

# The orbit intersection problem for linear spaces and semiabelian varieties

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Let  $f_1, f_2 : \mathbb{C}^N \rightarrow \mathbb{C}^N$  be affine maps  $f_i(\mathbf{x}) := A_i\mathbf{x} + \mathbf{y}_i$  (where each  $A_i$  is an  $N$ -by- $N$  matrix and  $\mathbf{y}_i \in \mathbb{C}^N$ ), and let  $\mathbf{x}_1, \mathbf{x}_2 \in \mathbb{A}^N(\mathbb{C})$  such that  $\mathbf{x}_i$  is not preperiodic under the action of  $f_i$  for  $i = 1, 2$ . If none of the eigenvalues of the matrices  $A_i$  is a root of unity, then we prove that the set  $\{(n_1, n_2) \in \mathbb{N}_0^2 : f_1^{n_1}(\mathbf{x}_1) = f_2^{n_2}(\mathbf{x}_2)\}$  is a finite union of sets of the form  $\{(m_1k + \ell_1, m_2k + \ell_2) : k \in \mathbb{N}_0\}$  where  $m_1, m_2, \ell_1, \ell_2 \in \mathbb{N}_0$ . Using this result, we prove that for any two self-maps  $\Phi_i(x) := \Phi_{i,0}(x) + y_i$  on a semiabelian variety  $X$  defined over  $\mathbb{C}$  (where  $\Phi_{i,0} \in \text{End}(X)$  and  $y_i \in X(\mathbb{C})$ ), if none of the eigenvalues of the induced linear action  $D\Phi_{i,0}$  on the tangent space at  $0 \in X$  is a root of unity (for  $i = 1, 2$ ), then for any two non-preperiodic points  $x_1, x_2$ , the set  $\{(n_1, n_2) \in \mathbb{N}_0^2 : \Phi_1^{n_1}(x_1) = \Phi_2^{n_2}(x_2)\}$  is a finite union of sets of the form  $\{(m_1k + \ell_1, m_2k + \ell_2) : k \in \mathbb{N}_0\}$  where  $m_1, m_2, \ell_1, \ell_2 \in \mathbb{N}_0$ . We give examples to show that the above condition on eigenvalues is necessary and introduce certain geometric properties that imply such a condition. Our method involves an analysis of certain systems of polynomial-exponential equations and the  $p$ -adic exponential map for semiabelian varieties.

## 1. Introduction

Throughout this paper, let  $\mathbb{N}$  denote the set of positive integers,  $\mathbb{N}_0 := \mathbb{N} \cup \{0\}$ , and let  $K$  be an algebraically closed field of characteristic 0. An arithmetic progression is a set of the form  $\{mk + \ell : k \in \mathbb{N}_0\}$  for some  $m, \ell \in \mathbb{N}_0$ ; note that when  $m = 0$ , this set is a singleton. For a map  $f$  from a set  $X$  to itself and for  $m \in \mathbb{N}$ , we let  $f^m$  denote the  $m$ -fold iterate  $f \circ \cdots \circ f$ , and let  $f^0$  denote the identity map on  $X$ . If  $x \in X$ , we define the orbit

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*2010 Mathematics Subject Classification:* Primary: 37P55, 11C99. Secondary: 11B37, 11D61.

*Key words and phrases:* dynamical Mordell-Lang problem, intersections of orbits, affine transformations, semiabelian varieties.

$\mathcal{O}_f(x) := \{f^n(x) : n \in \mathbb{N}_0\}$ . We say that  $x$  is preperiodic (or more precisely  $f$ -preperiodic) if its orbit  $\mathcal{O}_f(x)$  is finite.

In algebraic dynamics, one studies the system  $\{\Phi^n : n \in \mathbb{N}_0\}$  when  $X$  is a (quasi-projective) variety over  $K$  and  $\Phi$  is a  $K$ -morphism. Motivated by the classical Mordell-Lang conjecture proved by Faltings [Fal94] and Vojta [Voj96], the Dynamical Mordell-Lang Conjecture predicts that for a given  $x \in X(K)$  and a closed subvariety  $V$  of  $X$ , the set  $\{n \in \mathbb{N} : \Phi^n(x) \in V(K)\}$  is a finite union of arithmetic progressions (see [GT09, Conjecture 1.7] along with the earlier work of Denis [Den94] and Bell [Bel06]). Considering  $X$  a semiabelian variety and  $\Phi$  the translation by a point  $x \in X(K)$ , one recovers the cyclic case in the classical Mordell-Lang conjecture from the above stated Dynamical Mordell-Lang Conjecture. So, it is natural to seek a generalization of the Dynamical Mordell-Lang Conjecture (see [GTZ11]) to a statement which would contain as a special case the full statement of the classical Mordell-Lang conjecture. Therefore one can study the general *dynamical Mordell-Lang problem* by considering commuting  $K$ -morphisms  $f_1, \dots, f_r$  from  $X$  to itself and asking whether the set

$$S(X, f_1, \dots, f_r, x, V) := \{(n_1, \dots, n_r) \in \mathbb{N}_0^r : f_1^{n_1} \circ \dots \circ f_r^{n_r}(x) \in V(K)\}$$

is a finite union of translates of subsemigroups of  $\mathbb{N}_0^r$ . This more general problem turns out to be rather delicate even when the underlying variety  $X$  is an algebraic group and each  $f_i$  is an endomorphism. Indeed, a recent theorem of Scanlon-Yasufuku [SY13] establishes that for any system of polynomial-exponential equations, its set of solutions is equal to a set of the form  $S(X, f_1, \dots, f_r, x, V)$  where  $X$  is an algebraic torus,  $V$  is an algebraic subgroup, and  $f_1, \dots, f_r$  are some commuting endomorphisms of  $X$ .

The Dynamical Mordell-Lang Conjecture has sparked significant interest and there have been many partial results; we refer the readers to [BGT16] for a survey of recent work. On the other hand, there are very few results known for the more general dynamical Mordell-Lang problem. In fact, not much is known even when we restrict to the following special case called *the orbit intersection problem*:

**Question 1.1.** *Let  $X$  be a variety over a  $K$ , let  $r \geq 2$ . For  $1 \leq i \leq r$ , let  $\Phi_i$  be a  $K$ -morphism from  $X$  to itself, and let  $\alpha_i \in X(K)$  that is not  $\Phi_i$ -preperiodic. When can we conclude that the set  $S := \{(n_1, \dots, n_r) \in \mathbb{N}_0^r : \Phi_1^{n_1}(\alpha_1) = \dots = \Phi_r^{n_r}(\alpha_r)\}$  is a finite union of sets of the form  $\{(n_1 k + \ell_1, \dots, n_r k + \ell_r) : k \in \mathbb{N}_0\}$  for some  $n_1, \dots, n_r, \ell_1, \dots, \ell_r \in \mathbb{N}_0$ ?*

**Remark 1.2.** For  $1 \leq i \leq r$ , let  $f_i$  be the self-map of  $X^r$  induced by the map  $\Phi_i$  on the  $i$ -th factor and the identity map on all the other factors. Let  $\Delta$  be the diagonal of  $X^r$ . The set  $S$  in Question 1.1 is exactly the set of  $(n_1, \dots, n_r) \in \mathbb{N}_0^r$  such that  $(f_1^{n_1} \circ \dots \circ f_r^{n_r})(\alpha_1, \dots, \alpha_r) \in \Delta$ . This explains why Question 1.1 is a special case of the general dynamical Mordell-Lang problem with the further requirement that  $S$  is a finite union of translates of subsemigroups of  $\mathbb{N}_0^r$  whose rank is at most 1. When some  $\alpha_i$  is preperiodic, it is trivial to describe the set  $S$ . This justifies our assumption on the  $\alpha_i$ 's.

**Remark 1.3.** Motivated by the examples in [GTZ11, Section 6], one may ask whether the following condition is sufficient for Question 1.1: there do not exist  $m \in \mathbb{N}$  and a positive dimensional closed subvariety  $Y$  of  $X$  such that  $\Phi_i^m$  restricts to an automorphism on  $Y$  for some  $i \in \{1, \dots, r\}$ . This condition is indeed sufficient when  $X$  is a semi-abelian variety (see Theorem 1.4 and Proposition 4.4); also this condition is often necessary as shown by various examples such as the following one. If  $X = \mathbb{A}^2$ ,  $m = 2$ , and  $\Phi_1 : \mathbb{A}^2 \rightarrow \mathbb{A}^2$  is given by  $\Phi_1(x, y) = (x + y^2, y^3)$  while  $\Phi_2 : \mathbb{A}^2 \rightarrow \mathbb{A}^2$  is given by  $\Phi_2(x, y) = (x^2 + y, y^2)$ , then both  $\Phi_1$  and  $\Phi_2$  restrict to endomorphisms of  $V := \mathbb{A}^1 \times \{1\}$ , and moreover  $(\Phi_1)|_V$  is actually an automorphism. Then the set of all pairs  $(n_1, n_2)$  such that  $\Phi_1^{n_1}(0, 1) = \Phi_2^{n_2}(0, 1)$  is infinite, but it is not a finite union of cosets of subsemigroups of  $\mathbb{N}_0 \times \mathbb{N}_0$ .

Question 1.1 in the case  $X = \mathbb{P}_K^1$  and each  $\Phi_i$  is a polynomial of degree larger than 1 has been settled by Tucker, Zieve, and the first author [GTZ08, GTZ12]. They also obtain various results for the general Mordell-Lang problem when  $X$  is a semiabelian variety and the self-maps are endomorphisms satisfying certain technical conditions [GTZ11]. The case when  $X = \mathbb{P}_K^1$  endowed by the action of certain generic rational functions is also established in an ongoing joint work of Zieve and the second author.

*The goal of this paper is to answer Question 1.1 when  $X$  is a semiabelian variety and when  $X = \mathbb{A}_K^n$  and the self-maps are affine transformations.*

**Theorem 1.4.** *Let  $X$  be a semiabelian variety over  $K$  and  $r \geq 2$ . For  $1 \leq i \leq r$ , let  $\Phi_i : X \rightarrow X$  be a  $K$ -morphism and let  $\alpha_i \in X(K)$  that is not  $\Phi_i$ -preperiodic. Let  $\Phi_{i,0}$  be a  $K$ -endomorphism of  $X$  and  $\alpha_{i,0} \in X(K)$  such that  $\Phi_i(x) = \Phi_{i,0}(x) + \alpha_{i,0}$  and let  $D\Phi_{i,0}$  be the linear transformation of the tangent space at the identity of  $X$  induced by  $\Phi_{i,0}$ . If none of the eigenvalues of  $D\Phi_{i,0}$  is a root of unity for every  $i$ , then the set*

$$S := \{(n_1, \dots, n_r) \in \mathbb{N}_0^r : \Phi_1^{n_1}(\alpha_1) = \dots = \Phi_r^{n_r}(\alpha_r)\}$$

is a finite union of sets of the form  $\{(n_1k + \ell_1, \dots, n_rk + \ell_r) : k \in \mathbb{N}_0\}$  for some  $n_1, \dots, n_r, \ell_1, \dots, \ell_r \in \mathbb{N}_0$ .

We note that any self-map of a semiabelian variety is indeed a composition of a translation with an algebraic group endomorphism (see [NW14, Theorem 5.1.37]). The structure for self-maps on semiabelian varieties  $X$  is similar to the structure of affine self-maps on  $\mathbb{A}^N$ , and this allows us to reduce Theorem 1.4 (using the  $p$ -adic exponential map on  $X$ ) to proving Question 1.1 for affine endomorphisms of  $\mathbb{A}^N$  (see Theorem 1.6).

**Example 1.5.** We present an example to illustrate that the conclusion of Theorem 1.4 would fail without the assumption on the eigenvalues of the linear maps  $D\Phi_{i,0}$ 's. Consider the case  $X = \mathbb{G}_m$ ,  $\Phi_1(x) = 2x$ ,  $\Phi_2(x) = x^2$ ,  $\alpha_1 = 1$ , and  $\alpha_2 = 2$ ; then  $\{(m, n) \in \mathbb{N}_0 \times \mathbb{N}_0 : \Phi_1^m(\alpha_1) = \Phi_2^n(\alpha_2)\} = \{(2^n, n) : n \in \mathbb{N}_0\}$ .

In Section 4, we present the proof of Theorem 1.4 and give some geometric conditions that imply the condition on the linear transformations  $D\Phi_{i,0}$  in Theorem 1.4. For instance, let  $\Phi_0$  be an endomorphism of a semiabelian variety  $X$  defined over  $K$ , then none of the eigenvalues of  $D\Phi_0$  is a root of unity *if and only if*  $\Phi_0$  does not preserve a non-constant fibration (see Proposition 4.3). Here we say that  $\Phi_0$  preserves a non-constant fibration if there exists a non-constant *rational* map  $f : X \rightarrow \mathbb{P}_K^1$  such that  $f \circ \Phi_0 = f$ .

In [GTZ11, Theorem 1.3 (a)], a special case of Theorem 1.4 was obtained, i.e. when each  $\Phi_i = \Phi_{i,0}$  is a group endomorphism and moreover, the Jacobian at  $0 \in X$  of each  $\Phi_i$  is *diagonalizable*. The hypothesis from [GTZ11] about the diagonalizability of the Jacobians of  $\Phi_i$  greatly simplifies the problem since it allows one to reduce the problem to classical unit equations in diophantine geometry. In the absence of the diagonalizability condition, we have to use a much more refined analysis of the pairs  $(m, n) \in \mathbb{N}_0 \times \mathbb{N}_0$  such that  $a_m = b_n$  for two arbitrary linear recurrence sequences. The result from [GTZ11] dealt only with the much easier case when the characteristic polynomials for these two linear recurrence sequences have *non-repeated* roots. As it was noted in [GTZ11, Section 6], if one of the maps  $\Phi_i$  is an automorphism of  $X$  (or induces an automorphism of a positive dimensional subvariety of  $X$ ), then the set  $S$  may no longer be a finite union of cosets of subsemigroups of  $\mathbb{N}_0^r$ . Essentially, the problem with one of the endomorphisms being actually an automorphism is the following: assuming  $X$ ,  $\alpha_i$  and  $\Phi_i$  are defined over a number field, then the points in  $\mathcal{O}_{\Phi_i}(\alpha_i)$  are not sufficiently sparse with respect to a Weil height on  $X$  and this increases the probability that  $\mathcal{O}_{\Phi_i}(\alpha_i)$  intersects the other orbits.

The most important ingredient in the proof of Theorem 1.4 is the following result which also answers Question 1.1 when  $X = \mathbb{A}_K^n$  and the maps  $\Phi_i$ 's are affine transformations.

**Theorem 1.6.** *Let  $r, N \in \mathbb{N}$  with  $r \geq 2$ . For  $i \in \{1, \dots, r\}$ , let  $f_i : K^N \rightarrow K^N$  be an affine map which means there exist an  $N \times N$ -matrix  $A_i \in M_N(K)$  and a vector  $\mathbf{x}_i \in K^N$  such that  $f_i(\mathbf{x}) = A_i\mathbf{x} + \mathbf{x}_i$  for every  $\mathbf{x} \in K^N$ . For  $i \in \{1, \dots, r\}$ , let  $\mathbf{p}_i \in K^N$  that is not  $f_i$ -preperiodic. If none of the eigenvalues of  $A_i$  is a root of unity for each  $i \in \{1, \dots, r\}$ , then the set*

$$S := \{(n_1, \dots, n_r) \in \mathbb{N}_0^r : f_1^{n_1}(\mathbf{p}_1) = \dots = f_r^{n_r}(\mathbf{p}_r)\}$$

is a finite union of sets of the form  $\{(n_1k + \ell_1, \dots, n_rk + \ell_r) : k \in \mathbb{N}_0\}$  for some  $n_1, \dots, n_r, \ell_1, \dots, \ell_r \in \mathbb{N}_0$ .

The conclusion of this theorem would fail without the assumption on the eigenvalues of the matrices  $A_i$ . For example, let  $N = 1$ ,  $r = 2$ ,  $A_1(x) = x + 1$ ,  $A_2(x) = 2x$ ,  $\mathbf{p}_1 = 0$ , and  $\mathbf{p}_2 = 1$ , then  $S = \{(2^n, n) : n \in \mathbb{N}_0\}$ . Also, Theorem 1.6 fails if one does not assume the maps  $f_i$  are affine, as shown by the following example. Let  $r = 2$ ,  $n = 1$ ,  $f_1(x) = 2x$ ,  $f_2(x) = x^2$ ,  $\mathbf{p}_1 = 1$  and  $\mathbf{p}_2 = 2$ ; then  $S = \{(2^n, n) : n \in \mathbb{N}_0\}$ .

The organization of this paper is as follows. In Section 3, we present the proof of Theorem 1.6 which requires a careful analysis of a certain system of polynomial-exponential equations in two variables. Some results on polynomial-exponential equations are given in the next section following Schmidt's exposition [Sch03]. In Section 4, Theorem 1.4 is reduced to Theorem 1.6 thanks to the use of the  $p$ -adic exponential map for an appropriate choice of the prime  $p$ .

We conclude this section with a brief discussion of the dynamical Mordell-Lang problem over fields of positive characteristic. We note right from the start that Question 1.1 fails even in the simplest examples of affine maps defined over  $\mathbb{F}_p(t)$ . Indeed, let  $\Phi_i : \mathbb{A}^1 \rightarrow \mathbb{A}^1$  be affine maps given by  $\Phi_1(x) = tx - t + 1$  and  $\Phi_2(x) = (t + 1)x$ . It is immediate to see that  $\Phi_1^m(2) = t^m + 1$  while  $\Phi_2^n(1) = (t + 1)^n$ . Then the set

$$S = \{(m, n) \in \mathbb{N}_0^2 : \Phi_1^m(2) = \Phi_2^n(1)\} = \{(p^n, p^n) : n \in \mathbb{N}_0\}.$$

The above example stems from similar examples disproving a naive formulation of the Dynamical Mordell-Lang Conjecture in positive characteristic. A variant of the Dynamical Mordell-Lang Conjecture has been proposed by Scanlon and the first author [BGT16, Chapter 13]; however there are very

few partial results since even the case of  $\mathbb{G}_m^n$  seems to be closely related to very difficult problems in diophantine geometry (see [Ghi]). We refer the readers to the discussion in [BGT16, Section 13.3] for more details. A deep theorem of Adamczewski and Bell [AB12, Theorem 1.4] implies that if  $K$  is a field of characteristic  $p > 0$ , then the set  $S$  in Theorem 1.6 is  $p$ -automatic.

## 2. Some diophantine equations involving linear recurrence sequences

### 2.1. Some classical results

A large part of this subsection follows the notation from Schmidt's article [Sch03]. All the sequences considered in this section are sequences of complex numbers. A tuple  $(a_1, \dots, a_k)$  of non-zero numbers is called non-degenerate if  $\frac{a_i}{a_j}$  is not a root of unity for  $1 \leq i < j \leq k$ . A linear recurrence sequence is called non-degenerate if the tuple of (non-zero) characteristic roots is non-degenerate. We begin with the following well-known result:

**Theorem 2.1 (Skolem-Mahler-Lech).** *Let  $\{u_n : n \in \mathbb{N}_0\}$  be a linear recurrence sequence. Then the set  $Z := \{n : u_n = 0\}$  is a finite union of arithmetic progressions. Furthermore, if  $u_n$  is non-degenerate then  $Z$  is finite.*

We now consider non-degenerate linear recurrence sequences that are not of the form  $P(n)\alpha^n$  where  $\alpha$  is a root of unity. It is convenient to write such a sequence  $u$  as:

$$(1) \quad u_n = \sum_{i=0}^q P_i(n)\alpha_i^n$$

with the following convention [Sch03, Section 11]. If some root of the characteristic polynomial is a root of unity, let this root be  $\alpha_0$ , and  $\alpha_1, \dots, \alpha_q$  the other roots. If no root of the characteristic polynomial is a root of unity, let these roots be  $\alpha_1, \dots, \alpha_q$ , and set  $\alpha_0 = 1$ ,  $P_0 = 0$ . Let  $v$  be another sequence written as

$$(2) \quad v_n = \sum_{i=0}^{q'} Q_i(n)\beta_i^n$$

with the same convention. The two sequences  $u$  and  $v$  are said to be *related* if  $q = q'$  and after a suitable reordering of  $\beta_1, \dots, \beta_q$  we have:

$$\alpha_i^a = \beta_i^b \text{ for every } i \in \{1, \dots, q\}$$

for certain non-zero integers  $a$  and  $b$ .

The next result follows from Schmidt's reformulation of a theorem by Laurent whose proof uses the celebrated Subspace Theorem:

**Theorem 2.2 (Laurent).** *Let  $u$  and  $v$  be non-degenerate linear recurrence sequences given by (1), (2), and under the convention described above. Consider the equation:*

$$u_m = v_n \text{ for } (m, n) \in \mathbb{N}_0^2$$

and let  $Z$  be the set of solutions. We have the following:

- (a) *If  $u$  and  $v$  are not related then  $Z$  is finite.*
- (b)  *$P_0(m)\alpha_0^m = Q_0(n)\beta_0^n$  for all but finitely many  $(m, n) \in Z$ .*

*Proof.* This follows from [Sch03, Theorem 11.2]. □

### 2.2. Some consequences

**Proposition 2.3.** *Let  $k \in \mathbb{N}$ , let  $a, b_1, \dots, b_k \in \mathbb{C}^*$  none of which is a root of unity. Let  $P(x), Q_1(x), \dots, Q_k(x) \in \mathbb{C}[x] \setminus \{0\}$  and let  $c \in \mathbb{C}$ . Assume that  $(b_1, \dots, b_k)$  is non-degenerate. If  $k \geq 2$  or  $c \neq 0$ , then the set*

$$Z := \left\{ (m, n) \in \mathbb{N}_0^2 : P(m)a^m = c + \sum_{i=1}^k Q_i(n)b_i^n \right\}$$

*is finite.*

*Proof.* When  $k \geq 2$ , the two linear recurrence sequences  $u_m = P(m)a^m = 0 \cdot 1^m + P(m)a^m$  and  $v_n = c \cdot 1^n + \sum_{i=1}^k Q_i(n)b_i^n$  are not related. Hence  $Z$  is finite by part (a) of Theorem 2.2. If  $Z$  is infinite, we have  $c = 0$  by part (b) of Theorem 2.2. □

If  $p$  is a prime, let  $\mathbb{C}_p$  denote the completion of the algebraic closure of  $\mathbb{Q}_p$ . It is well-known that  $\mathbb{C}_p$  is algebraically closed. We have:

**Lemma 2.4.** *Let  $\gamma \in \mathbb{C}^*$  that is not a root of unity and let  $F$  be a finitely generated subfield of  $\mathbb{C}$  containing  $\gamma$ . Then there exists a field  $\mathcal{F}$  that is either  $\mathbb{C}$  or  $\mathbb{C}_p$  together with its usual absolute value  $|\cdot|_0$  and an embedding  $\sigma : F \rightarrow \mathcal{F}$  such that  $|\sigma(\gamma)|_0 > 1$ .*

*Proof.* It suffices to prove that there exist  $\mathcal{F}$  that is  $\mathbb{C}$  or  $\mathbb{C}_p$  and an embedding  $\sigma : \mathbb{Q}(\gamma) \rightarrow \mathcal{F}$  satisfying  $|\sigma(\gamma)|_0 > 1$ . Then it is possible to extend  $\sigma$  to  $F$  since  $\mathcal{F}$  is algebraically closed and has infinite (in fact, uncountable) transcendence degree over  $\mathbb{Q}$ .

When  $\gamma$  is algebraic, since  $\gamma \in \mathbb{C}^*$  is not a root of unity, a result of Kronecker (see, for instance, [BG06, Theorem 1.5.9]) gives that there is an absolute value  $|\cdot|_v$  of the number field  $\mathbb{Q}(\gamma)$  such that  $|\gamma|_v > 1$ . This absolute value  $|\cdot|_v$  gives rise to the desired embedding into  $\mathbb{C}$  if  $v$  is archimedean, or into  $\mathbb{C}_p$  if  $|\cdot|_v$  restricts to the  $p$ -adic absolute value of  $\mathbb{Q}$ . When  $\gamma$  is transcendental, we simply map  $\gamma$  to any transcendental number outside the unit disk. □

**Proposition 2.5.** *Let  $\alpha, \beta_1, \beta_2 \in \mathbb{C}^*$  none of which is a root of unity. Let  $P_1(x), P_2(x), Q_1(x)$ , and  $Q_2(x)$  be non-zero polynomials with complex coefficients. Let  $Z$  be the set of  $(m, n) \in \mathbb{N}_0^2$  satisfying both  $P_1(m)\alpha^m = Q_1(n)\beta_1^n$  and  $P_2(m)\alpha^m = Q_2(n)\beta_2^n$ . If  $Z$  is infinite then  $\frac{\beta_1}{\beta_2}$  is a root of unity and  $\deg(P_2) - \deg(P_1) = \deg(Q_2) - \deg(Q_1)$ .*

*Proof.* For every fixed  $m$  (respectively  $n$ ), there are only finitely many  $n$  (respectively  $m$ ) such that  $(m, n) \in Z$ . Hence in every infinite subset of  $Z$ ,  $m$  and  $n$  must be unbounded.

Fix any  $\epsilon \in \mathbb{C}^*$  such that  $P_1(x) + \epsilon P_2(x)$  is not the zero polynomial. If  $(m, n) \in Z$ , we have  $(P_1(m) + \epsilon P_2(m))\alpha^m = Q_1(n)\beta_1^n + \epsilon Q_2(n)\beta_2^n$ . By Proposition 2.3, we have that  $\frac{\beta_1}{\beta_2}$  is a root of unity.

For  $(m, n) \in Z$ , we have  $|P_1(m)/P_2(m)| = |Q_1(n)/Q_2(n)|$ . By taking  $(m, n) \in Z$  when both of  $m$  and  $n$  are large, we have that  $\deg(P_2) = \deg(P_1)$ ,  $\deg(P_2) > \deg(P_1)$ ,  $\deg(P_2) < \deg(P_1)$  respectively if and only if  $\deg(Q_2) = \deg(Q_1)$ ,  $\deg(Q_2) > \deg(Q_1)$ ,  $\deg(Q_2) < \deg(Q_1)$ . For the rest of the proof, assume that  $\deg(P_2) \neq \deg(P_1)$  and  $\deg(Q_2) \neq \deg(Q_1)$ . We have  $\delta := \frac{\deg(Q_1) - \deg(Q_2)}{\deg(P_1) - \deg(P_2)} > 0$  and we need to prove that  $\delta = 1$ .

Assume that  $\delta > 1$ . From  $|P_1(m)/P_2(m)| = |Q_1(n)/Q_2(n)|$  for  $(m, n) \in Z$ , we have  $C_1 n^\delta < m < C_2 n^\delta$  for some positive constants  $C_1$  and  $C_2$ ; this is expressed succinctly as  $m = \Theta(n^\delta)$ . Let  $F$  be the field generated by  $\alpha, \beta_1, \beta_2$ , and the coefficients of  $P_1, P_2, Q_1, Q_2$ . By Lemma 2.4, we can embed  $F$  into a field  $\mathcal{F}$  which is  $\mathbb{C}$  or  $\mathbb{C}_p$  together with its usual absolute value  $|\cdot|_0$  such that



$|\alpha|_0 > 1$ . Since  $m = \Theta(n^\delta)$  and  $\delta > 1$ , we have  $|Q_1(n)\beta_1^n|_0 = o(|P_1(m)\alpha^m|_0)$ , contradiction.

The case  $\delta < 1$  can be dealt with by similar arguments. We have  $n = \Theta(m^{1/\delta})$ . We now embed  $F$  into  $\mathcal{F}$  such that  $|\beta_1|_0 > 1$  to obtain  $|P_1(m)\alpha^m|_0 = o(|Q_1(n)\beta_1^n|_0)$ , contradiction. This finishes the proof.  $\square$

### 3. Proof of Theorem 1.6

Since we may restrict to a finitely generated subfield of  $K$  over which all the objects in the statement of Theorem 1.6 are defined, and we may embed this subfield into  $\mathbb{C}$ , for the rest of this section, we assume  $K$  is a subfield of  $\mathbb{C}$ .

#### 3.1. Some reductions

First, we explain why it suffices to prove Theorem 1.6 when  $r = 2$ . Suppose that Theorem 1.6 is proved for  $r = 2$ , and assume  $r \geq 3$ . The set  $S'$  of pairs  $(m, n)$  satisfying  $f_{r-1}^m(\mathbf{p}_{r-1}) = f_r^n(\mathbf{p}_r)$  is a finite union of sets of the form  $\{(t_{r-1}k + \ell_{r-1}, t_r k + \ell_r) : k \in \mathbb{N}_0\}$  for some  $t_{r-1}, t_r, \ell_{r-1}, \ell_r \in \mathbb{N}_0$ . Fix one such set and the corresponding  $t_{r-1}, t_r, \ell_{r-1}, \ell_r$ . By ignoring finitely many pairs  $(m, n)$  in  $S'$ , we may assume that  $t_{r-1}$  and  $t_r$  are positive. We are now looking for tuples  $(n_1, \dots, n_{r-2}, k) \in \mathbb{N}_0^{r-1}$  such that:

$$f_1^{n_1}(\mathbf{p}_1) = \dots = f_{r-2}^{n_{r-2}}(\mathbf{p}_{r-2}) = (f_{r-1}^{t_{r-1}})^k(f_{r-1}^{\ell_{r-1}}(\mathbf{p}_{r-1})).$$

The map  $f_{r-1}^{t_{r-1}}$  is associated to the matrix  $A_{r-1}^{t_{r-1}}$  whose eigenvalues are not root of unity. So we have reduced to  $r - 1$  maps  $f_1, \dots, f_{r-2}, f_{r-1}^{t_{r-1}}$  at the starting points  $\mathbf{p}_1, \dots, \mathbf{p}_{r-2}, f_{r-1}^{\ell_{r-1}}(\mathbf{p}_{r-1})$  that satisfy the hypothesis of Theorem 1.6.

We now focus on the case  $r = 2$ . Let  $\tilde{\mathbf{x}}_1$  be a fixed point of  $f_1$ , equivalently  $A_1\tilde{\mathbf{x}}_1 + \mathbf{x}_1 = \tilde{\mathbf{x}}_1$ . This is possible since  $A_1 - I_N$  is invertible. Define  $\psi(\mathbf{x}) = \mathbf{x} + \tilde{\mathbf{x}}_1$  so that  $\psi^{-1} \circ f_1 \circ \psi(\mathbf{x}) = A_1\mathbf{x}$ . Hence  $f_1^n(\mathbf{x} + \tilde{\mathbf{x}}_1) = A_1^n\mathbf{x} + \tilde{\mathbf{x}}_1$ . Similarly, let  $\tilde{\mathbf{x}}_2$  be a fixed point of  $f_2$ , then we have  $f_2^n(\mathbf{x} + \tilde{\mathbf{x}}_2) = A_2^n\mathbf{x} + \tilde{\mathbf{x}}_2$ . Therefore we reduce to the problem of studying the set of pairs  $(n_1, n_2) \in \mathbb{N}_0^2$  satisfying  $A_1^{n_1}\mathbf{u} = A_2^{n_2}\mathbf{v} + \mathbf{w}$  where  $\mathbf{u}, \mathbf{v}$ , and  $\mathbf{w}$  are given vectors such that  $\mathbf{u}$  (respectively  $\mathbf{v}$ ) is not preperiodic under the map  $\mathbf{x} \mapsto A_1\mathbf{x}$  (respectively  $\mathbf{x} \mapsto A_2\mathbf{x}$ ).

Let  $P$  and  $Q$  be in  $\text{GL}_N(K)$  such that  $A_1 = P^{-1}J_1P$  and  $A_2 = Q^{-1}J_2Q$  where  $J_1$  and  $J_2$  are respectively the Jordan form of  $A_1$  and  $A_2$ . The equation  $A_1^{n_1}\mathbf{u} = A_2^{n_2}\mathbf{v} + \mathbf{w}$  is equivalent to  $J_1^{n_1}P\mathbf{u} = PQ^{-1}J_2^{n_2}Q\mathbf{v} + P\mathbf{w}$ . Replacing

$(\mathbf{u}, \mathbf{v}, \mathbf{w})$  by  $(P\mathbf{u}, Q\mathbf{v}, P\mathbf{w})$ , we reduce to proving the following (after a slight change of notation):

**Theorem 3.1.** *Let  $A, B \in M_N(K)$  be in Jordan form and let  $C \in \text{GL}_N(K)$ . Let  $\mathbf{u}, \mathbf{v}, \mathbf{w} \in K^N$  such that  $\mathbf{u}$  and  $\mathbf{v}$  are respectively not preperiodic under the maps  $\mathbf{x} \mapsto A\mathbf{x}$  and  $\mathbf{x} \mapsto B\mathbf{x}$ . If neither  $A$  nor  $B$  have an eigenvalue which is a root of unity, then the set  $S := \{(m, n) \in \mathbb{N}_0^2 : A^m\mathbf{u} = CB^n\mathbf{v} + \mathbf{w}\}$  is a finite union of sets of the form  $\{(m_0k + \ell_1, n_0k + \ell_2) : k \in \mathbb{N}_0\}$  for some  $m_0, n_0, \ell_1, \ell_2 \in \mathbb{N}_0$ .*

### 3.2. Proof of Theorem 3.1

From now on, we assume the notation of Theorem 3.1. We start with the following easy result:

**Lemma 3.2.** *Let  $P \in M_N(K)$ ,  $\mathbf{p} \in K^N$ , and  $V$  a closed subvariety of  $\mathbb{A}_K^N$ . The set  $\{n \in \mathbb{N}_0 : P^n\mathbf{v} \in V(K)\}$  is a finite union of arithmetic progressions.*

*Proof.* Let  $f_1, \dots, f_k$  be polynomials defining  $V$ . Then each  $\{f_i(P^n\mathbf{v}) : n \in \mathbb{N}_0\}$  is a linear recurrence sequence and we can apply Theorem 2.1. One can also get this result as an immediate consequence of [Bel06, Theorem 1.3].  $\square$

We may assume that the tuple of non-zero eigenvalues of  $A$  and the tuple of non-zero eigenvalues of  $B$  are non-degenerate. This is possible since we can replace the data  $(A, B, C, \mathbf{u}, \mathbf{v}, \mathbf{w})$  by  $(A^M, B^M, C, A^{r_1}\mathbf{u}, B^{r_2}\mathbf{v}, \mathbf{w})$  for some  $M \in \mathbb{N}$  and for all  $0 \leq r_1, r_2 \leq M - 1$  and establish the conclusion of Theorem 3.1 for the set of pairs  $(m, n) \in S$  satisfying  $m \equiv r_1 \pmod M$  and  $n \equiv r_2 \pmod M$ .

For  $\lambda \in \mathbb{C}$  and  $s \in \mathbb{N}$ , let  $J_{\lambda,s}$  be the Jordan matrix of size  $s$  and eigenvalue  $\lambda$ . We have the formula:

$$J_{\lambda,s}^n = \begin{bmatrix} \lambda^n & \binom{n}{1}\lambda^{n-1} & \binom{n}{2}\lambda^{n-2} & \dots & \binom{n}{s-1}\lambda^{n-s+1} \\ 0 & \lambda^n & \binom{n}{1}\lambda^{n-1} & \dots & \binom{n}{s-2}\lambda^{n-s+2} \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & \dots & \lambda^n \end{bmatrix}$$

*For convenience, we follow the convention that assigns any negative number to be a degree of the zero polynomial.*

The key observation is that if  $\lambda \neq 0$ , then there are polynomials  $P_{i,j}$  of degree  $j - i$  for  $1 \leq i, j \leq s$  such that the  $(i, j)$ -entry of  $J_{\lambda,s}^n$  is  $P_{i,j}(n)\lambda^n$  for

every  $n \in \mathbb{N}$ . If  $\lambda = 0$  and  $n \geq s$ , then  $J_{\lambda,s}^n = 0_{s,s}$  (the zero matrix) so that we can still express the  $(i, j)$ -th entry of  $J_{\lambda,s}^n$  as  $\lambda^n \cdot P_{i,j}(n)$  where  $P_{i,j}$  is any chosen polynomial of degree  $j - i$ .

So, if  $\mathbf{a} = (a_1, \dots, a_s)^T$  is a fixed column vector in  $K^s$  and  $n \geq s$ , then there are polynomials  $P_1, \dots, P_s$  such that:

$$J_{\lambda,s}^n \mathbf{a} = (P_1(n)\lambda^n, \dots, P_s(n)\lambda^n)^T$$

for every  $n \in \mathbb{N}$ . Moreover, if  $\mathbf{a} \neq \mathbf{0}$  and  $d := \max\{j : a_j \neq 0\}$  then by a direct calculation, we have  $\deg(P_1) = d - 1 \leq s - 1$ ,  $\deg(P_2) = d - 2, \dots, \deg(P_s) = d - s \leq 0$ .

We assume that the set  $S$  is infinite; otherwise there is nothing to prove. Since  $\mathbf{u}$  and  $\mathbf{v}$  are not preperiodic, for every fixed  $m$  (respectively  $n$ ), there is at most one value of  $n$  (respectively  $m$ ) such that  $(m, n) \in S$ . Hence  $m$  and  $n$  must be unbounded in every infinite subset of  $S$ . Hence it suffices to prove that the set

$$S_{\geq N} := \{(m, n) \in S : m, n \geq N\}$$

is a finite union of cosets of subsemigroups of  $\mathbb{N}_0 \times \mathbb{N}_0$  of rank at most equal to 1.

Let  $p$  be the number of Jordan blocks in  $A$ , let  $J_{\alpha_i, m_i}$  for  $1 \leq i \leq p$ ,  $\alpha_i \in \mathbb{C}$ ,  $m_i \in \mathbb{N}$ , and  $\sum_i m_i = N$  be the Jordan blocks of  $A$ . Let  $q$  be the number of Jordan blocks in  $B$ , let  $J_{\beta_j, n_j}$  for  $1 \leq j \leq q$ ,  $\beta_j \in \mathbb{C}$ ,  $n_j \in \mathbb{N}$ , and  $\sum_j n_j = N$  be the Jordan blocks of  $B$ . Note that the  $\alpha_i$ 's and  $\beta_j$ 's are not root of unity. By a previous observation, there exist polynomials  $P_{i,k}$  for  $1 \leq i \leq p$  and  $1 \leq k \leq m_i$  such that for  $m \geq N$ , we have

$$(3) \quad A^m \mathbf{u} = (P_{1,1}(m)\alpha_1^m, \dots, P_{1,m_1}(m)\alpha_1^m, P_{2,1}(m)\alpha_2^m, \dots, P_{2,m_2}(m)\alpha_2^m, \dots, P_{p,1}(m)\alpha_p^m, \dots, P_{p,m_p}(m)\alpha_p^m)^T.$$

Moreover, for  $1 \leq i \leq p$ , let  $d_i = \deg(P_{i,1})$ , then we have  $d_i \leq m_i - 1$  and  $\deg(P_{i,k}) = d_i - k + 1$  for  $1 \leq k \leq m_i$ . Similarly, there exist polynomials  $Q_{j,\ell}$  for  $1 \leq j \leq q$  and  $1 \leq \ell \leq n_j$  with  $e_j := \deg(Q_{j,1}) \leq n_j - 1$ ,  $\deg(Q_{j,\ell}) = e_j - \ell + 1$  such that for  $n \geq N$ , we have

$$(4) \quad B^n \mathbf{v} = (Q_{1,1}(n)\beta_1^n, \dots, Q_{1,n_1}(n)\beta_1^n, Q_{2,1}(n)\beta_2^n, \dots, Q_{2,n_2}(n)\beta_2^n, \dots, Q_{q,1}(n)\beta_q^n, \dots, Q_{q,n_q}(n)\beta_q^n)^T.$$

Since  $\mathbf{u}$  is not preperiodic under the map  $\mathbf{x} \mapsto A\mathbf{x}$ , there is at most one value of  $m$  such that  $A^m \mathbf{u}$  is zero. Hence the set  $\mathcal{I} := \{i : \alpha_i \neq 0 \text{ and } d_i \geq 0\}$

is non-empty. Similarly, the set  $\mathcal{J} := \{j : \beta_j \neq 0 \text{ and } e_j \geq 0\}$  is non-empty. We have the following result.

**Proposition 3.3.** *The following hold:*

- (a) *Let  $i \in \{1, \dots, p\}$  and  $k \in \{1, \dots, m_i\}$  be such that  $\alpha_i \neq 0$  and  $\deg(P_{i,k}) \geq 0$ . Then there exist  $j^* \in \{1, \dots, q\}$ ,  $\ell^* \in \{1, \dots, n_{j^*}\}$ , and a polynomial  $Q(x)$  such that  $\beta_{j^*} \neq 0$ ,  $\deg(Q) = \deg(Q_{j^*,\ell^*}) \geq 0$ , and  $P_{i,k}(m)\alpha_i^m = Q(n)\beta_{j^*}^n$  for every  $(m, n) \in S_{\geq N}$ .*
- (b) *Let  $j \in \{1, \dots, q\}$  and  $\ell \in \{1, \dots, n_j\}$  be such that  $\beta_j \neq 0$  and  $\deg(Q_{j,\ell}) \geq 0$ . Then there exist  $i^* \in \{1, \dots, p\}$ ,  $k^* \in \{1, \dots, m_{i^*}\}$ , and a polynomial  $P(x)$  such that  $\alpha_{i^*} \neq 0$ ,  $\deg(P) = \deg(P_{i^*,k^*}) \geq 0$ , and  $P(m)\alpha_{i^*}^m = Q_{j,\ell}(n)\beta_j^n$  for every  $(m, n) \in S_{\geq N}$ .*

*Proof.* For part (a), fix  $i \in \{1, \dots, p\}$  and  $k \in \{1, \dots, m_i\}$  such that  $\alpha_i \neq 0$  and  $\deg(P_{i,k}) \geq 0$ . Write  $\mu = m_1 + \dots + m_{i-1} + 1$  so that  $P_{i,1}(m)\alpha_i^m$  is the  $\mu$ -th entry of  $A^m \mathbf{u}$ . Write  $\mathbf{w} = (w_1, \dots, w_N)^T$  and express the  $\mu$ -th row of the matrix  $C$  as:

$$(c_{1,1}, \dots, c_{1,n_1}, \dots, c_{q,1}, \dots, c_{q,n_q})^T.$$

For  $(m, n) \in S_{\geq N}$ , from  $A^m \mathbf{u} = CB^n \mathbf{v} + \mathbf{w}$ , (3), and (4), we have:

$$(5) \quad P_{i,1}(m)\alpha_i^m - w_\mu = \sum_{j=1}^q \left( \sum_{\ell=1}^{n_j} c_{j,\ell} Q_{j,\ell}(n) \right) \beta_j^n = \sum_{j=1}^q Q_j(n)\beta_j^n$$

where  $Q_j(x) := \sum_{\ell=1}^{n_j} c_{j,\ell} Q_{j,\ell}(x)$ .

Recall our assumption that the non-zero elements in  $\{\beta_1, \dots, \beta_q\}$  form a non-degenerate tuple. By Proposition 2.3,  $w_\mu = 0$  and there is a unique  $j^* \in \{1, \dots, q\}$  such that  $\beta_{j^*} \neq 0$ ,  $Q(x) := Q_{j^*}(x) \neq 0$ , and for  $j \in \{1, \dots, q\} \setminus \{j^*\}$ , we have  $Q_j(n)\beta_j^n \equiv 0$  (this means either  $\beta_j = 0$  or  $Q_j(x)$  is the zero polynomial) so that equation (5) becomes:

$$(6) \quad P_{i,1}(m)\alpha_i^m = Q(n)\beta_{j^*}^n.$$

Since  $Q = \sum_{\ell=1}^{n_{j^*}} c_{j^*,\ell} Q_{j^*,\ell}$ , if we let  $\ell^*$  be minimal such that  $c_{j^*,\ell^*} \neq 0$  then  $\deg(Q_{j^*,\ell^*}) = \deg(Q) \geq 0$ . This finishes the proof of part (a).

The proof of part (b) is completely similar, this time we consider the equation  $B^n \mathbf{v} = C^{-1}A^m \mathbf{u} - C^{-1}\mathbf{w}$  and compare the rows corresponding to the entry  $Q_{j,\ell}(n)\beta_j^n$  in  $B^n \mathbf{v}$ . □

Let  $\tilde{i} \in \mathcal{I}$  be such that  $d_{\tilde{i}} = \max\{d_i : i \in \mathcal{I}\}$ . By part (a) of Proposition 3.3, there exist  $j^*$ ,  $\ell^*$ , and a polynomial  $Q(x)$  such that  $e^* := \deg(Q) = \deg(Q_{j^*, \ell^*}) \geq 0$  and

$$(7) \quad P_{\tilde{i}, 1}^z(m)\alpha_{\tilde{i}}^m = Q(n)\beta_{j^*}^n$$

for every  $(m, n) \in S_{\geq N}$ . We have:

**Proposition 3.4.** *The following hold:*

- (a)  $d_{\tilde{i}} = e^*$ , in other words  $\deg(P_{\tilde{i}, 1}^z) = \deg(Q)$ .
- (b) There exist  $\omega \in K^*$  such that  $\alpha_{\tilde{i}}^m = \omega\beta_{j^*}^n$  for every  $(m, n) \in S_{\geq N}$ .

*Proof.* First, we prove  $d_{\tilde{i}} \leq e^*$  as follows. For the entry  $P_{\tilde{i}, d_{\tilde{i}}+1}^z(m)\alpha_{\tilde{i}}^m$  in  $A^m\mathbf{u}$ , we have that  $\deg(P_{\tilde{i}, d_{\tilde{i}}+1}^z) = 0$ . Applying part (a) of Proposition 3.3 to the pair  $(\tilde{i}, d_{\tilde{i}} + 1)$ , we obtain  $j_*$ ,  $\ell_*$ , and a polynomial  $R(x)$  such that  $\beta_{j_*} \neq 0$ ,  $\deg(R(x)) = \deg(Q_{j_*, \ell_*}) \geq 0$ , and

$$(8) \quad P_{\tilde{i}, d_{\tilde{i}}+1}^z(m)\alpha_{\tilde{i}}^m = R(n)\beta_{j_*}^n$$

for every  $(m, n) \in S_{\geq N}$ . Applying Proposition 2.5 to the pair of equations (7) and (8), we have that  $d_{\tilde{i}} = e^* - \deg(R) \leq e^*$ . We also have  $\frac{\beta_{j^*}}{\beta_{j_*}}$  is a root of unity, hence  $j^* = j_*$  since the tuple of non-zero eigenvalues of  $B$  is non-degenerate.

The inequality  $e^* \leq d_{\tilde{i}}$  can be proved by similar arguments, as follows. We consider the entry  $Q_{j^*, \ell^*+e^*}(n)\beta_{j^*}^n$  in  $B^n\mathbf{v}$  for which  $\deg(Q_{j^*, \ell^*+e^*}) = 0$ . Applying part (b) of Proposition 3.3 to the pair  $(j^*, \ell^* + e^*)$ , we obtain  $i^*$ ,  $k^*$ , and a polynomial  $U(x)$  such that  $\alpha_{i^*} \neq 0$ ,  $\deg(U(x)) = \deg(P_{i^*, k^*}) \geq 0$ , and

$$(9) \quad U(m)\alpha_{i^*}^m = Q_{j^*, \ell^*+e^*}(n)\beta_{j^*}^n$$

for every  $(m, n) \in S_{\geq N}$ . Applying Proposition 2.5 to the pair of equations (7) and (9), we have that  $d_{\tilde{i}} - \deg(U) = e^*$ . This finishes the proof of part (a).

For part (b), from  $d_{\tilde{i}} = e^* - \deg(R)$  and part (a), we have that  $\deg(R) = 0$ . Hence both polynomials  $P_{\tilde{i}, d_{\tilde{i}}+1}^z$  and  $R$  are non-zero constants. Since  $j_* = j^*$ , equation (8) finishes the proof.  $\square$

It is possible to use the arguments in Proposition 3.4 to prove that  $d_{\tilde{i}} = \max\{e_j : j \in \mathcal{J}\}$ ; however we will not use this fact. We can now easily complete the proof of Theorem 3.1. Part (b) of Proposition 3.4 shows that

the set  $S_{\geq N}$  is contained in one single set of the form  $\{(m_0k + \ell_1, n_0k + \ell_2) : k \in \mathbb{N}_0\}$  with  $\ell_1, \ell_2 \in \mathbb{N}_0$  and  $m_0, n_0 \in \mathbb{N}$ . In fact we can choose  $(m_0, n_0)$  to be the minimal pair of positive integers such that  $\alpha_i^{m_0} = \beta_{j^*}^{n_0}$  and choose  $(\ell_1, \ell_2)$  to be the minimal pair of non-negative integers such that  $\alpha_i^{\ell_1} = \omega \beta_{j^*}^{\ell_2}$ . Both of these pairs exist by part (b) of Proposition 3.4 and our assumption that  $S_{\geq N}$  is infinite. It remains to study the set of  $k \in \mathbb{N}_0$  satisfying:

$$(10) \quad (A^{m_0})^k A^{\ell_1} \mathbf{u} = C(B^{n_0})^k B^{\ell_2} \mathbf{v} + \mathbf{w}, \quad m_0k + \ell_1 \geq N, \quad n_0k + \ell_2 \geq N.$$

We now consider  $K^{2N}$  with the coordinates  $(\mathbf{x}, \mathbf{y})$  (where  $\mathbf{x}, \mathbf{y} \in K^N$ ), the linear map  $L : K^{2N} \rightarrow K^{2N}$  given by  $L(\mathbf{x}, \mathbf{y}) = (A^{m_0} \mathbf{x}, B^{n_0} \mathbf{y})$ , the starting point  $(A^{\ell_1} \mathbf{u}, B^{\ell_2} \mathbf{v})$ , and the subvariety defined by  $\mathbf{x} = C\mathbf{y} + \mathbf{w}$ . Applying Corollary 3.2 to the current data, we have that the set of  $k \in \mathbb{N}$  satisfying (10) is a finite union of arithmetic progressions. This finishes the proof of Theorem 3.1.

## 4. Proof of Theorem 1.4 and further remarks

### 4.1. Some reduction

By using similar arguments as in Subsection 3.1, we reduce to the case  $r = 2$ . In other words, after a slight change of notation, we reduce to proving the following:

**Theorem 4.1.** *Let  $X$  be a semiabelian variety over  $K$ . Let  $\Phi$  and  $\Psi$  be  $K$ -morphisms from  $X$  to itself. Let  $\Phi_0$  and  $\Psi_0$  be  $K$ -endomorphisms of  $X$  and  $\alpha_0, \beta_0 \in X(K)$  such that  $\Phi(x) = \Phi_0(x) + \alpha_0$  and  $\Psi(x) = \Psi_0(x) + \beta_0$ . Let  $\alpha, \beta \in X(K)$  such that  $\alpha$  is not  $\Phi$ -preperiodic and  $\beta$  is not  $\Psi$ -preperiodic. If none of the eigenvalues of  $D\Phi_0$  and  $D\Psi_0$  is a root of unity, then the set*

$$S := \{(m, n) \in \mathbb{N}_0 \times \mathbb{N}_0 : \Phi^m(\alpha) = \Psi^n(\beta)\}$$

is a finite union of sets of the form

$$\{(m_0k + \ell_1, n_0k + \ell_2) : k \in \mathbb{N}_0\}$$

for some  $m_0, n_0, \ell_1, \ell_2 \in \mathbb{N}_0$ .

### 4.2. Proof of Theorem 4.1

Assume the notation of Theorem 4.1 throughout this subsection. We start with a further reduction.

**Lemma 4.2.** *Let  $k$  be a positive integer. It suffices to prove Theorem 4.1 for the maps  $\tilde{\Phi}$  and  $\tilde{\Psi}$  and starting points  $\tilde{\alpha} = k\alpha$  and  $\tilde{\beta} = k\beta$ , where  $\tilde{\Phi}(x) = \Phi_0(x) + k\alpha_0$  and  $\tilde{\Psi}(x) = \Psi_0(x) + k\beta_0$ .*

*Proof.* Assume Theorem 4.1 holds for endomorphisms  $\tilde{\Phi}$  and  $\tilde{\Psi}$  and starting points  $\tilde{\alpha}$  and  $\tilde{\beta}$ . Then  $\mathcal{O}_{\tilde{\Phi}}(\tilde{\alpha}) = k \cdot \mathcal{O}_{\Phi}(\alpha)$  and  $\mathcal{O}_{\tilde{\Psi}}(\tilde{\beta}) = k \cdot \mathcal{O}_{\Psi}(\beta)$ , where for any set  $T$  of points of  $X$ , we define

$$k \cdot T := \{k \cdot x : x \in T\}.$$

So, we know that the set  $S := \{(m, n) \in \mathbb{N}_0 \times \mathbb{N}_0 : \tilde{\Phi}^m(\tilde{\alpha}) = \tilde{\Psi}^n(\tilde{\beta})\}$  is a finite union of sets of the form  $\{(m_0\ell + r_1, n_0\ell + r_2) : \ell \in \mathbb{N}_0\}$  for given  $m_0, n_0, r_1, r_2 \in \mathbb{N}_0$ . So, it suffices to prove that for each such  $m_0, n_0, r_1, r_2 \in \mathbb{N}_0$ , the set of  $\ell \in \mathbb{N}_0$  such that  $\Phi^{m_0\ell+r_1}(\alpha) = \Psi^{n_0\ell+r_2}(\beta)$  is a finite union of sets of the form  $\{\ell_0s + r_0\}_{s \in \mathbb{N}_0}$ . Indeed, this last statement is a consequence of [BGT10, Theorem 4.1].  $\square$

Let  $R$  be a finitely generated  $\mathbb{Z}$ -subalgebra of  $K$  over which  $X$  (along with its points  $\alpha, \alpha_0, \beta, \beta_0$ ), and also  $\Phi$  and  $\Psi$  are defined. By [BGT10, Proposition 4.4] (see also [GT09, Proposition 3.3] and [BGT16, Chapter 4]), there exist a prime number  $p$  and an embedding of  $R$  into  $\mathbb{Z}_p$  such that

- (i)  $X$  has a smooth semiabelian model  $\mathcal{X}$  over  $\mathbb{Z}_p$ ;
- (ii)  $\Phi$  and  $\Psi$  extend to endomorphism of  $\mathcal{X}$ ;
- (iii)  $\alpha, \alpha_0, \beta, \beta_0$  extend to points in  $\mathcal{X}(\mathbb{Z}_p)$ .

Let  $f_0$  and  $g_0$  denote the linear maps induced on the tangent space at 0 by  $\Phi_0$ , respectively  $\Psi_0$ . By (ii) above and [BGT10, Proposition 2.2], if one chooses coordinates for this tangent space via generators for the completed local ring at 0, then the entries of the  $N$ -by- $N$  matrices  $A$  and  $B$  corresponding to  $f_0$  and  $g_0$  will be in  $\mathbb{Z}_p$  (where  $N = \dim(X)$ ). Fix one such set of coordinates and let  $|\cdot|_p$  denote the corresponding  $p$ -adic metric on the tangent space at 0. We let  $\mathbb{C}_p$  be the completion of an algebraic closure of  $\mathbb{Q}_p$ .

According to [Bou98, Proposition 3, p. 216] there exists a  $p$ -adic analytic map exp which induces an analytic isomorphism between a sufficiently small

neighborhood  $\mathcal{U}$  of  $\mathbb{C}_p^N$  and a corresponding  $p$ -adic neighborhood of the origin  $0 \in \mathcal{X}(\mathbb{C}_p)$ . Furthermore (at the expense of possibly replacing  $\mathcal{U}$  by a smaller set), we may assume that the neighborhood  $\mathcal{U}$  is a sufficiently small open ball, i.e., there exists a (sufficiently small) positive real number  $\epsilon$  such that  $\mathcal{U}$  consists of all  $(z_1, \dots, z_N) \in \mathbb{C}_p^N$  satisfying  $|z_i|_p < \epsilon$ . Because  $\exp(\mathcal{U}) \cap \mathcal{X}(\mathbb{Z}_p)$  is an open subgroup of the compact group  $\mathcal{X}(\mathbb{Z}_p)$  (see [GT09, p. 1402]), we conclude that  $\exp(\mathcal{U}) \cap \mathcal{X}(\mathbb{Z}_p)$  has finite index  $k \in \mathbb{N}$  in  $\mathcal{X}(\mathbb{Z}_p)$ . Using Lemma 4.2 (at the expense of replacing  $\alpha, \alpha_0, \beta, \beta_0$  by  $k\alpha, k\alpha_0, k\beta$  and respectively  $k\beta_0$ ), we may assume that  $\alpha, \alpha_0, \beta, \beta_0 \in \exp(\mathcal{U})$ . Therefore, there exists  $u, u_0, v, v_0 \in \mathcal{U}$  such that  $\exp(u) = \alpha, \exp(u_0) = \alpha_0, \exp(v) = \beta$  and  $\exp(v_0) = \beta_0$ . Also, we let  $f, g: \mathbb{A}^N \rightarrow \mathbb{A}^N$  be the affine transformations given by  $f(x) = f_0(x) + u_0$  and respectively  $g(x) = g_0(x) + v_0$  for each  $x \in \mathbb{A}^N$ . Since the entries of the matrices  $A$  and  $B$  (corresponding to the linear transformations  $f_0$  and  $g_0$ ) have entries which are  $p$ -adic integers, we conclude that  $\mathcal{O}_f(u), \mathcal{O}_g(v) \subset \mathcal{U}$ . So, because  $\exp$  is a local isomorphism, while  $\Phi^m(\alpha) = \exp(f^m(u))$  and  $\Psi^n(\beta) = \exp(g^n(v))$  for each  $m, n \in \mathbb{N}_0$ , the desired conclusion follows from Theorem 1.6.

### 4.3. Further remarks

Let  $X$  be a semiabelian variety over an algebraically closed field  $K$  of characteristic 0. We conclude this paper by introducing some geometric conditions that imply the condition that none of the eigenvalues of  $D\Phi_0$  is a root of unity as required in the statement of Theorem 1.4 (or Theorem 4.1).

Recall (see, for example, [MS14, Section 7]) that a dominant  $K$ -endomorphism  $\Phi_0$  of  $X$  is said to preserve a non-constant fibration if there is a non-constant rational map  $f \in K(X)$  such that  $f \circ \Phi_0 = f$ . We have the following:

**Proposition 4.3.** *Let  $\Phi_0$  be a dominant  $K$ -endomorphism of  $X$ . Then  $\Phi_0$  preserves a non-constant fibration if and only if at least one of the eigenvalues of  $D\Phi_0$  is a root of unity.*

*Proof.* First we note that by [GS17, Lemma 4.1], for any positive integer  $\ell$ , we know that  $\Phi_0$  preserves a non-constant fibration if and only if  $\Phi^\ell$  preserves a non-constant fibration.

Assume now that  $D\Phi_0$  has an eigenvalue which is a root of unity, say of order  $\ell \in \mathbb{N}$ . Therefore it suffices to prove that  $\Phi_1 := \Phi_0^\ell$  preserves a non-constant fibration.



Let  $f \in \mathbb{Z}[z]$  be the minimal (monic) polynomial for  $D\Phi_1$ ; alternatively,  $f(z)$  is the minimal monic polynomial with integer coefficients such that  $f(\Phi_1) = 0 \in \text{End}(X)$ . We know that  $f(1) = 0$ ; hence there exists  $g \in \mathbb{Z}[z]$  such that  $f(z) = (z - 1) \cdot g(z)$ . In particular, we know that  $g(\Phi_1)$  is not the trivial endomorphism of  $X$ . We let  $Y := g(\Phi_1)(X)$ ; then  $Y$  is a non-trivial semiabelian subvariety of  $X$ . We also let  $\pi : X \rightarrow Y$  be the map  $x \mapsto g(\Phi_1)(x)$  and note that  $\pi \circ \Phi_1 = \pi$  on  $X$ . Thus  $\Phi_1$  preserves a non-constant fibration, contradiction.

Assume now that  $D\Phi_0$  has no eigenvalue which is a root of unity; we will show that  $\Phi_0$  does not preserve a nonconstant fibration. Again, at the expense of replacing  $\Phi_0$  by an iterate  $\Phi_1$ , we may assume that all eigenvalues  $\lambda_i$  of  $D\Phi_1$  have the property that if  $\lambda_i/\lambda_j$  is a root of unity, then  $\lambda_i = \lambda_j$ . Also, note that since  $\Phi_0$  and therefore its iterate  $\Phi_1$  is a dominant morphism, then each eigenvalue  $\lambda_i$  of  $D\Phi_1$  is nonzero and not equal to a root of unity according to our hypothesis.

Arguing identically as in the proof of Theorem 1.4, we can find a prime number  $p$  and a suitable model  $\mathcal{X}$  of  $X$  over  $\mathbb{Z}_p$  such that each entry of  $A := D\Phi_1$  is a  $p$ -adic integer, and moreover the  $p$ -adic exponential map  $\exp$  induces a local isomorphism between a sufficiently small ball  $\mathcal{B}$  in  $\mathbb{C}_p^N$  and a corresponding small  $p$ -adic neighborhood  $\mathcal{U}$  of the origin of  $\mathcal{X}$ .

We can write  $A = B^{-1}JB$ , where  $B$  is an invertible matrix and  $J$  is in Jordan form. Because  $B$  is invertible, we can choose  $\mathbf{v} \in \mathcal{B}$  such that  $B\mathbf{v}$  has all its entries nonzero. Then arguing identically as in Section 3, we get that the entries of  $J^n B\mathbf{v}$  are of the form

$$(P_{1,1}(n)\lambda_1^n, \dots, P_{1,m_1}(n)\lambda_1^n, P_{2,1}(n)\lambda_2^n, \dots, P_{2,m_2}(n)\lambda_2^n, \dots, P_{r,m_r}(n)\lambda_r^n)^T,$$

where each  $P_{i,1}$  is a nonzero polynomial, and moreover  $\deg(P_{i,j}) = \deg(P_{i,1}) - j + 1$  for each  $i = 1, \dots, r$  and for each  $j = 1, \dots, m_i$ . Then for each  $\mathbf{w} \in \mathbb{C}_p^N$  and for each  $a \in \mathbb{C}_p$ , we have that  $\{\mathbf{w}^T \cdot J^n B\mathbf{v} + a\}_{n \geq 1}$  is a linear recurrence sequence with non-degenerate characteristic roots, unless  $\mathbf{w}$  is the zero-vector. Therefore, given any proper linear subspace  $W \subset \mathbb{C}_p^N$ , there are finitely many vectors  $A^n \mathbf{v} = B^{-1}J^n B\mathbf{v}$  contained in the same coset  $\mathbf{a} + W$  (for any given  $\mathbf{a} \in \mathbb{C}_p^N$ ).

Let now  $x = \exp(\mathbf{v}) \in \mathcal{U}$ . We claim that  $\mathcal{O}_{\Phi_1}(x)$  is Zariski dense in  $X$ . Indeed, otherwise there exists a coset  $\beta + H$  of a proper algebraic subgroup  $H$  of  $X$  containing infinitely many points from the orbit  $\mathcal{O}_{\Phi_1}(x)$ . This last statement follows by noting that  $\mathcal{O}_{\Phi_1}(x)$  is contained in a finitely generated subgroup of  $X$  (because there exists a monic nonzero polynomial  $f \in \mathbb{Z}[z]$  such that  $f(\Phi_1) = 0 \in \text{End}(X)$ ) and then using the classical Mordell-Lang

theorem (see [Voj96]). Because all entries of  $D\Phi_1$  are  $p$ -adic integers, then we know that  $\mathcal{O}_{\Phi_1}(x) \subset \mathcal{U}$ . Since  $H$  is a proper algebraic subgroup of  $X$ , then  $\exp^{-1}(H \cap \mathcal{U}) = H_0 \cap \mathcal{B}$  for some proper linear subgroup  $H_0 \subset \mathbb{C}_p^N$ . But then there are infinitely many vectors  $A^n \mathbf{v}$  contained in a coset of  $H_0$ , which is a contradiction.

Now, since  $\mathcal{O}_{\Phi_1}(x)$  is Zariski dense in  $X$ , we immediately get that  $\Phi_1$  and thus  $\Phi_0$  cannot preserve a non-constant fibration (see also [MS14, Section 7]). This concludes the proof of Proposition 4.3.  $\square$

Another condition has been mentioned in Remark 1.3.

**Proposition 4.4.** *Let  $\Phi$  be a self-map of  $X$  over  $K$  with  $\Phi(x) = \Phi_0(x) + \alpha_0$  where  $\Phi_0$  is a  $K$ -endomorphism and  $\alpha_0 \in X(K)$ . Assume there does not exist  $m \in \mathbb{N}$  and a positive dimensional closed subvariety  $Y$  of  $X$  such that  $\Phi^m$  restricts to an automorphism on  $Y$ . Then none of the eigenvalues of  $D\Phi_0$  is a root of unity.*

*Proof.* We argue by contradiction and therefore, we assume  $D\Phi_0$  has an eigenvalue which is a root of unity. At the expense of replacing  $\Phi$  by an iterate  $\Phi^m$  we may assume that all eigenvalues of  $D\Phi_0$  are either equal to 1, or they are not roots of unity. We let (similar to the proof of Proposition 4.3)  $f \in \mathbb{Z}[z]$  be the minimal monic polynomial such that  $f(\Phi_0) = 0$ . Then  $f(t) = (t - 1)^r \cdot f_1(t)$  for some monic polynomial  $f_1 \in \mathbb{Z}[t]$  such that  $f_1(1) \neq 0$ , and some  $r \in \mathbb{N}$ . We let  $Y := f_1(\Phi_0)(X)$  and  $Z := (\Phi_0 - \text{id}|_X)^r(X)$ , where for any subvariety  $V \subseteq X$ , we denote by  $\text{id}|_V$  the identity map on  $V$ . Then  $Y$  and  $Z$  are semiabelian subvarieties of  $X$ . As proven in [GS17, Lemma 6.1], we have that  $X = Y + Z$  and  $Y \cap Z$  is finite. Strictly speaking, [GS17, Lemma 6.1] is written for endomorphisms of abelian varieties, but the proof goes verbatim to semiabelian varieties since no property applicable only to abelian varieties (such as the Poincaré’s Reducibility Theorem—see [GS17, Fact 3.2]) is used; essentially, all one uses is that the polynomials  $(z - 1)^r$  and  $f_1(z)$  are coprime. So,  $\Phi_0$  restricts to an endomorphism  $\tau$  of  $Z$  with the property that

$$(\tau - \text{id}|_Z) : Z \longrightarrow Z$$

is an isogeny. Note that we allow the possibility that  $Z$  is the trivial algebraic subgroup of  $X$ ; in this case, it is still true that  $\tau - \text{id}|_Z$  is surjective. We let  $\beta_0 \in Y$  and  $\gamma_0 \in Z$  such that  $\alpha_0 = \beta_0 + \gamma_0$ . Hence there exists  $\gamma_1 \in Z$  such

that

$$(11) \quad \Phi_0(\gamma_1) + \gamma_0 = \gamma_1.$$

In the case  $Z$  is the trivial subgroup, then clearly  $\gamma_0 = \gamma_1 = 0$ .

We claim that  $\Phi$  restricts to an automorphism on the positive dimensional subvariety  $V := \gamma_1 + Y$  (note that  $r \geq 1$  and thus  $\dim(Y) \geq 1$ ). First we note that  $\Phi_0$  restricts to an automorphism  $\sigma$  on  $Y$ ; indeed,  $\Phi_0$  induces an endomorphism  $\sigma$  of  $Y$  by definition, and then since  $(\sigma - \text{id}|_Y)^r = 0$ , we get that  $\sigma : Y \rightarrow Y$  is an automorphism. Then for each  $y \in Y$  we have that

$$\Phi(y + \gamma_1) = \Phi_0(y) + \Phi_0(\gamma_1) + \beta_0 + \gamma_0 = \sigma(y) + \beta_0 + \gamma_1.$$

Because  $\sigma$  is an automorphism of  $Y$ , while  $\beta_0 \in Y$ , we conclude that

$$y \mapsto \sigma(y) + \beta_0$$

is an automorphism of  $Y$ . This concludes the proof of Proposition 4.4.  $\square$

### Acknowledgments

The first author is partially supported by NSERC and the second author is partially supported by a UBC-PIMS postdoctoral fellowship.

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RECEIVED APRIL 14, 2016

