarrow^{c_{\operatorname{crys}}} \qquad \qquad \downarrow^{$$

So, it suffices to show the following diagram is commutative (see [BO, Lemma (3.3), Proposition (3.4)] for the good reduction case):

$$\begin{array}{c} \operatorname{Pic^{\log}(X)} \\ \downarrow^{c_{\operatorname{crys}}^{S_n}} \\ H^2_{\operatorname{crys}}((X_1,M_1)/(S_n,N_n)) \xrightarrow{\simeq} H^2_{\operatorname{dR}}(X_n/S_n), \end{array}$$

where $c_{\rm dR}$ is defined by

$$(\mathcal{O}_{X_n}^{\times})^{\log} \stackrel{f \mapsto df/f}{\longrightarrow} F^1 \Omega_{X_n/S_n}^{\bullet} (\log(M_n/N_n))[1] \to \Omega_{X_n/S_n}^{\bullet} (\log(M_n/N_n))[1].$$
 Put $\omega_{X_n/S_n}^{\bullet} := \Omega_{X_n/S_n}^{\bullet} (\log(M_n/N_n))$. Let $L(\omega_{X_n/S_n}^{\bullet})$ be the complex on

$$((X_1, M_1)/(S_n, N_n))_{\text{crys}}^{\log}$$

deduced from $\omega_{X_n/S_n}^{\bullet}$ by linearization. We have a canonical homomorphism

$$\mathcal{O}_{(X_1,M_1)/(S_n,N_n)} \to L(\mathcal{O}_{X_n}),$$

and $L(\omega_{X_n/S_n}^{\bullet})$ is a resolution of $\mathcal{O}_{(X_1,M_1)/(S_n,N_n)}$ by PD-Poincaré lemma. There is a surjective homomorphism $L(\mathcal{O}_{X_n}) \twoheadrightarrow \mathcal{O}_{X_1}$. Let \mathcal{K} be the kernel. It is a PD-ideal in $L(\mathcal{O}_{X_n})$ such that $(\mathcal{O}_{(X_1,M_1)/(S_n,N_n)},J_{(X_1,M_1)/(S_n,N_n)}) \to (L(\mathcal{O}_{X_n}),\mathcal{K})$ is a PD-homomorphism. Then \mathcal{K}^{\times} is the kernel of $L(\mathcal{O}_{X_n})^{\times} \twoheadrightarrow \mathcal{O}_{X_1}^{\times}$, and it is isomorphic to the kernel of $L((\mathcal{O}_{X_n}^{\times})^{\log}) \twoheadrightarrow (\mathcal{O}_{X_1}^{\times})^{\log}$, since $(U,M_1|_U) \hookrightarrow (T,M_T)$ is exact for any $(\delta,(U,M_1|_U) \hookrightarrow (T,M_T)) \in ((X_1,M_1)/(S_n,N_n))^{\log}_{\mathrm{crys}}$. Let $L(\omega_{X_n/S_n}^{\bullet})^{\times}$ (resp. $\mathcal{K}^{\bullet},\mathcal{K}^{\bullet}$) denote the complex

$$L((\mathcal{O}_{X_n}^{\times})^{\log}) \stackrel{\mathrm{dlog}}{\to} L(\omega_{X_n/S_n}^1) \stackrel{L(d)}{\to} L(\omega_{X_n/S_n}^2) \to \cdots$$

$$(\text{resp. } \mathcal{K} \stackrel{L(d)}{\to} L(\omega_{X_n/S_n}^1) \stackrel{L(d)}{\to} L(\omega_{X_n/S_n}^2) \to \cdots,$$

$$1 + \mathcal{K} \stackrel{\mathrm{dlog}}{\to} L(\omega_{X_n/S_n}^1) \stackrel{L(d)}{\to} L(\omega_{X_n/S_n}^2) \to \cdots).$$

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Then, we have the commutative diagrams:

(where two i_* 's mean $i_{(X_1,M_1)/(S_n,N_n),*}$) and

$$1 + J_{(X_1, M_1)/(S_n, N_n)} \xrightarrow[\log]{\sim} J_{(X_1, M_1)/(S_n, N_n)}$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$1 + \mathcal{K} \xrightarrow{\sim} \underset{\log}{\sim} \mathcal{K}.$$

Thus, the following diagram is commutative:

$$\begin{split} \operatorname{Pic}^{\log}(X_1) & \stackrel{\partial}{\longrightarrow} H^2_{\operatorname{crys}}(1+J) & \stackrel{\sim}{\longrightarrow} H^2_{\operatorname{crys}}(J) & \stackrel{i}{\longrightarrow} H^2_{\operatorname{crys}}((X_1,M_1)/(S_n,N_n)) \\ \downarrow = & & \downarrow \cong & \downarrow \cong \\ \operatorname{Pic}^{\log}(X_1) & \stackrel{\partial}{\longrightarrow} H^2_{\operatorname{crys}}(\mathcal{K}^{\bullet,\times}) & \stackrel{\sim}{\longrightarrow} H^2_{\operatorname{crys}}(\mathcal{K}^{\bullet}) & \stackrel{i}{\longrightarrow} H^2_{\operatorname{crys}}(L(\omega_{X_n/S_n}^{\bullet})), \end{split}$$

where $H^2_{\text{crys}}(-)$'s mean $H^2_{\text{crys}}((X_1, M_1)/(S_n, N_n), -)$, and J means $J_{(X_1, M_1)/(S_n, N_n)}$. Here, the composition of the upper horizontal arrows is the definition of $c^{S_n}_{\text{crys}}$. Thus, $c^{S_n}_{\text{crys}}$ is equal to the composition of the lower horizontal arrows under the identification with $H^2_{\text{crys}}((X_1, M_1)/(S_n, N_n)) \cong H^2_{\text{crys}}(L(\omega^{\bullet}_{X_n/S_n}))$.

The kernel of $\mathcal{O}_{X_n}^{\times} \to \mathcal{O}_{X_1}^{\times}$ is $1 + p\mathcal{O}_{X_n}$, and this is also isomorphic to the kernel of $(\mathcal{O}_{X_n}^{\times})^{\log} \to (\mathcal{O}_{X_1}^{\times})^{\log}$. Let J_{X_n,S_n}^{\bullet} (resp. $J_{X_n,S_n}^{\bullet,\times}$) denote the complex

$$p\mathcal{O}_{X_n} \xrightarrow{d} \omega_{X_n/S_n}^1 \xrightarrow{d} \omega_{X_n/S_n}^2 \to \cdots$$
(resp. $1 + p\mathcal{O}_{X_n} \xrightarrow{\text{dlog}} \omega_{X_n/S_n}^1 \xrightarrow{d} \omega_{X_n/S_n}^2 \to \cdots$).

Then, we have the following commutative diagram:

$$\begin{split} \operatorname{Pic}^{\operatorname{log}}(X_1) & \stackrel{\partial}{\longrightarrow} H^2_{\operatorname{crys}}(\mathcal{K}^{\bullet,\times}) & \stackrel{\sim}{\longrightarrow} H^2_{\operatorname{crys}}(\mathcal{K}^{\bullet}) & \stackrel{i}{\longrightarrow} H^2_{\operatorname{crys}}(L(\omega_{X_n/S_n}^{\bullet})) \\ \downarrow = & & \downarrow \cong & \downarrow \cong \\ \operatorname{Pic}^{\operatorname{log}}(X_1) & \stackrel{\partial}{\longrightarrow} \mathbb{H}^2(J_{X_n/S_n}^{\bullet,\times}) & \stackrel{\sim}{\longrightarrow} \mathbb{H}^2(J_{X_n/S_n}^{\bullet}) & \stackrel{i}{\longrightarrow} \mathbb{H}^2(\omega_{X_n/S_n}^{\bullet}), \end{split}$$

where $\mathbb{H}^2(-)$'s mean $\mathbb{H}^2(X_n,-)$ and ∂ in the bottom line is the boundary homomorphism induced by the exact sequence:

$$0 \to J_{X_n/S_n}^{\bullet,\times} \to [(\mathcal{O}_{X_n}^{\times})^{\log} \to \omega_{X_n/S_n}^1 \to \omega_{X_n/S_n}^1 \to \cdots] \to (\mathcal{O}_{X_1}^{\times})^{\log} \to 0.$$

Thus, $c_{\operatorname{crys}}^{S_n}$ is equal to the composition of the lower horizontal arrows under the identification with $H^2_{\operatorname{crys}}((X_1,M_1)/(S_n,N_n))\cong H^2_{\operatorname{crys}}(L(\omega_{X_n/S_n}^{\bullet}))\cong \mathbb{H}^2(\omega_{X_n/S_n}^{\bullet}).$

We have the following commutative diagram whose horizontal lines are exact:

This gives the following commutative diagram:

$$\begin{split} \operatorname{Pic}^{\operatorname{log}}(X_n) & \stackrel{\partial}{\longrightarrow} \mathbb{H}^2(F^1\omega_{X_n/S_n}^{\bullet}) \stackrel{=}{\longrightarrow} \mathbb{H}^2(F^1\omega_{X_n/S_n}^{\bullet}) \stackrel{i}{\longrightarrow} \mathbb{H}^2(\omega_{X_n/S_n}^{\bullet}) \\ & \downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow = \\ \operatorname{Pic}^{\operatorname{log}}(X_1) & \stackrel{\partial}{\longrightarrow} \mathbb{H}^2(J_{X_n/S_n}^{\bullet,\times}) \stackrel{\sim}{\longrightarrow} \mathbb{H}^2(J_{X_n/S_n}^{\bullet}) \stackrel{i}{\longrightarrow} \mathbb{H}^2(\omega_{X_n/S_n}^{\bullet}). \end{split}$$

The composition of the upper horizontal arrows is the definition of $c_{\rm dR}$. We are done.

The following corollary is the semistable version of [BO, Corollary (3.7)].

Corollary 2.6. If $[L] \in \operatorname{Pic}^{\log}(Y)$ (resp. $[L] \in \operatorname{Pic}(Y)$) lifts to $\operatorname{Pic}^{\log}(X)$ (resp. $\operatorname{Pic}(X)$), then

$$\rho_{\pi}(c_{\operatorname{crys}}([L])) \in H^2_{\operatorname{dR}}(X_K/K)$$

is in $F^1H^2_{\mathrm{dR}}(X_K/K)$.

3. p-adic Lefschetz (1,1) theorem in semistable case.

The following theorem is the semistable version of [BO, Theorem (3.8)].

Theorem 3.1. The element $[L] \in \operatorname{Pic}^{\log}(Y)_{\mathbb{Q}}$ (resp. $[L] \in \operatorname{Pic}(Y)_{\mathbb{Q}}$) lifts to $\operatorname{Pic}^{\log}(X)_{\mathbb{Q}}$, (resp. $\operatorname{Pic}(X)_{\mathbb{Q}}$),

if and only if

$$\rho_{\pi}(c_{\operatorname{crys}}([L])) \in H^2_{\operatorname{dR}}(X_K/K)$$

is in $F^1H^2_{\mathrm{dR}}(X_K/K)$.

Lemma 3.2. The element $[L] \in \operatorname{Pic}^{\log}(Y)_{\mathbb{Q}}$ (resp. $[L] \in \operatorname{Pic}(Y)_{\mathbb{Q}}$) lifts to $\operatorname{Pic}^{\log}(X_1)_{\mathbb{Q}}$ (resp. $\operatorname{Pic}(X_1)_{\mathbb{Q}}$).

Proof. Let r be an integer satisfying $\pi^{p^r} \in pO_K$. Then, the r-powered absolute Frobenius $F_{S_1}^r: S_1 \to S_1$ (resp. $F_{X_1}X_1 \to X_1$) factors through $S_1 \xrightarrow{f} \operatorname{Spec} k \to S_1$ (resp. $X_1 \xrightarrow{g} Y \to X_1$). Thus, $X_1 \times_{S_1, F_{X_1}^r} S_1$ is isomorphic to $Y \times_{\operatorname{Spec} k, f} S_1$. So, $g^*[L] \in \operatorname{Pic}^{\log}(X_1)$ (resp. $g^*[L] \in \operatorname{Pic}^{\log}(X_1)$) is a lift of $[L^{p^r}]$. Inverting p^r , we get a lift

Proof. (Proof of Theorem 3.1) The direction of "only if" is Corollary 2.6. We show the other direction. Assume $\rho_{\pi}(c_{\text{crys}}([L]))$ is in $F^1H^2_{\text{dR}}(X_K/K)$. By Lemma 3.2, we can take a lift [L'] of [L] in $\text{Pic}^{\log}(X_1)_{\mathbb{Q}}$ (resp. $\text{Pic}(X_1)_{\mathbb{Q}}$). Then by the commutative diagram (2) in the proof of Proposition 2.4, the image of $c^S_{\text{crys}}([L'])$ in $H^2_{\text{dR}}(X_K/K)$ is in $F^1H^2_{\text{dR}}(X_K/K)$. By multiplying suitable integer, we can assume that the image of

 $c_{\operatorname{crys}}^S([L'])$ in $H^2_{\operatorname{dR}}(X_K/K) \cong H^2_{\operatorname{dR}}(X/S)_{\mathbb{Q}}$ comes from $F^1H^2_{\operatorname{dR}}(X/S)$. By the following commutative diagram

$$\begin{split} \operatorname{Pic}^{\operatorname{log}}(X_1)(\operatorname{resp.}\,\operatorname{Pic}(X_1)) & \stackrel{\partial}{\longrightarrow} \mathbb{H}^2(J_{X/S}^{\bullet,\times}) & \stackrel{\sim}{\longrightarrow} \mathbb{H}^2(J_{X/S}^{\bullet}) & \stackrel{i}{\longrightarrow} \mathbb{H}^2(\omega_{X/S}^{\bullet}) \\ & \downarrow & & \downarrow & & \downarrow \\ \operatorname{Pic}^{\operatorname{log}}(X_1)(\operatorname{resp.}\,\operatorname{Pic}(X_1)) & \stackrel{\partial}{\longrightarrow} H^2(1+p\mathcal{O}_X) & \stackrel{\sim}{\longrightarrow} H^2(p\mathcal{O}_X) & \stackrel{i}{\longrightarrow} H^2(\mathcal{O}_X), \end{split}$$

the image of $c_{\text{crys}}^S([L']) = i \circ \log \circ \partial([L'])$ in $H^2(X, \mathcal{O}_X)$ is zero, where $H^2(-)$'s in the lower horizontal line mean $H^2(X, -)$. The second log in the above diagram is the composite

$$H^2(X, 1 + p\mathcal{O}_X) \cong H^2(\widehat{X}, 1 + p\mathcal{O}_{\widehat{X}}) \xrightarrow{\log, \sim} H^2(\widehat{X}, p\mathcal{O}_{\widehat{X}}) \cong H^2(X, p\mathcal{O}_X),$$

where \widehat{X} is the *p*-adic formal completion of X, and we used formal GAGA twice here. The composition

$$p\mathcal{O}_X \hookrightarrow \mathcal{O}_X \xrightarrow{p,\sim} p\mathcal{O}_X$$

is the multiplication by p. Thus, the image of $p \cdot \log \circ \partial([L'])$ in $H^2(X, p\mathcal{O}_X)$ is zero. The homomorphism log is an isomorphism, so the image of $p\partial([L'])$ in $H^2(X, 1+p\mathcal{O}_X)$ is zero. On the other hand, the exact sequence

$$0 \to 1 + p\mathcal{O}_X \to (\mathcal{O}_X^{\times})^{\log} \to (\mathcal{O}_{X_1}^{\times})^{\log} \to 0$$
(resp. $0 \to 1 + p\mathcal{O}_X \to \mathcal{O}_X^{\times} \to \mathcal{O}_{X_1}^{\times} \to 0$)

induces an exact sequence

$$\operatorname{Pic}^{\log}(X) \to \operatorname{Pic}^{\log}(X_1) \to H^2(X, 1 + p\mathcal{O}_X)$$

(resp. $\operatorname{Pic}(X) \to \operatorname{Pic}(X_1) \to H^2(X, 1 + p\mathcal{O}_X)$).

Here, p[L'] goes to zero in $H^2(X, 1 + p\mathcal{O}_X)$. Therefore, p[L'] comes from $\operatorname{Pic}^{\log}(X)$ (resp. $\operatorname{Pic}(X)$). So, [L'] comes from $\operatorname{Pic}^{\log}(X)_{\mathbb{Q}}$ (resp. $\operatorname{Pic}(X)_{\mathbb{Q}}$).

4. An application to Picard number jumping locus.

We consider a generalization of the Maulik-Poonen result ([MP]).

First, we set up a situation. Let C be the completion of an algebraic closure of K, and O_C be its valuation ring. Let B be an irreducible separated O_K -scheme of finite type, and let $f: \mathcal{X} \to B$ be a proper semistable morphism such that $f_K: \mathcal{X}_K \to B_K$ is smooth, where ()_K's mean $K \otimes_{O_K}$. Let $M_{\mathcal{X}}$ and M_B be log-structures on \mathcal{X} and B defined by $\mathcal{X} \otimes_{O_K} k$ and $B \otimes_{O_K} k$ respectively. Let $s, t \in B$ be such that s is a specialization of t (i.e., s is in the closure of $\{t\}$), char $\kappa(t) = 0$ and char $\kappa(s) = p$. Let $(\mathcal{X}_{\bar{t}}, M_{\mathcal{X}_{\bar{t}}})$ and $(\mathcal{X}_{\bar{s}}, M_{\mathcal{X}_{\bar{s}}})$ be the fiber of $(\mathcal{X}, M_{\mathcal{X}})$ at \bar{t} and \bar{s} respectively. By the same way as homomorphism (1), we have a homomorphism

$$\operatorname{sp}_{\bar{t},\bar{s}}:\operatorname{NS}(\mathcal{X}_{\bar{t}}) \to \operatorname{NS}^{\operatorname{log}}(\mathcal{X}_{\bar{s}}).$$

Lemma 4.1. (1) The homomorphism

$$\mathbb{Z}[1/p] \otimes \operatorname{sp}_{\bar{t},\bar{s}} : \operatorname{NS}(\mathcal{X}_{\bar{t}})[1/p] \to \operatorname{NS}^{\log}(\mathcal{X}_{\bar{s}})[1/p].$$

is injective, and its cokernel is torsion-free.

(2) We have $\rho(\mathcal{X}_{\bar{t}}) > \rho(\mathcal{X}_{\bar{s}})$.

(3) If $\operatorname{sp}_{\bar{t},\bar{s}}$ maps a class [L] to an ample class, then L is ample.

Proof. These can be shown by a similar way as [MP, Proposition 3.6 (b), (c), (d)]. We will give a rough sketch here. For the details, see [MP, Proposition 3.6]. By using the following diagram (here, we replaced $H^2_{\text{\'et}}(\mathcal{X}_{\bar{t}}, \mathbb{Z}_{\ell}(1)) \cong H^2_{\text{\'et}}(\mathcal{X}_{\bar{s}}, \mathbb{Z}_{\ell}(1))$ by $H^2_{\text{\'et}}(\mathcal{X}_{\bar{t}}, \mathbb{Z}_{\ell}(1)) \cong H^2_{\log_{-\acute{et}}}((\mathcal{X}_{\bar{s}}, M_{\mathcal{X}_{\bar{s}}}), \mathbb{Z}_{\ell}(1))$ (see, [N])) for any $\ell \neq p$:

$$\begin{split} \operatorname{NS}(\mathcal{X}_{\bar{t}}) \otimes \mathbb{Z}_{\ell} & \longrightarrow H^2_{\operatorname{\acute{e}t}}(\mathcal{X}_{\bar{t}}, \mathbb{Z}_{\ell}(1)) \\ & & \downarrow^{\operatorname{sp}_{\bar{t}, \bar{s}}} & \downarrow \cong \\ \operatorname{NS}^{\operatorname{log}}(\mathcal{X}_{\bar{s}}) \otimes \mathbb{Z}_{\ell} & \longrightarrow H^2_{\operatorname{log-\acute{e}t}}((\mathcal{X}_{\bar{s}}, M_{\mathcal{X}_{\bar{s}}}), \mathbb{Z}_{\ell}(1)), \end{split}$$

we have

$$\operatorname{coker}(\operatorname{sp}_{\bar{t},\bar{s}}\otimes\mathbb{Z}_{\ell})\subset\operatorname{coker}\{\operatorname{NS}(\mathcal{X}_{\bar{t}})\otimes\mathbb{Z}_{\ell}\to H^2_{\operatorname{\acute{e}t}}(\mathcal{X}_{\bar{t}},\mathbb{Z}_{\ell}(1))\}\cong T_{\ell}\operatorname{Br}\mathcal{X},$$

where the last term is automatically torsion-free. This induces part (1) and (2). The part (3) comes from [MP, Proposition 3.3], which is essentially [EGA III.I, 4.7.1]. \square

Let M_{B_k} be the pull-back of M_B on B_k . For a p-adic formal O_K -log-scheme (T, M_T) , let T_1 be the closed subscheme defined by $p\mathcal{O}_T$, and let T_0 be the associated reduced subscheme $(T_1)_{\mathrm{red}}$. Let M_{T_1} and M_{T_0} be the pull-back of M_T to T_1 and T_0 respectively. The notion of enlargement of [O, Definition 2.1] is generalized to the semistable case by Shiho [S, Definition 2.1.1]. We use his definition (note that we use fine log (formal) schemes, not fine saturated log (formal) schemes in his definition). By the same way as [O, Theorem 3.1 and 3.7], we can show that for any $q \in \mathbb{Z}_{\geq 0}$, there exists a log-convergent isocrystal $E := R^q f_{\mathrm{crys}*} \mathcal{O}_{(\mathcal{X}, M_{\mathcal{X}})/(W, N^0)} \otimes_W K$ on B_k with isomorphism of K-vector spaces

$$E_{[s]} \cong H^q_{\text{crvs}}((\mathcal{X}_s, M_{\mathcal{X}_s})/(W, N^0)) \otimes_W K$$

for each $s \in B(k)$, where [s] is a enlargement given by $((\operatorname{Spf} O_K, N), (\operatorname{Spec} k, N_1^0) \xrightarrow{s} (B_k, M_{B_k}))$.

Proposition 4.2. Let ((T,M),z) be an enlargement of (B_k,M_{B_k}) . Let

$$f_0: (\mathcal{X}_0, M_{\mathcal{X}_0}) \to (T_0, M_{T_0})$$

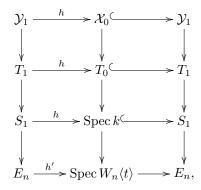
be obtained from $f:(\mathcal{X},M_{\mathcal{X}})\to (B,M_B)$ by base change along $z:T_0\to B_k\hookrightarrow B$. Let $g:\mathcal{Y}\to T$ be a proper semistable lifting of f_0 . Let $M_{\mathcal{Y}}$ be the log-structure defined by $\mathcal{Y}\otimes_{O_K}k$. Then for each $q\in\mathbb{Z}_{\geq 0}$, there is a canonical isomorphism

$$(R^q f_{\operatorname{crys}*} \mathcal{O}_{(\mathcal{X}_0, M_{\mathcal{X}_0})/(W, N^0)} \otimes_W K)_{(T, M_T)} \cong K \otimes R^q g_* \omega_{\mathcal{Y}/T}^{\bullet},$$

where $\omega_{\mathcal{Y}/T}^{\bullet}$ denotes $\Omega_{\mathcal{Y}/T}^{\bullet}(\log(M_{\mathcal{Y}}/M_T))$.

Proof. We may assume that we have a Frobenius lift F_T and $F_{\mathcal{Y}}$ on T and \mathcal{Y} respectively, since the problem is local. Let r be an integer satisfying $\pi^{p^r} \in pO_K$. Then, the r-powered absolute Frobenius $F_{S_1}^r: S_1 \to S_1$ factors through $S_1 \xrightarrow{h} \operatorname{Spec} k \to S_1$

 S_1 . Thus, $\mathcal{Y}_0 \times_{S_1, F_{Y_1}^r} S_1$ and $T_1 \times_{S_1, F_{T_1}^r} S_1$ are isomorphic to $\mathcal{X}_0 \times_{\operatorname{Spec} k, h} S_1$ and $T_0 \times_{\operatorname{Spec} k, h} S_1$ respectively. Consider the following commutative diagram:



where h' is defined by $t \mapsto t^{p^r}$ and σ^r , and three composite horizontal arrows are r-powered absolute Frobenii. The composition of the above isomorphisms does not depend on r. Then, we have isomorphisms

$$R_{E} \otimes_{W} R^{q} f_{\operatorname{crys}*} \mathcal{O}_{(\mathcal{X}_{0}, M_{\mathcal{X}_{0}})/(W, N^{0})} \otimes \mathbb{Q} \stackrel{\sim, \varphi^{r}}{\longleftarrow} R_{E} \otimes_{\varphi^{r}, W} R^{q} f_{\operatorname{crys}*} \mathcal{O}_{(\mathcal{X}_{0}, M_{\mathcal{X}_{0}})/(W, N^{0})} \otimes \mathbb{Q}$$

$$\cong R_{E} \otimes_{h, W\langle t \rangle} R^{q} f_{\operatorname{crys}*} \mathcal{O}_{(\mathcal{X}_{0}, M_{\mathcal{X}_{0}})/(W\langle t \rangle, N_{W\langle t \rangle})} \otimes \mathbb{Q}$$

$$\cong R_{E} \otimes_{\varphi^{r}, R_{E}} R^{q} f_{\operatorname{crys}*} \mathcal{O}_{(\mathcal{Y}_{1}, M_{\mathcal{Y}_{1}})/(E, M_{E})} \otimes \mathbb{Q}$$

$$\stackrel{\sim, \varphi^{r}}{\longrightarrow} R^{q} f_{\operatorname{crys}*} \mathcal{O}_{(\mathcal{Y}_{1}, M_{\mathcal{Y}_{1}})/(E, M_{E}))} \otimes \mathbb{Q} \cong R^{q} f_{\operatorname{crys}*} \mathcal{O}_{(\mathcal{Y}_{n}, M_{\mathcal{Y}_{n}})/(E, M_{E})} \otimes \mathbb{Q}.$$

This induces the following isomorphism:

$$(R^{q}f_{\operatorname{crys}*}\mathcal{O}_{(\mathcal{X}_{0},M_{\mathcal{X}_{0}})/(W,N^{0})} \otimes_{W} K)_{(T,M_{T})} \cong (R^{q}f_{\operatorname{crys}*}\mathcal{O}_{(\mathcal{Y}_{n},M_{\mathcal{Y}_{n}})/(E,M_{E})} \otimes_{W} K)_{(T,M_{T})}$$

$$\cong (R^{q}f_{\operatorname{crys}*}\mathcal{O}_{(\mathcal{Y}_{n},M_{\mathcal{Y}_{n}})/(S,N)} \otimes_{W} K)_{(T,M_{T})}$$

$$\cong \varprojlim_{n} (R^{q}f_{\operatorname{crys}*}\mathcal{O}_{(\mathcal{Y}_{n},M_{\mathcal{Y}_{n}})/(S,N)} \otimes_{W} K)_{(T,M_{T})} \cong K \otimes R^{q}g_{*}\omega_{\mathcal{Y}/T}^{\bullet}.$$

The following theorem is a semistable version of the Maulik-Poonen result.

Theorem 4.3. The set

$$B(O_C)_{\text{jumping}} := \{ b \in B(O_C) \mid \rho(\mathcal{X}_b \otimes_{O_C} \overline{k}) > \rho(\mathcal{X}_{\bar{\eta}}) \}$$

is nowhere dense in $B(O_C)$ for the analytic topology.

Proof. It can be shown by the same way as [MP, Theorem 1.7]. Here, we have to replace [MP, Theorem 4.24] and [MP, Theorem 4.21] by Theorem 3.1 and Proposition 4.2 respectively. We will give a rough idea here.

Let E be the above log-convergent isocrystal E for q=2. We have the canonical isomorphism

$$E_{[s]} \cong H^2_{\operatorname{crys}}((\mathcal{X}_s, M_{\mathcal{X}_s})/(W, N^0)) \otimes_W K$$

for each $s \in B(k)$, Take $[L_k] \in \text{Pic}^{\log}(\mathcal{X}_s)$. Then

$$c_{\operatorname{crys}}([L_k]) \in H^2_{\operatorname{crys}}((\mathcal{X}_s, M_{\mathcal{X}_s})/(W, N^0)) \otimes_W K$$

gives rise to a constant section $\gamma_{\text{crys}}([L_k])_T$ of $H^2_{\text{crys}}((\mathcal{X}_s, M_{\mathcal{X}_s})/(W, N^0)) \otimes_W \mathcal{O}_T \cong E_T$ for a morphism of enlargement $T \to [s]$. By using Proposition 4.2, this gives a section $\gamma_{\text{dR}}([L_k])_T$ of $K \otimes R^2 f_* \omega^{\bullet}_{(\mathcal{X}_T, M_{\mathcal{X}_T})/(T, M_T)}$, which can be mapped to a section $\gamma_{02}([L_k])_T$ of the quotient sheaf $K \otimes R^2 f_* \omega^{\bullet}_{(\mathcal{X}_T, M_{\mathcal{X}_T})/(T, M_T)}/\text{Fil}^1$. We can "evaluate" $\gamma_{\text{crys}}([L_k])_T$, $\gamma_{\text{dR}}([L_k])_T$, and $\gamma_{02}([L_k])_T$ at $b': \operatorname{Spf} O_K \to T$. By using Theorem 3.1 the locus where $[L_k]$ is in the image of $\operatorname{sp}_{\bar{t},\bar{s}}$ is the vanishing locus of $\gamma_{02}([L_k])$. By using this fact and the finitely generatedness of Néron-Severi groups, the Picard number jumping locus on a polydisk neighborhood U (see [MP, Definition 4.1] for the definition) is written in the form of

$$\bigcup_{\lambda \in \Lambda, \ \lambda \neq 0} (\text{zeros of } \lambda \text{ in } U),$$

where Λ is a finitely generated \mathbb{Z} -submodule of (convergent power series ring on U)ⁿ (see [MP, Lemma 4.2]). Finally, by using linear algebraic arguments, they showed the above union is nowhere dense (see [MP, Proposition 5.1]).

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